### 专业技术职务申报简表(理工类-2016版)

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2001.09	2005.07	清华大学生物与医学工程系	本科生
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## Focusing through dynamic tissue with millisecond digital optical phase conjugation

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Digital optical phase conjugation (DOPC) is a new technique employed in wavefront shaping and phase conjugation for focusing light through or within scattering media such as biological tissues. DOPC is particularly attractive as it intrinsically achieves a high fluence reflectivity in comparison to nonlinear optical approaches. However, the slow refresh rate of liquid crystal spatial light modulators and limitations imposed by computer data transfer speeds have thus far made it difficult for DOPC to achieve a playback latency of shorter than ~200 ms and, therefore, prevented DOPC from being practically applied to thick living samples. In this paper, we report a novel DOPC system that is capable of 5.3 ms playback latency. This speed improvement of almost 2 orders of magnitude is achieved by using a digital micromirror device, field programmable gate array (FPGA) processing, and a single-shot binary phase retrieval technique. With this system, we are able to focus through 2.3 mm living mouse skin with blood flowing through it (decorrelation time ~30 ms) and demonstrate that the focus can be maintained indefinitely—an important technological milestone that has not been previously reported, to the best of our knowledge. ©2015 Optical Society of America

OCIS codes: (110.0113) Imaging through turbid media; (070.5040) Phase conjugation; (110.0180) Microscopy.

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#### 1. INTRODUCTION

Focusing light through tissues has long been a challenge for biomedical optics. The turbid nature of tissues strongly scatters light and hinders the formation of a sharp focus. Recently, research in the field of wavefront shaping has shown that by correcting the wavefront incident on scattering media, focus can be constructed at an arbitrary location behind the sample [1,2]. Different strategies have been developed to realize this process including iterative wavefront optimization [1,3-5], transmission matrix measurement [6-8], and optical phase conjugation (OPC) [9-11]. Among these, OPC implements the corrected wavefront by recording the scattered light field globally and then playing back the conjugate light field by a phase conjugate mirror (PCM) without time-consuming iterations. Since the process of elastic light scattering is time symmetric, by playing a conjugate version of the scattered wavefront back through the scattering medium, the conjugate input wavefront can be recovered.

By employing OPC, a number of novel techniques for focusing light through or within a scattering medium have recently been developed. These include time-reversed ultrasonically encoded light (TRUE) [12,13], time reversal of variance-encoded light (TROVE) [14], time reversal by analysis of changing wavefronts from kinetic targets (TRACK) [15], and time-reversed adapted-perturbation (TRAP) focusing [16]. These methods have

the potential to improve or enable biomedical applications such as deep tissue imaging, photodynamic therapy, and noninvasive cytometry.

There are two major advantages of OPC compared to other wavefront shaping techniques. First, it is able to arrive at the correct wavefront solution without iteration. Second, the number of controllable optical modes in the playback wavefront can be very high,  $\sim 5 \times 10^5$  modes or more. Optical phase conjugation methods can be categorized into two primary groups. Nonlinear OPC methods [12,17,18] employ nonlinear crystals to store the scattered field and propagate the phase conjugate field. In contrast, the digital optical phase conjugation (DOPC) method [13–16] uses an electronic camera in an interferometric setup to capture the optical wavefront information and subsequently produce a suitable OPC field by using that information to pattern a spatial light modulator (SLM).

The DOPC method has several intrinsic advantages over nonlinear OPC methods. First, whereas nonlinear crystals are strongly dependent on wavelength, DOPC can freely work with a broad range of wavelengths. Second, DOPC provides the flexibility to render wavefront playback beyond a single OPC field. In fact, TROVE, TRACK, and TRAP all exploit this unique capability of DOPC to render complex and nuanced wavefronts. In the case of TRACK and TRAP, for example, the rendered wavefront is

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actually a differential DOPC wavefront. Third, and perhaps most important, the DOPC method has the intrinsic ability to achieve a fluence reflectivity greater than unity. Here, we define fluence reflectivity as the ratio between the total amount of light that one can play back on the conjugate wavefront to the total amount of scattered light required to determine the conjugate wavefront in the first place. While nonlinear OPC methods can in principle provide gain enhancement by temporally squeezing the playback photon packet [19], a practical and useful approach to deliver large amounts of energy over an extended period of time has not been demonstrated.

Currently, the DOPC method does have a significant disadvantage versus nonlinear OPC methods' response speeds. Recently, nonlinear methods with system response latencies of the order of milliseconds have been reported [20,21]. In contrast, DOPC systems reported thus far have response times of the order of hundreds of milliseconds or more [22]. This slow response is due to the use of slow liquid crystal SLMs and conventional personal computer (PC)-based data transfer. Fast response speed is a key criterion if we are to apply OPC methods usefully for in vivo applications in thick samples. This is mainly due to the dynamic nature of biological tissue caused by the constant motion of the scatterers within. This rate of change is dependent both on sample thickness and the degree of immobilization. As a reference point, the scattered field of 532 nm light through an unclamped living mouse skin flap has a speckle decorrelation time of ~30 ms. When the same tissue is clamped, this decorrelation time increases to ~300 ms [22].

The primary goal of this paper is to show that the use of a high-speed digital mirror device (DMD) and field programmable gate array (FPGA) data processing allows DOPC to achieve high response speeds, as well. While using the binary modulation of the DMD to accomplish wavefront shaping may seem

counterintuitive, and the oblique reflection angle significantly complicates DOPC system alignment, overcoming these challenges enables us to incorporate the strengths of the DOPC while minimizing the response time of the system. In this paper, we report a novel DMD-based DOPC system with a demonstrated playback latency of 5.3 ms. We demonstrate that our system is capable of focusing light through 2.3 mm thick unclamped mouse dorsal skin with a decorrelation time of less than 30 ms. By repeating the DOPC procedure 50 or even more times per second, we are able to maintain indefinitely the focus through the living sample. This demonstration of sustainable focusing through a thick living sample with blood flowing through it is the first of its kind and opens the door for new applications of OPC in the deep tissue regime of live biological samples.

#### 2. METHODS

A simplified schematic of the DMD-based DOPC system is shown in Fig. 1(a). A complete optical scheme can be found in Supplement 1. The light paths of the setup for recording and playback are shown in Figs. 1(b) and 1(c), respectively. As shown in Fig. 1(b), in the recording step, all the pixels on the DMD are turned off. This causes the playback beam to diffract away from Camera 1 and onto a beam dump. The sample beam and reference beams are combined at BS3, reflected by BS4 and Mirror 2, and travel back through BS4 to Camera 1, where their interference pattern is measured. In the playback step shown in Fig. 1(c), the FPGA processes the camera data to generate a suitable wavefront solution and sends it to the DMD, which displays the corresponding phase map. The playback beam then propagates through BS4, BS3, and L3 to the sample. Meanwhile, the sample beam is blocked by a fast shutter to prevent backscattering off the sample. This playback process results in a focus

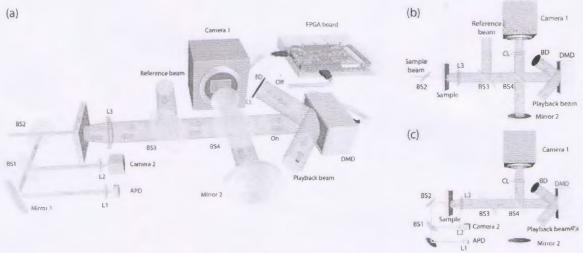


Fig. 1. (a) Simplified schematic of the DMD-based DOPC. A 2.5 mm diameter collimated beam from the laser source (Excelsior 532 nm single mode, 200 mW, Spectra-Physics) is incident onto the sample through BS2. Scattered light from the sample is collected by L3 and is combined with the reference beam by BS3. The combined reference and sample beam is reflected by BS4 and Mirror 2, passes through BS4, and is captured by Camera 1 (pco.edge 5.5, PCO-TECH). The DMD (W4100, Wintech) and Mirror 2 are aligned symmetrically with reference to BS4, and the DMD surface is imaged onto the camera sensor chip by CL (AF-S VR Micro-NIKKOR 105 mm f/2.8G IF-ED, Nikon) with pixel-to-pixel alignment. Camera 1 and the DMD are connected through a host FPGA (ViClaro IV GX Camera Link Development Kit, Microtronix). The conjugate result is observed on Camera 2 (Prosilica GX 1920, Allied Vision) and the APD (SPCM-AQRH-14, Excelitas). (b) Optical path schematic of the recording step. (c) Optical path schematic of the playback step (L, lens; BS, beam splitter; BD, beam dump; CL, camera lens; APD, avalanche photodiode).

observed through the sample on Camera 2 and a corresponding peak recorded by the avalanche photodiode (APD). As the reference beam and playback beam are two separate beams, the fluence reflectivity is limited only by the damage threshold of the DMD and the laser power. In our system, the fluence reflectivity was set at 2000. As response speed is a design priority, each major component of this system is chosen and adapted for this purpose. We will discuss each component in the following subsections.

#### A. Single-Shot Binary Phase Retrieval

To compute the correct phase map to display on the DMD, Camera I captures the interference pattern between the reference field  $E_{\rm ref}(x,y)$  and the sample field  $E_{\rm sam}(x,y)$ . This interference pattern can be described as:  $I_i(x,y) = I_{\rm ref}(x,y) + I_{\rm sam}(x,y) + 2\sqrt{I_{\rm ref}(x,y)I_{\rm sam}(x,y)}\cos|\Delta\theta|$ , where  $I_{\rm ref}(x,y)$  and  $I_{\rm sam}(x,y)$  are the intensity of the reference and sample fields, respectively, and  $\Delta\theta$  is their phase difference. By setting  $\langle I_{\rm sam}(x,y)\rangle \ll I_{\rm ref}(x,y)$ ,  $I_i(x,y)$  can be approximated as

$$I_i(x, y) \approx I_{\text{ref}}(x, y) + 2\sqrt{I_{\text{ref}}(x, y)I_{\text{sam}}(x, y)} \cos|\Delta\theta|.$$
 (1)

Then,  $I_{\rm ref}(x,y)$  can be measured independently by blocking the sample beam and, by comparing it to  $I_i(x,y)$ , we can determine the range in which the absolute phase difference  $|\Delta\theta|$  lies

$$I_i(x, y) < I_{\text{ref}} \to \frac{\pi}{2} < |\Delta \theta| \le \pi,$$
  
 $I_i(x, y) > I_{\text{ref}} \to 0 \le |\Delta \theta| \le \frac{\pi}{2}.$  (2)

In this way, the intensity of the interference pattern at a point (x, y) can be used to recover the binary phase of  $E_{\rm sam}(x, y)$  in a single shot. In comparison, two methods commonly applied in DOPC systems, phase stepping holography [23] and off-axis holography [24], are capable of fully recovering the sample field but sacrifice either speed or spatial resolution. While DOPC playback with binary phase information is less efficient than with perfect phase information, this small sacrifice in efficiency yields a large enhancement in response speed.

#### B. FPGA-Based Data Processing and Transfer

An FPGA board [as shown in Fig. (2)] is implemented in the DOPC system for data processing and transfer. It has a camera link connection directly to the recording camera and a high definition multimedia interface (HDMI) connection to the DMD. This allows full frame (1920 × 1080) interference pattern transfer in 5.0 ms (6.8 Gb/s) and full frame size phase map transfer in 1.56 ms (1.8 Gb/s). Here, the phase map transfer time is the time from starting the binary phase data transfer to completing the stable display on the DMD. Although the DMD chip (DLP9500, TI) has a fast refreshing speed of up to 23 K fps, the standard 60 Hz HDMI display interface of the W4100 board limits the performance. To fully utilize the fast response speed of the DMD, we designed custom firmware for the FPGA controller (Virtex 5, Xilinx) on the W4100 board. With a custom HDMI protocol, we encode 24 binary pixels into one 24 bit RGB pixel of standard HDMI, allowing us to achieve fast binary image transfer. In the recording step, the FPGA board reads out the interference image from the recording camera and retrieves the phase in parallel. Once the phase map is ready and has been adjusted to compensate for the curvature of the DMD (see Supplement 1), it is transferred to the DMD board and displayed. Compared to a computer, the FPGA allows for greatly accelerated data processing

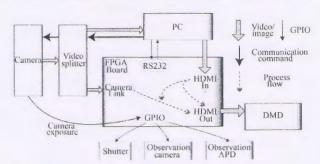


Fig. 2. Functional schematic of the FPGA-based DOPC.

and transfer speeds. When using a PC as the host processor in DOPC, the multitask scheduling and hardware access wrapping in modern operating systems limits the latency between recording and playback steps to a minimum of around 200 ms [22]. In contrast, as an FPGA inherently has a highly parallel computing capacity, the processing latency for binary phase retrieval is eliminated by overlapping the phase processing with the camera image transfer. In addition to the speed of the FPGA system, our setup allows for data collection and processing to be seamlessly switched to the PC for time-insensitive optical system debugging and pixel-pixel alignment between the recording camera and the DMD. To achieve this, a video splitter (CLV-402, Vivid Engineering) is implemented to switch the output of the recording camera between the PC and the FPGA. An HDMI interface is also set up between the PC and FPGA to allow the PC to transfer phase maps to the DMD.

#### C. DMD-based Phase Conjugation

When a conjugate phase map is displayed on the DMD, the DMD implements a binary amplitude modulation scheme [25] to construct a conjugate focus through the tissue. Here we will analyze the binary phase modulation scheme, which, although essentially identical to binary amplitude modulation, is formulated here to easily integrate into the framework of phase conjugation [26].

When using the DMD for light modulation, every individual micromirror acts as a diffractive element and together the whole DMD acts as a 2D blazed grating. As shown in Fig. 3(a), when a pixel is turned on, it will tilt 12° clockwise in the diagonal direction. This oblique angle complicates the DOPC system design, as it is a challenge that does not exist for SLM-based DOPC systems. To address this, we choose to illuminate the DMD with an appropriately tilted light field such that the diffracted light propagates perpendicularly with respect to the surface of the DMD. This propagation direction is subject to the blazed grating equation, which is a function of the center-to-center distance between the individual micromirrors in the array (d), the angle of incidence  $(\phi)$  with respect to the DMD surface normal, the wavelength  $(\lambda)$ , and the diffraction order (n). Setting the diffraction angle to fix the diffraction direction normal to the DMD surface yields a simplified form of the blazed grating equation

$$d \sin \phi = n\lambda. \tag{3}$$

However, in order to achieve the maximum possible intensity of the diffraction beam, the incident angle should be chosen so that the central peak of the sinc<sup>2</sup> envelope determined by the

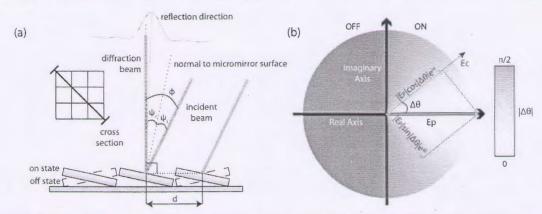


Fig. 3. (a) DMD diffraction demonstration. (b) Binary phase modulation of a DMD,  $E_{\rho}$  is the field played back by the DMD,  $E_{c}$  is the desired phase conjugate field, and  $\Delta\theta$  is the phase difference between  $E_{c}$  and  $E_{\rho}$ . When a pixel of the DMD is turned on, it plays back the phasor  $E_{\rho}$ , which can be decomposed into two orthogonal components. One is in the direction of the desired phase conjugate field  $E_{c}$  with an amplitude modulated by  $\cos |\Delta\theta|$  and contributes to the focus. The other component orthogonal to  $E_{c}$  is modulated by  $\sin |\Delta\theta|$  and contributes to the background.

direction of the specular reflection from each individual micromirror matches as closely with the direction normal to the DMD surface as possible. Combining the simplified blazed grating equation above with the law of reflection ( $\psi_i = \psi_r$ ), which determines the location of the sinc² envelope, we can solve for the incident angle to maximize the intensity of the diffracted beam. Given a light source with a wavelength of 532 nm and  $d=10.8\sqrt{2}~\mu m$  from the dimensions of the DMD, we solve to find the optimum incident angle and diffraction order to be  $\phi=24.7^\circ$  and n=12, respectively.

After optimizing the alignment of the DMD in the DOPC system, we fit the binary amplitude modulation of the DMD into a phase conjugation framework. Since the diffracted light from the DMD has a uniform phase, we can spatially choose whether it is played back or not by manipulating each pixel's state. As shown in Fig. 3(b), without loss of generality, we suppose playback beam  $E_p$  has uniform amplitude A and phase zero. For an electric field  $E_c = |E_c|e^{i\alpha}$ , which is the optimal phase conjugate solution to be played back, there is a phase difference  $\Delta \theta$  between  $E_c$  and  $E_p$ . Using the binary phase retrieval algorithm described earlier, we determine whether an individual pixel should be played back. If  $|\Delta\theta|$  is less than  $\pi/2$ , the corresponding pixel is turned on. Otherwise, it is turned off. When we turn on the pixel,  $E_p$ can be decomposed into orthogonal phase vectors, as shown in Fig. 3(b). This allows us to derive the phase modulation function of the DMD in DOPC as

$$f(|\Delta\theta|) = \begin{cases} 0, & \frac{\pi}{2} < |\Delta\theta| \le \pi \\ \cos|\Delta\theta|e^{i\alpha} + \sin|\Delta\theta|e^{i\beta}, & 0 \le |\Delta\theta| \le \frac{\pi}{2}. \end{cases}$$
 (4)

This means that when  $0 \leq |\Delta\theta| \leq \frac{\pi}{2}$  and a pixel of the DMD is turned on to represent a certain  $E_c$ , we will play back the electric field  $|E_p|\cos|\Delta\theta|e^{ia}$ , which has the phase of  $E_c$  and amplitude modulated by  $\cos|\Delta\theta|$ , along with an orthogonal electric field  $|E_p|\sin|\Delta\theta|e^{i\beta}$  with amplitude modulated by  $\sin|\Delta\theta|$ . The cosine term will be played back as a correct component of the phase conjugate field, and construct a peak. The sine term, which has an orthogonal phase, will make no contribution to the peak recovery and will form a background in the playback field. Following a similar derivation in Refs. [13,25] (see details in Supplement 1),

we find the theoretical peak-to-background ratio (PBR) for DMD-based DOPC to be

PBR = 
$$\frac{1/2 + (N-1)/2\pi}{M} \approx \frac{N}{2\pi M}$$
, (5)

where M is the number of modes in the focus, and N is the number of controllable modes on the DMD. Implementing the DMD in the DOPC setup allows our system to save more than 10 ms for conjugate phase display compared to the time reported in liquid-crystal (LC)-SLM-based DOPC systems [13,15,22]. When a voltage is applied to an LC-SLM based on nematic liquid crystal technology, it usually takes over 10 ms to turn to the specified direction. This limits the refresh rate to tens of hertz. In contrast, a DMD, which is based on microelectromechanical system (MEMS) technology, has a response time of around 18  $\mu$ s with a 23 kHz refresh rate [27], over 2 orders of magnitude faster than typical LC-SLMs.

#### D. Workflow of Fast DOPC

The workflow of our system is shown in Fig. 4. Prior to operation, the reference beam intensity distribution is recorded. Then the DOPC loop starts. At the beginning of every loop, all of the DMD pixels are turned off and the interference pattern is captured. Once the intensity of a pixel is transferred from the camera and stored by the FPGA, its binary phase is processed and recovered. After all the pixels are processed, the binary phase map is transferred to the DMD and displayed for a designated time. During the process, the fast shutter, exposure of the observation camera, and the recording of the APD signal are synchronized by the FPGA general purpose input/outputs (GPIOs). Each loop is synchronized by the exposure and transfer signals of the recording camera.

As shown in Fig. 4, the playback latency is the sum of the time required by the recording exposure, data transfer from the recording camera to the FPGA (recording transfer), and binary phase transfer from the FPGA to the DMD (phase transfer). For a full frame size of  $1920 \times 1080$  (up to  $2.1 \times 10^6$  controllable modes) and 0.5 ms exposure, the time from the start of the exposure to playback is 7.06 ms. A rolling shutter is used for the recording exposure, so neighbor rows start to expose successively with a 9.17  $\mu$ s delay. The time latency is calculated from the average

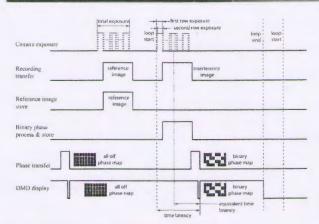


Fig. 4. Workflow of the FPGA-based DOPC.

exposure start time to the time playback starts. The latency is quantified by the following experiments.

#### 3. RESULTS

#### A. Playback Latency Quantification

To evaluate the actual playback latency of our system, DOPC experiments were conducted on tissue samples with a controllable decorrelation time using a moving tissue strategy [20]. A piece of 3 mm thick chicken breast ( $\mu_r = 30/\text{mm}$ , g = 0.965) was sandwiched between two 1 mm thick glass slides. In the middle layer, a 3 mm thick U-shaped spacer was placed surrounding the chicken

breast to guarantee its thickness and mobility [as shown in Fig. 5 (a)]. During the experiment, samples were changed before they dried out to ensure their scattering properties. The whole sample was held by a translation stage with a motorized actuator (LTA-HS, Newport) to generate different decorrelation times by varying the lateral velocity.

The decorrelation time of the tissue itself was several seconds [13], which meant that the amount of decorrelation in a period of several milliseconds was negligible. To avoid the effects of slow decorrelation when the stage was accelerating, experiments were done when the stage had reached full speed. Tissue decorrelation curves when lateral velocity was set to 0.2 mm/s and 0.25 mm/s are shown in Figs. 5(b) and 5(c), respectively. Here we define the decorrelation time  $\tau$  as the time t when the speckle correlation coefficient r<sub>e</sub> decreases to 1/e. Fitting with a Gaussian function  $r_c = e^{-r^2/\tau^2}$  [28], we can find the decorrelation time  $\tau$  is 6.2 and 5.0 ms for each case. The conjugate focus results for the two cases are shown in Fig. 5(d). Given that the motion-induced degradation ratio of PBR is identical to the drop in the speckle correlation coefficient [22], it is straightforward to conclude that the system playback latency is identical to the decorrelation time of the sample when the PBR achieved on a moving sample is 1/e of the static PBR. From the results, the PBR is 88 for 0.2 mm/s and 56 for 0.25 mm/s. Comparing these to the value of the static PBR divided by e (~65), we can tell the time latency is slightly more than 5.0 ms, which can be accurately calculated as 5.3 ms.

#### **B. OPC Efficiency Quantification**

As shown in Eq. (5), PBR is related to both number of input modes (N, number of speckle grains on the DMD) and number

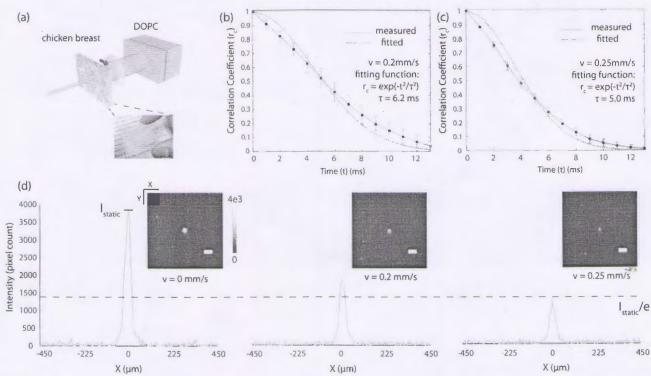


Fig. 5. (a) Moving sample setup. (b),(c) Moving sample speckle decorrelation curves at lateral velocity 0.2 and 0.25 mm/s. Error bars indicate standard deviation over 10 datasets. (d) Conjugate focus images and cross-section peak plots when the sample was static, moving at 0.2 and 0.25 mm/s.

of output modes (M, number of speckle grains in the focus). Therefore, it is not a fair comparison to quantify DOPC performance merely by the PBR for different numbers of output modes. However, OPC efficiency, which is the ratio of PBR achieved on a system to theoretical PBR, sets a suitable standard for different systems. To evaluate the OPC efficiency of our system, we used our DOPC system to focus light through an opal diffuser (10DIFF-VIS, Newport). Based on the derivation of DMD-based conjugation and the measured interference pattern on the recording camera, we determined the speckle size to be 4 pixels wide on the DMD. Since the DMD has 1920 x 1080 pixels, the number of optical modes we can access with the DMD equals 1.3 × 105. To determine the number of modes in the focus, we examined the conjugate focus through the sample. When the conjugate beam was played back, we observed a focus on the observation camera with a PBR of 630 and full width at halfmaximum (FWHM) of 45 µm, as shown in Fig. 6. When we displayed a random pattern on the DMD, the speckle FWHM was 13 µm, computed from the autocorrelation of the speckle pattern. From these two measurements, we can find that the number of modes in the focus is ~12. From the PBR equation, we calculated the optimal PBR as  $1.3 \times 10^5/(12 \cdot 2\pi) \approx 1700$ , which means our system performance has an efficiency of 37%.

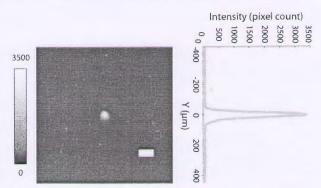


Fig. 6. PBR quantification. Scale bar is 100 μm.

#### C. In Vivo Experiments

In vivo experiments were demonstrated by focusing through the dorsal skin of a living mouse. For the *in vivo* sample, a regular white laboratory mouse was shaved on the dorsal skin flap. Then its dorsal skin was mounted to a clip device. Isoflurane was implemented as the inhalational anesthesia both in preparation and during the experiment. All of these procedures and the dosage of chemicals followed protocols of the Institutional Animal

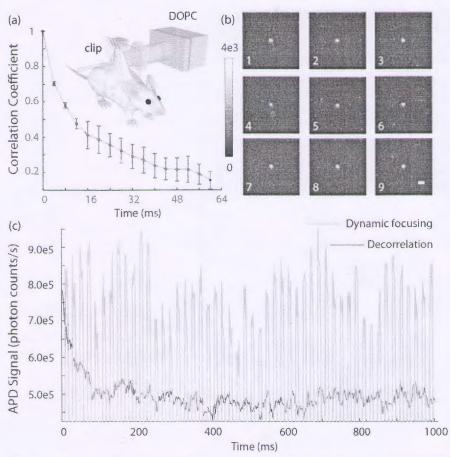


Fig. 7. (a) Clipped mouse dorsal skin setup and speckle decorrelation curve of *in vivo* tissue. Error bars indicate standard deviation over 10 datasets. (b) Continuous conjugate foci through clipped sample (see also Visualization 1). (c) APD plot for sustainable foci and decorrelation focus. Scale bar is  $100 \, \mu m$ . In the experiment, to accurately distinguish the focus intensity from background in the APD signal, a background ( $I_b$ ) was measured first when the focus totally vanished, which is  $5 \times 10^5$  in the APD plot.

Care and Use Committee at the California Institute of Technology.

As shown in Fig. 7(a), a clip fixed the upper edge of the skin on a transparent plastic plate, which was placed at the sample position. In this way, the bottom of the dorsal skin was in a natural free status. The sample beam was incident onto the bottom part of the skin, which had a thickness of around 2.3 mm. Before DOPC was applied, a series of scattering speckle patterns from the tissue were captured by the recording camera to analyze the tissue decorrelation time and form the decorrelation curve plotted in Fig. 7(a). From this curve we can tell its decorrelation time is 28 ms, where the decorrelation time is defined as the time when the speckle correlation coefficient decreases to 1/e. After that, DOPC was conducted with an exposure time of 0.5 ms on the recording camera, at a refresh rate of 50 Hz and a playback holding time of 10 ms. A series of images from the observation camera triggered by the playback signal with an exposure time of 3 ms are included in Fig. 7(b) and Visualization 1 (5 s video) along with a corresponding APD plot in Fig. 7(c). From the focus images and APD plot, we can tell a clear focus was constructed and maintained through unrestricted tissue on a living animal. From the average of 10 images, we calculate that the PBR is 180. For our system, a refresh rate up to 100 Hz with flexible holding time is achievable. It should also be noted that in the middle of the movie we can observe a short failing of conjugation due to severe body movement from respiration, not due to the decorrelation of the tissue itself.

#### 4. DISCUSSION AND CONCLUSION

In this work, we demonstrated what we believe to be the first DMD-based DOPC system. This system is capable of playback with latency of the order of milliseconds, a speed improvement of approximately 2 orders of magnitude over prior DOPC systems. Using the fast DOPC system, we demonstrated the ability to create an indefinitely sustainable focus through unrestricted tissue on a living animal, a capability that has not been previously reported for any OPC experiments. While nonlinear approaches can, in principle, provide this capability as well, this DOPC approach is direct and can provide a greater than unity fluence reflectivity. Our system can achieve greater than 2000 fluence reflectivity, which is crucial for thick in vivo tissue application. In our case, the playback beam is set to ~10 mW and the total fluence of the scattered light from the sample is  $\sim$ 5  $\mu$ W. We further quantified our playback latency as 5.3 ms. While the background due to unmodulated light will need to be addressed in the binary phase retrieval method, it will be straightforward to extend this technology to existing OPC-based technology, such as TRUE, TROVE, and TRACK, and apply it in living tissue for biological applications. Compared to phase-only OPC, DMD-based DOPC encounters a PBR reduction of 80% (from  $\pi/4$  to  $1/2\pi$ ). However, for the DMD-based DOPC system, the PBR can be further improved by tuning  $I_{\rm ref}/\langle I_{\rm sam} \rangle$  in the single-shot binary phase retrieval. For example, suppose  $I_{ref}$  and  $I_{sam}$  have intensities of the same order of magnitude; then the binary phase retrieval equation will be

$$DMD(x, y) = \begin{cases} 1, & I_i(x, y) < I_{ref}(x, y) \rightarrow |\alpha - \pi| < \phi \\ & \phi = \arccos\left(1/2\sqrt{I_{ref}/I_{sam}}\right) < \frac{\pi}{2}. \end{cases}$$
 (6)

As  $I_{\rm sam}$  follows a Rayleigh distribution [29], by comparing the intensity difference we can statistically select a smaller phase range  $2\phi$  than  $\pi$ . The theoretical PBR in this condition (detailed derivation in Supplement 1) is a unimodal function of  $I_{\rm ref}/\langle I_{\rm sam}\rangle$ , which achieves up to 12.6% higher PBR at  $I_{\rm ref}/\langle I_{\rm sam}\rangle=1.61$  than when  $I_{\rm ref}\gg\langle I_{\rm sam}\rangle$ . In our experiments, we selected this condition as closely as possible. However, due to the dynamic and heterogeneous nature of biological tissue, it is likely that the PBR could have been further improved by finetuning this ratio to more accurately select this optimal condition.

Recently, focusing through thin *ex vivo* tissue samples (200 µm chicken breast) was demonstrated at submicrosecond timescales by using the self-organization of an optical field inside a multimode laser cavity [30]. Despite its speed, the approach demonstrated only around 1000 controllable modes, and the number of controllable modes will significantly diminish for thicker samples. This hinders its applications to thick *in vivo* tissue. In addition, the technique relies on optical feedback from the target position, preventing it from being extended to noninvasive techniques with a guide star to focus inside biological tissue.

The flexibility of the DOPC system also provides the additional ability to trade-off controllable modes for reduced playback latency. Since the time for recording and phase transfer is proportional to frame size, shrinking the frame size can further decrease the playback latency. For example, if the frame size is reduced to  $1920 \times 70$ , the playback latency is below 1 ms. Although the PBR will also decrease for smaller frame sizes, up to  $1.3 \times 10^5$  controllable pixels are still available at a frame size of  $1920 \times 70$ . In practice, we could balance the number of controllable modes (PBR) and time latency based on the decorrelation properties of different samples.

The architecture of our DOPC system also has the potential to be applied in microsecond scale wavefront shaping. Currently, the playback latency is determined by the sum of the time required by the recording exposure, data transfer from the camera to the FPGA, and binary phase transfer from the FPGA to the DMD. As the development of fast and sensitive scientific cameras continues, the exposure time and recording transfer time can be reduced by orders of magnitude. Here we have used an exposure time of 0.5 ms, the minimum exposure time available for the camera, which may be reduced to tens of microseconds or even several microseconds in the future. Meanwhile, the sample beam intensity has to match the shorter exposure. This will be hindered by tissue absorption, which can be addressed by switching from the 532 nm laser source to near-infrared wavelengths, which have orders of magnitude lower tissue absorption. The minimal wavelength dependency of the DOPC system compared to nonlinear OPC systems allows this conversion to a different wavelength regime to be direct. We anticipate that with near-infrared light, in vivo DOPC applications on tissue centimeters thick can be realized. Finally, a phase transfer time of around 50 µs can be realized by using a better FPGA (e.g., Altera Stratix V) and a customdesigned data transfer interface to match the maximum refresh rate of the DMD (23 kHz). With the development of faster DMD devices, this time may be further reduced to several microseconds. As the decorrelation rate of tissue drastically increases with thickness, such improvements would ultimately enable wavefront shaping to be applied for optogenetics in the whole brain, in vivo deep tissue imaging, and photodynamic therapy for internal organs.

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<sup>†</sup>These authors contributed equally to this work.

See Supplement 1 for supporting content.

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### applied optics

## Noncontact full-angle fluorescence molecular tomography system based on rotary mirrors

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We propose a novel noncontact fluorescence molecular tomography system that achieves full-angle capacity with the use of a new rotary-mirrors-based imaging head. In the imaging head, four plane mirrors are mounted on a rotating gantry to enable illumination and detection over 360°. In comparison with existing full-angle systems, our system does not require rotation of the specimen animal, a large and heavy light source (with scanning head), or a bulky camera (with filters and lens). The system design and implementation are described in detail. Both physical phantom and *in vivo* experiments are performed to verify the performance of the proposed system. © 2015 Optical Society of America

OCIS codes: (110.0113) Imaging through turbid media; (110.1758) Computational imaging; (110.3010) Image reconstruction techniques; (110.6960) Tomography.

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#### 1. INTRODUCTION

Fluorescence molecular tomography (FMT) is an emerging technology that provides noninvasive, quantitative, three-dimensional imaging of fluorescence markers in vivo. Benefitting from mathematical modeling of light transport inside highly scattering tissue, this technique overcomes the limitations of depth information loss in the simple and widely used planar reflectance imaging [1]. At present, FMT has been successfully applied in observing fluorescence-tagged biological processes occurring deep inside tissues, such as enzyme activity [2], expressions of cancer markers [3,4], and targeted agent delivery [5].

The inherent scattering nature of biological tissue limits the spatial resolution of FMT in deep tissue. Many efforts have been made in the past decade to enhance the spatial and temporal performance of FMTs, including developing novel reconstruction algorithms and image acquisition designs [6,7]. In early FMT schemes, the imaging systems were generally fiber based [2], wherein optical fibers were used to transfer the input excitation light to the object surface and the output emission light to detectors. However, such systems are disadvantageous in several ways. The limited number of source-detector pairs in such systems restricts the achievable spatial resolution. Moreover, matching fluid is generally used to enhance the attachment of the fibers to irregular small-animal surfaces and photon transportation modeling; however, the presence of an additional scattering medium between fibers and the animal surface further degrades the spatial performance. The subsequently

developed camera-based noncontact imaging systems have overcome these limitations by allowing the implementation of over 1 million source-detector pairs [1,8].

As in the case of x-ray computed tomography (CT), it has been proven that multiple projection directions over full angles (360°) are crucial for accurate FMT reconstruction [9]. In this regard, several approaches have been proposed to increase the number of projection view directions for camera-based noncontact imaging systems. The most straightforward and easy way is to rotate the small animal [10-12]. However, the imaged small animal has to be carefully suspended by a holder, which unnaturally restricts the animal while also making the imaging preparation process rather complex. While the animal's rotation speed is generally set to very low values to reduce the risk of internal organ movement, this limits the system's temporal performance in observing fast dynamic processes. On the other hand, several groups have developed systems that involve the rotation of the camera/light source [13-15]. In these systems, the rotary gantry needs to be carefully designed to mount the bulky camera (with filters and lens) and light source (with a scanning head), and further, the problem of twisting of the large number of power and signal cables during rotation needs to be addressed. Currently, most systems of this type utilize the rotary gantry used in commercial small-animal CT systems, and the gantry rotation speed is generally low in consideration of the bulky mounted components.

In the context of the limitations of these approaches, researchers have noted that a conical mirror can be used to map the full-angle view to a single image plane, and, hence, it has been widely used in nonrotary full-angle-view applications such as endoscopy [16,17]. A conical-mirror-based imaging system for small-animal optical molecular tomography was first proposed for bioluminescence tomography applications [18], wherein there is no requirement for scanning by an excitation light. Li et al. were the first to propose a conical-mirror-based FMT system [19]. In their system, to project the full-angle data to a single camera chip, the small animal is generally placed far away from the camera. Only the small ring portion of the camera is utilized to image the full-angle view, which limits the sampling density. In addition, the close placement of mirror surfaces to the animal also causes multiple backreflections of the excitation and emission beams to some extent, which leads to degradation of reconstructed image quality. To overcome the backreflection issue, Lee et al. subsequently proposed a system with dual conical mirrors [20]. The use of conical mirror pairs has also led to better utilization efficiency of the camera sensor chip. However, in contrast to Li et al.'s system, which has no rotary parts [19], in newer conical-mirror-pair systems, a large and heavy light source with a scanning head is mounted on a rotating gantry for light illumination at different projection directions. Apart from area-detector (camera)-based systems, in recent years, FMT systems with point detectors [photomultiplier tubes (PMTs)] have also been used to obtain a noncontact full-angle view [21,22]. In a previous study [21], seven dual-wavelength time-resolved detection channels were used, and small-animal rotation was performed to achieve the full-angle view. In [22], lightweight lens-coupled optical fibers (five detection fibers and one excitation fiber) were arranged in a fan-beam geometry and mounted on a rotating gantry. With the use of digital photon counting PMTs, these systems are advantageous for time-resolved measurements [21,23] and ultra-weak signal applications such as imaging large animals (rats) [23] or endogenous contrast [24]. However, as the detector number is limited in such systems, obtaining a large number of source-detector pairs generally takes long acquisition time.

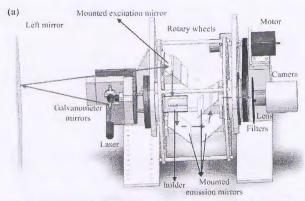
In this work, we propose a novel camera-based FMT system wherein full-angle view is achieved by the use of four plane mirrors (called rotary mirrors in this article) mounted on a rotary gantry. In comparison with previous camera-based FMT systems, our system offers the following advantages. First, there is no need to rotate the small animal, the large and heavy light source (with scanning heads), or the bulky camera (with filters and lens). Second, compared to conical-mirror-based systems [19], our system's camera utilization efficiency is greater and does not suffer from the backreflection effect.

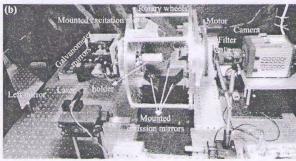
This paper is organized as follows. In section 2, the system and its parameter considerations are described. In section 3, the evaluation of the system with physical phantom and *in vivo* experiments is described. Section 4 discusses and concludes the paper.

#### 2. METHODS

#### A. Experimental Setup

Figure 1(a) shows the schematic of the proposed system, whose main components are a laser, a full-angle scanning and projection unit, and a detection camera. The full-angle scanning and





**Fig. 1.** Setup of a full-angle fluorescence molecular tomography system based on rotary mirrors. (a) Schematic of the system. (b) Photograph of actual system.

projection unit enables the system to acquire multiple projection images over the full angle. In this unit, raster excitation point light scanning for a specific view direction is performed by a pair of galvanometer mirrors (Viasho), a plane mirror (the "left mirror") positioned at the left end of the device, and a reflectance mirror mounted on the rotary wheels. The rotation of the wheels enables the mounted excitation mirror and three emission mirrors to project excitation light onto the imaged object surface and deliver the emission light to the detector at different angles over 360 deg. All the mirrors including the left mirror, galvanometer mirrors, and the rotary mirrors (mounted excitation and emission mirrors) are silver coated to provide high reflection efficiency (>97%) for visible to near-infrared light wavelengths.

The details of the paths traversed by the light beams in the system are as follows. The laser beam from the diode laser source (780 nm, 14 mW) is guided through a pair of galvanometer mirrors (scanning). After being reflected successively by the left plane mirror and the mounted excitation mirror on the rotary gantry, the laser beam is directed to the imaged object (fixed on the holder) surface. The two galvanometer mirrors allow raster scanning of the laser beam over one side of the object surface. The transmitted excitation light and emission light beams exiting from the opposite side of the imaged surface are reflected successively by the three mounted emission mirrors. These beams finally pass through the inner core of the right rotary wheel and are directed to the detector. The detector is a highly sensitive sCMOS camera (Neo 5.5, Andor) coupled to a 60 mm f/2.8D lens (Nikkor, Nikon). The camera has a

large-sized chip with 2560 x 2160 pixels (chip area of 16.6 mm × 14 mm) with 16 bit dynamic range, and it is cooled to -30°C to ensure ultralow imaging noise (read noise of 1 e- and dark current of 0.015 e-/pixel/s). The image field of view is 11.2 cm × 9.4 cm, which is sufficiently large for wholebody mouse imaging. When collecting fluorescence images, a  $832 \pm 18.5$  nm bandpass (FF01-832/37-25, Semrock) filter is positioned in front of the lens, which provides high lightfiltering ability [optical density (OD) > 10 at excitation light wavelength]. For excitation light images, a neutral density filter of 1% transmittance (GCC-301061, Daheng) is used instead to prevent possible damage to the camera due to high-energy photons. The three emission mirrors are mounted on the rotary wheels and inclined at 45° to the horizontal axis. Consequently, the object plane near the small-animal surface can be accurately imaged by the camera without there being any differences in the optical path lengths. The homemade rotary gantry (diameter of 40 cm) containing two connected rotary wheels allows guiding of the laser direction and data detection over multiple projection angles. The wheels are supported by two U-shaped mechanical arms fixed on the optical table. For some projection angles (over 30° and below 150°), the excitation light is blocked by the left mechanical supporting arm. Consequently, a large window is opened in the left arm to ensure excitation light illumination at a projection angle of 90°. As shown in Fig. 1, both rotary wheels have 10-cm-diameter holes in the center. For the left wheel, the inner core is used to aid in fixing the object holder. With this design, the object holder can be fixed on the left supporting arm and remain static when the wheels are rotating. For the right wheel, the inner core allows excitation and emission light to travel through it. A stepper dc motor (57BYG350DL, Syntron) rotates the gantry through a toothed belt and a pair of gears. The ratio between the two gears is 4:1. A motor controller (SH30806, Syntron) drives the motor rotation with a resolution of 0.015°. In comparison to a conventional gantry that is mounted with bulky components, our gantry is relatively simple in terms of design since only four lightweight mirrors are mounted on it. Consequently, the load torque to the motor is small, and no power or signal cables are moved (there is no cable twisting) during the rotation. The system is controlled by means of a graphical user interface that was developed using Labwindows.

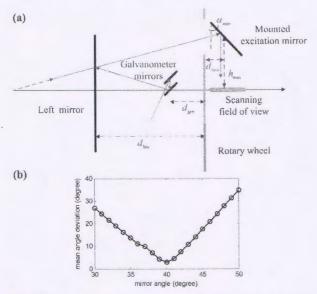
For the alignment of the rotary wheels and camera, the key is to ensure that the rotation axis of the wheels is perpendicular to the camera chip, with the axis lying along the chip center. In the proposed imaging device, the object white-light images at different projection angles can be acquired if we provide highly diffused ambient light illumination. In other words, white-light illumination is required to be near-uniform over 360°, which is easily achieved by reflecting the light multiple times from a table lamp with the surrounding shielding fabric and the optical table. Consequently, with the utilization of the acquired white-light images, the system alignment is considerably easier than that for conical-mirror-based systems [19,20] or pointdetector-based systems [21,22]. The alignment can be achieved in two steps. First, we place a graph paper in the object plane and fix it on the rotary wheel. When the wheels rotate through an angle, the acquired graph paper image will rotate by the same angle, since three mirrors are used in the emission path. Subsequently, by rotating the wheels, we can determine the image rotation center and make it overlap with the camera chip center. Second, similar to the method used in small-animal rotation-based systems [25], we can use a cylindrical object and its silhouettes at different angles to iteratively determine and fix the tilt between the camera and the rotation axis.

Accurate laser scanning over the desired field of view needs careful consideration in terms of the component setup that involves excitation light scanning; the considered parameters include the relative distances between the rotary wheel and light source and the angle of the mounted reflection mirror. To achieve the desired illumination scanning for each projection direction, the laser beam should be able to reach the mounted excitation mirror for all possible mirror positions. To ensure that there is sufficient space to position the imaged object, the bottom part of the mounted excitation mirror is set at a height of least 10 cm from the horizontal axis, and the upper part of the mirror (11 cm length) is subsequently ~19 cm from this axis. Hence, the path length of the light from the galvanometer mirror to the rotary wheel should be at least 19/ $\tan(2\theta_{\rm max})=76$  cm, where  $\theta_{\rm max}=7^{\circ}$  is the maximum deflection angle of the galvanometer mirrors. It is to be noted that the smaller is the distance  $d_{gm}$  between the galvanometer mirror and the rotary wheel, the more compact is the total size of the light scanning section. Here,  $d_{gm}$  is 17 cm, and it is limited by the space needed for supporting the rotary wheel and for fixing the galvanometer mirrors. Consequently, the distance from the left rotatory wheel to the left mirror, dbm, is set as  $d_{\rm bm}=47>(76+17)/2$  cm. Since it is easier and better for modeling if the illumination angles are all nearly parallel to the view direction at different scanning positions, optimization of the angle  $\alpha_{mm}$  of the mounted mirror was performed by minimizing the mean angle deviation to the view. Based on the results shown in Fig. 2(b),  $\alpha_{mm}$  was chosen to be 40°. This configuration corresponds to a mirror of size 8 cm x 11 cm and scanning field of view of 8 cm x 7 cm, values that are sufficient for whole-body mouse imaging.

The use of multiple projection directions has been proved to be critical to obtaining a better spatial resolution along with reduced artifacts. In the proposed system, because of "shielding" by the mechanical support arm, some projection directions (lying in the range of 30°–150°, except 90°) are not fully accessible for illumination scanning. As projections from the opposite sides of these "unavailable" viewing directions can be performed, by optimizing the illumination point sources' distribution, the information loss corresponding to these unavailable directions can be mostly compensated. Herein, we focus mainly on demonstrating and verifying the proof-of-concept of our system. In the future, illumination at these "shielded" angles can be achieved by using transparent material (e.g., glass) to replace the opaque parts on the mechanical support arm.

#### **B.** Image Reconstruction

For a source-detector pair  $(\vec{r}_s, \vec{r}_d)$ , with the assumption that light travels from  $\vec{r}_s$  to position  $\vec{r}$  and from  $\vec{r}$  to detector  $\vec{r}_d$  and integrating over the whole imaged domain  $\Omega$ , the detected fluorescence signal  $\Phi_{\rm em}(\vec{r}_s, \vec{r}_d)$  can be expressed as



**Fig. 2.** Laser beam scanning process in the proposed system. (a) Path traversed by light in the laser beam scanning process. (b) Optimization of the angle  $\alpha_{\rm mm}$  of the mounted excitation mirror.

$$\Phi_{\rm em}(\vec{r}_{\scriptscriptstyle \rm F},\vec{r}_{\scriptscriptstyle \rm d}) = \Theta_{\rm em} \int_{\Omega} \Phi_{\rm ex}(\vec{r}_{\scriptscriptstyle \rm F},\vec{r}) G_{\rm em}(\vec{r},\vec{r}_{\scriptscriptstyle \rm d}) f(\vec{r}), \qquad \mbox{(1)}$$

where  $f(\vec{r})$  denotes the fluorescence distribution, and  $\Theta$  represents the total system amplification factor corresponding to the quantum efficiency, detection efficiency, etc. The subscripts ex and em indicate the excitation light and emitted fluorescence wavelengths, respectively, and G denotes Green's function. For source-detector separations of more than several millimeters, light propagation in turbid tissue media can be modeled by a partial differential equation called the diffusion equation. Green's function  $G(\vec{r}_i, \vec{r}_i)$  can subsequently be solved via numerical techniques, such as the finite element method [26,27], by setting the spatially localized impulse function  $\delta(\vec{r}-\vec{r}_i)$ ; as the source term.

The uneven system amplification factor  $\Theta$  and unknown heterogeneity of the imaged medium severely affect the accuracy of light propagation modeling and deteriorate the inverse reconstruction quality. The normalized Born method [28] has been proven an effective approach to reduce these negative influences. In the Born method, instead of emission data alone, the normalized ratio  $\Phi_{born} = \Phi_{em}/\Phi_{ex}$  of the corresponding measurements at the emission and excitation wavelengths is used for reconstruction:

$$\Phi_{\text{born}}(\vec{r}_s, \vec{r}_d) = \frac{\Theta_{\text{em}}}{\Theta_{\text{ex}}} \int_{\Omega} \frac{G_{\text{ex}}(\vec{r}_s, \vec{r}) G_{\text{em}}(\vec{r}, \vec{r}_d)}{G_{\text{ex}}(\vec{r}_s, \vec{r}_d) f(\vec{r})}.$$
 (2)

By discretizing the imaged domain to  $n_{\nu}$  uniform voxels with volume  $\Delta V$ , the above integral equation can be transformed to the following linear equation:

$$\Phi_{\text{born}}(\vec{r}_{s}, \vec{r}_{d}) = \sum_{i=1}^{n_{V}} G(\vec{r}_{s}, \vec{r}_{i}) G(\vec{r}_{i}, \vec{r}_{d}) / G(\vec{r}_{s}, \vec{r}_{d}) \Delta V f(\vec{r}_{i}).$$
(3)

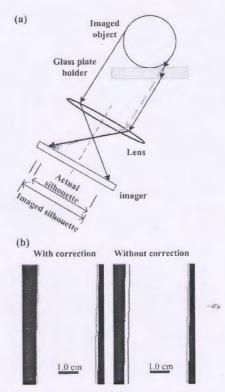
For data from all M source-detector pairs, a matrix-vector product form can be generated as

$$\Phi_{\text{born}} = W \begin{bmatrix} f(\vec{r}_1) \\ \vdots \\ f(\vec{r}_{n_V}) \end{bmatrix}, \tag{4}$$

where W denotes the weight matrix with size  $M \times n_V$ . The above linear system is highly ill-posed, which makes direct inversion impossible. In this study, the random access algebraic reconstruction technique (R-ART) [29] with non-negative constraints, which has been widely applied for FMT [11,28], is used for solving the linear system.

#### C. Correction of Glass Plate Holder Refraction

Acquiring the object 3D surface is important for diffusive light propagation modeling. For rotation-based full-angle FMT [10–12], the object 3D surface can be easily recovered using Radon-transform-based methods [30,31] from the object silhouettes over the full angle. In earlier applications of these methods, the imaged small animal was generally suspended or held by a "mouse fixer" and the imaged region had no shielding. In the proposed system, a 0.2-cm-thick transparent glass plate is used as the small-animal holder. At different projection angles, the holder covers different parts of the imaged object when viewing from the camera. As shown in Fig. 3(a), due to the refraction effect, the acquired silhouette tends to become larger than the actual one when a part of the object is imaged



**Fig. 3.** Silhouette correction. (a) Refraction occurring at plate glass holder. (b) The overlay of the projected boundary (red lines) of the recovered 3D surface and acquired silhouette. For the surface recovery, 72 silhouettes were acquired over 5 deg steps.

through the holder, which globally translates to a small error distance when the object is totally behind the plate. The refraction effect is non-negligible and therefore needs to be precorrected for accurate surface recovery. As shown in Fig. 3(b), for a cylindrical object (diameter of 3.0 cm), without correction, the recovered surface does not correctly align with the acquired silhouettes for some projection directions, with deviations of up to 0.2 cm. In contrast, the mismatch is greatly reduced with correction. Similarly, such a correction also needs to be performed for the acquired excitation and fluorescence images.

#### 3. EXPERIMENTS AND RESULTS

The performance and effectiveness of the proposed system was evaluated via experiments with physical phantom and *in vivo* mouse imaging experiments.

#### A. Physical Phantom Experiments

A single fluorescence target experiment was performed at first to evaluate the tomography capability of the proposed system. A solid phantom with an embedded fluorescence target was used for this purpose, and the phantom's structural image was obtained using a small-animal CT (Skyscan, Bruker). The solid phantom was prepared as follows [19]. Buffered saline and 2% agar were heated to 95°C. The agar was dissolved in saline and the mixture was cooled by decreasing the temperature. At 60°C, intralipid was added. At 40°C, the liquid mixture was poured into a glass cylinder (inner diameter of 2.4 cm and outer diameter of 2.8 cm), and a fluorescence tube was also inserted into the cylinder. The fluorescence tube was prepared by filling a transparent glass tube (0.3 cm inner diameter and 0.5 cm outer diameter) with 40 µL 4 µmol/L Indocyanine Green (ICG) dissolved in dimethylsulfoxide (DMSO). The prepared phantom was refrigerated for 2 h prior to the experiment. The optical properties of the solid phantom are similar to those of 1% intralipid ( $\mu'_s = 10 \text{ cm}^{-1}, \mu_a = 0.02 \text{ cm}^{-1}$ ). In the experiment, both excitation and emission data were collected over 15 deg steps. At each projection direction, 15 illumination positions were scanned over an area of 1.2 cm × 2.0 cm in steps of 0.4 cm × 0.5 cm, as indicated in Fig. 4(a). The illumination settings were chosen empirically, and it is noteworthy that these settings can further be optimized theoretically to increase the number of acquired data sets or reduce the total number of light sources [9,11,32]. The acquired fluorescence projections (exposure time of 1.0 s and pixel binning of  $4 \times 4$ ) at different angles are shown in Fig. 4(a). These projections indicate large scattering of light in turbid media. In the tomography reconstruction, the imaged region was discretized to 3.2e3 uniform voxels (0.15 cm × 0.15 cm × 0.15 cm). From the projection images, totally 4.4e4 source-detector pairs were obtained in the reconstruction. Further, 50 R-ART iterations were performed with a relaxation parameter of 0.05. Figure 4(b) shows the results of this experiment. From the overlaid fluorescence and structural images, we observe that the reconstructed target closely matches the actual target in terms of both localization and size.

Next, the sensitivity of the proposed system was evaluated by quantifying the smallest ICG quantities that could be

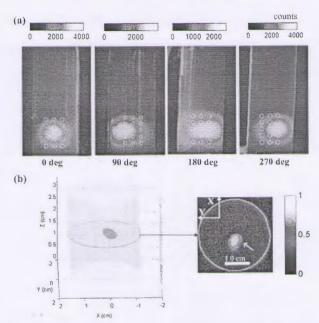
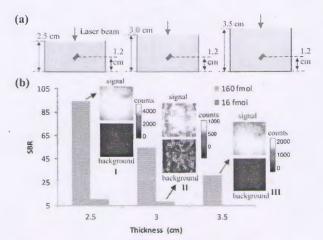


Fig. 4. Physical phantom imaging experiment. (a) Overlaid fluorescence images along four different projection directions. The white markers represent the illumination positions, with the diamond marker representing the position corresponding to the fluorescence image. (b) Reconstructed fluorescence image. The tomography fluorescence slice image shown is normalized to its maximum value.

detected in a near-slab-shaped tank filled with 1% intralipid. The geometrical size of the phantom was 5 cm × 5 cm × Z cm, where Z is the thickness of the filled intralipid. Three thicknesses were adopted (2.5, 3.0, and 3.5 cm), covering the range of the mouse size over different view directions. The fluorophore was prepared by filling 40  $\mu$ L ICG (dissolved in DMSO) in the glass tube (0.3 cm inner diameter and 0.5 cm outer diameter). Two different concentrations were selected (0.004  $\mu$ mol/L and 0.0004  $\mu$ mol/L, corresponding to ICG quantities of 160 fmol and 16 fmol, respectively). As shown in Fig. 5(a), for each thickness, the tube was placed 1.2 cm away from the bottom side. Similar to a previous study [23], the signal-to-background ratio (SBR) was chosen for evaluating the sensitivity:

$$SBR = \frac{\operatorname{mean}(|I_F - I_{bg}|)}{\operatorname{mean}(|I_{bg} - I'_{bg}|)},$$
(5)

where  $I_F$  represents the acquired fluorescence intensity image;  $I_{\rm bg}$  and  $I'_{\rm bg}$  are two independently acquired background intensity images in the absence of the fluorophore. Herein, in calculating the SBR, a 1.0 cm × 1.0 cm region of interest was selected around the maximum fluorescence signal position. Parameter  $I_{\rm bg}$  consists of the components of excitation light leakage, autofluorescence, ambient light, and camera dark noise. The ambient light can be significantly reduced with sufficient shielding and digitally subtracted, while the autofluorescence can be reduced or removed with autofluorescence removal methods [33]. The excitation light leakage can be estimated from the excitation light image and subtracted or



**Fig. 5.** Sensitivity evaluation experiment. (a) Phantom setup. (b) Fluorescence SBR of different thicknesses and ICG quantities. For conditions I, II, and III, the exposure time was 60, 360, and 180 s, respectively. The size of the signal and background images shown is  $1.0~\rm cm \times 1.0~cm$ .

reduced using improved fluorescence filters. Hence, without loss of generality, only the camera dark noise and the shot-noise term of other background contributions (excitation light leakage, autofluorescence, and ambient light) are considered as the sensitivity restriction factor, as depicted by the denominator of the right-hand side of Eq. (5). In image acquisitions, the pixel binning was 8 x 8, and the exposure time varied with ICG quantities from 60 to 480 s. From the SBR data of ICG quantity of 160 fmol in Fig. 5(b), we found that a 1 cm increase in thickness led to around 3 times decrease in SBR. However, for the same thickness increase, the detected fluorescence signal intensity decreased nearly 1 order (7 times). The reason is that, along with the thickness increase, the background signal contribution from excitation light leakage and autofluorescence also decreased. For ICG quantity of 16 fmol, the fluorescence signal could be clearly observed for 3.0 cm thickness, with SBR of 8. However, for this ICG quantity, the fluorescence signal became hard to differentiate for 3.5 cm thickness. Hence, the detection limit of the system was less than 16 fmol for thickness no more than 3.0 cm. For 3.5 cm thickness and 160 fmol ICG, the SBR was 31. Then, the sensitivity limit for 3.5 cm thickness was within the range of 16 to 160 fmol and can be estimated close to 16 fmol side (e.g., around 40 fmol) from the SBR data in Fig. 5(b).

#### B. In Vivo Experiments

We performed FMT imaging of a small animal to examine the efficacy of our system. This experiment was approved by the Science and Ethics Committee of the School of Biological Science and Medical Engineering in Beihang University, China. One nude mouse (5 weeks, 22 g) was anesthetized with pentobarbital and placed on the glass plate holder, as shown in Fig. 5(a). A small fluorescence tube (40  $\mu$ L 4  $\mu$ mol/L ICG (dissolved in DMSO) filled into a glass tube of 0.3 cm inner diameter and 0.5 cm outer diameter) was embedded inside the nude mouse.

In the full-angle tomography experiment, the fluorescence (exposure time of 1.0 s and pixel binning of 8 x 8) and excitation projections were acquired along multiple directions in 15 deg steps. Subsequently, the mouse was scanned along 18 directions in the permissible direction range. For each direction, three illumination positions were scanned in steps of 0.4 cm, as shown in Fig. 6(a). After optical imaging, the mouse was scanned using the small-animal CT. For reconstruction, the imaged region was discretized to 1.1e3 uniform voxels  $(0.15 \text{ cm} \times 0.15 \text{ cm} \times 0.15 \text{ cm})$ . From the projection images, a total of 1.1e4 source-detector pairs were obtained in the reconstruction. The optical properties of the mouse were assumed to be homogeneous ( $\mu'_s = 10 \text{ cm}^{-1}$ ,  $\mu_a = 0.1 \text{ cm}^{-1}$ ) for simplicity. One hundred R-ART iterations were performed with a relaxation parameter of 0.01. As shown in Fig. 6(b), the reconstructed target matched well with the actual one, with the center position showing a small deviation of 0.06 cm. We speculate that the deviation may be caused by unknown heterogeneities relating to the optical properties and inherent resolution limitations arising due to light diffusion. This result demonstrates the feasibility of the proposed system for in vivo applications.

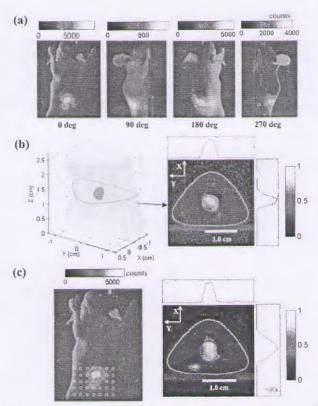


Fig. 6. Mouse imaging experiment. A fluorescence target was embedded inside the abdominal region of the mouse. (a) Overlaid fluorescence images for four different projection directions. (b) Reconstructed image for full-angle projection. (c) Image for single-direction projection. Left: fluorescence projection. Right: overlaid reconstructed image. In (a) and (c), the white markers represent the illumination positions, with the diamond marker representing the position corresponding to the fluorescence image. The tomography slice images shown are normalized to their maximum values.

Tomography with a single projection direction was also performed for the purpose of comparison. We performed sequential scanning of 35 illumination positions (0.30 cm × 0.30 cm), as shown in Fig. 6(c). In total, 1.0e4 source–detector pairs were acquired from the 35 projection images, which was close to the corresponding number of pairs in the full-angle case.

As shown in Fig. 6(c), stronger artifacts appeared near the boundary. The boundary artifacts were caused by the higher noise sensitivity of the boundary voxels [34-37]. Close to the boundaries, the diffusion approximation is less accurate or not valid, and the small 3D surface mismatch also significantly influences the forward modeling of the boundary voxels. The artifacts can be reduced to some extent using more suitable algorithms [36-38]. Acquiring more useful imaging information also aids in reducing the artifacts. As shown in Fig. 6(b), with the advantage of the full-angle view, the observed boundary artifacts were considerably reduced. The full width at half-maximum (FWHM) values in the full-angle case were 0.30 and 0.38 cm along the X and Y axes, respectively. In contrast, in the single-projection-direction case, the FWHM values of the reconstructed target were 0.58 and 0.30 cm along the X and Y-axes, respectively. The considerably lower resolution was obtained along the X axis because no projection operation was performed along the Y direction [9]. The contrast-to-noise ratio (CNR) parameter was also used to evaluate and compare the image quality of the reconstructed slice images in Figs. 6(b) and 6(c). CNR is a measure that indicates whether a localized image feature is well discernible against the image noise, and has been widely used to characterize the performance of a FMT reconstruction system [39] and algorithm [34]. CNR is defined

$$CNR = \frac{mean(I_{ROI}) - mean(I_{bg})}{\sqrt{\omega_{ROI} var(I_{ROI}) + \omega_{bg} var(I_{bg})}},$$
 (6)

where  $I_{\rm ROI}$  is the reconstructed fluorescence signal within the actual fluorophore inclusion (ROI, region of interest), and  $I_{\rm bg}$  is the fluorescence signal in the complement of the ROI.  $\omega_{\rm ROI}$  and  $\omega_{\rm bg}$  are the weight factors, which were set to the fraction of area occupied by the ROI and background, respectively. Herein, the ROI was determined from the CT image. The CNR for the full-angle and single-projection-direction cases were 6.4 and 4.3, respectively, indicating that the targets were well discernible in both cases (CNR > 3) [39]. The CNR evaluation further quantitatively confirmed that the full-angle case obtained much better image quality. In summary, the comparison of the above results again evidences that full-angle projection is essential and important for improved FMT results.

#### 4. DISCUSSION AND CONCLUSION

In this study, we proposed and demonstrated a novel noncontact small-animal FMT imaging system with full-angle capacity. Since our system utilizes rotary planar mirrors for illumination and signal acquisition, the small animal need not be rotated, and this makes the experimental procedure easier and faster. The bulky components including scanning light source and the camera also do not require rotation, thereby considerably simplifying system design. In addition, in comparison with the

conical-mirror-based system, the proposed system offers the advantages of overcoming backreflections and more efficient utilization of the camera's dynamic range. Both physical phantom and *in vivo* experiments were performed to evaluate the performance of the proposed system.

When compared with system architecture that requires mounting of the light sources and camera on a gantry [13-15], the architecture of lightweight plane mirrors simplifies the gantry design and enables fast rotation speeds. These advantages become more significant when incorporating more FMT functions, such as multiple-wavelength capacity, frequency-domain, and time-domain detection. Multiplewavelength capacity is important for FMT, as it provides the benefits of tissue autofluorescence reduction or removal [33], simultaneous observation of multiple biological activities by multiple probes [40], and wider applicability for a series of fluorescence probes operating in the range from visible to nearinfrared light. This is why existing commercial small-animal optical tomography systems (single-projection-view FMT including IVIS spectrum imaging and the FMT 2500 LX from Perkin Elmer) support multiple wavelengths. In this case, more light sources and coupling optical components (such as beam splitters) and larger filter wheels and more filters have to be integrated. In comparison with continuous-wave imaging in the demonstrated proof-of-concept system, frequency-domain and time-domain detection can be used to obtain more information, such as the fluorescence lifetime, and provide higher spatial resolution, such as utilizing early-arrival photons [41]. However, for both these imaging domains, the light source and detection parts make the system considerably more bulky. A light modulator must be added for operation in the frequency domain, and the pulse laser that is used for time-domain detection is always very large. Further, a large time-gated image intensifier needs to be coupled to the detection camera. For example, in [15], wherein a noncontact full-angle frequency domain FMT is demonstrated, the primary challenge is the selection of the minimally sized components and the mounting of all these still-bulky components on the rotation gantry. However, with the proposed imager, all these additional components can be fixed on the optical table, making system extension to related applications considerably easier.

As regards the proposed imager, the three emission plane mirrors also contribute to loss in detection efficiency. To address this issue, high-reflection (>97% efficient for visible to near-infrared light) silver-coated mirrors were chosen. The energy loss caused by the mirrors was consequently less than 9%. In the demonstrated system, the optical path from the small animal to the image sensor was around 53 cm. Apart from the field-of-view considerations, this length was chosen to reduce the depth effect (amplification variations) caused by the 3D mouse shape. In future systems, this length can be further reduced by optimizing the placement of emission mirrors, gantry design, and the imaging lens. However, when compared with systems that do not use mirrors, our triple mirrors indeed lengthen the optical path, which also leads to loss in detection efficiency. It should be noted that when compared to the imaging performance of the conical-mirror-based system, which maps the full-angle view to a single image sensor, the optical path problem of our imager is less severe. Although the detection efficiency in our case is slightly reduced, the signal-to-noise ratio (SNR) reduction depends on practical conditions. In fluorescence image detection, the noise consists of four components: excitation light leakage, autofluorescence, ambient light, and camera dark noise. The two former components are the dominant noise sources; however, these noise signals travel the same path as the fluorescence signal of interest and are reduced at the same level. As regards ambient light, the noise level is similar in all kinds of systems, and it can be reduced by sufficient shielding and digital subtraction. As a deep-cooling scientific camera is used, the camera dark noise is ultralow. When the fluorescence signal is high, the camera dark noise is negligible, and the SNR can be maintained by increasing the exposure time. For low fluorescence quantification conditions, we can analyze the SNR issue from two aspects. If the dominating noise term including excitation light leakage and autofluorescence is post-removed, the system sensitivity can be greatly enhanced. For our imager, very low ICG quantities from 16 to 160 fmol could be detected in 1% intralipid with a thickness ranging from 2.5 to 3.5 cm. One example is that, if a background image is acquired before injection of fluorescence targets, post-removal can be performed by subtracting the background image from fluorescence image. In this condition, for ultralow fluorescence light detection, the camera dark noise becomes a significant part. Hence, the SNR decreases to near the same level as that of the signal energy loss. In contrast, if post-noise-removal is not performed, the system sensitivity decreases by 1 order of magnitude or more, and the camera dark noise can be considered negligible. Consequently, for a detectable fluorescence signal, the SNR does not decrease since we can increase the exposure time to compensate for the limited energy loss.

In summary, in this study, we proposed a novel noncontact full angle imager for camera-based FMT. In future studies, we plan to focus on integrating this full-angle FMT system with x-ray CT and applying it to biological research areas such as cancer treatment evaluation.

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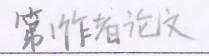
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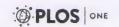
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## High-Performance Fluorescence Molecular Tomography through Shape-Based Reconstruction Using Spherical Harmonics Parameterization



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#### Abstract

Fluorescence molecular tomography in the near-infrared region is becoming a powerful modality for mapping the three-dimensional quantitative distributions of fluorochromes in live small animals. However, wider application of fluorescence molecular tomography still requires more accurate and stable reconstruction tools. We propose a shape-based reconstruction method that uses spherical harmonics parameterization, where fluorophores are assumed to be distributed as piecewise constants inside disjointed subdomains and the remaining background. The inverse problem is then formulated as a constrained nonlinear least-squares problem with respect to shape parameters, which decreases ill-posedness because of the significantly reduced number of unknowns. Since different shape parameters contribute differently to the boundary measurements, a two-step and modified block coordinate descent optimization algorithm is introduced to stabilize the reconstruction. We first evaluated our method using numerical simulations under various conditions for the noise level and fluorescent background; it showed significant superiority over conventional voxel-based methods in terms of the spatial resolution, reconstruction accuracy with regard to the morphology and intensity, and robustness against the initial estimated distribution. In our phantom experiment, our method again showed better spatial resolution and more accurate intensity reconstruction. Finally, the results of an *in vivo* experiment demonstrated its applicability to the imaging of mice.

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#### Introduction

Near-infrared fluorescence molecular tomography (FMT) is used for the three-dimensional (3D) localization and quantification of fluorescent targets deep inside turbid tissue. As a convenient and cost-effective small animal imaging modality, it can provide accurate visualization and quantification of the distribution of fluorescent tracers. Various applications have been proposed or carried out using this tool to monitor diseases at the molecular level, such as enzyme activity [1], mapping expressions of cancer markers [2], [3], and monitoring targeted drug delivery [4]. Davis et al. recently presented multicolor imaging to monitor two cancer markers simultaneously [5]. Although some devices for FMT are commercially available, the need for higher spatial resolution and more quantitative and reliable reconstruction hinders the wider application of this technique.

The recovery of 3D fluorescence distribution from boundary measurements is a nonlinear inverse problem. Because of the scattered light propagation inside turbid tissue media, the problem is highly ill posed and thus susceptible to data noise and model errors. The ill-posedness makes FMT reconstruction a significant

challenge. As a solution, additional prior information is generally applied through different regularization techniques. Smooth distribution constraints are typically imposed through methods such as Tikhonov regularization [6]. Information on the sparse distribution is utilized through different compressed sensing techniques [7], [8]. Edge enhancement priors are utilized by penalizing the fluorescence intensity gradient as a regularized term, such as in the total variation method [9], [10], [11], [12]. The development of multimodality FMT systems [13], [14] has boosted the fusion of information derived from anatomical structures [15], [16]. High-density sampling [17], which increases the amount of boundary measurements, has also proven effective, and several studies have focused on investigating the optimal source-detector configurations for different kinds of FMT imaging systems [18], [19]. Although these advances have been critical to moving FMT from the laboratory to commercial applications, great challenges remain in order to obtain 3D fluorescence distributions stably and accurately.

In many specific applications, the distribution of fluorescent targets can be well described as the sum of a small number of subdomains (shapes) with constant piecewise intensities. This

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approximation is very suitable for tumor applications, where the fluorescence agent binds specifically to tumor tissue. With shape parameterization, the number of unknowns is greatly reduced, which in turn decreases the ill-posedness of the reconstruction. Shape parameterization has been applied to diffuse optical tomography [20], [21], bioluminescence tomography [22], and electrical impedance tomography [23]. For FMT reconstruction, several studies have used a piecewise constant assumption. Alvarez et al. [24] applied a level set to time-resolved FMT to implicitly impose shape constraints, where the distributions are recovered with the piecewise constant assumption and the lifetime is estimated using a gradient method. They performed a series of numerical simulations to verify its effectiveness. Despite the reduced ill-posedness, shape-based reconstruction is still a nonlinear and ill-posed problem, and the initial conditions critically affect its solution. To overcome this limitation, Laurain et al. [25] extended topological sensitivity analysis to generate good initial estimates for shape-based FMT and evaluated the effectiveness through numerical simulations. In our previous work, we performed shape based reconstruction by assuming the fluorescent targets to be regular ellipsoids [26]. A two-step solver was developed to enhance the robustness against the initial values and noise, and graphics processing unit (GPU) acceleration was adopted to accelerate the computation of the Jacobian matrix and gradient.

In this work, we developed a novel shape-based reconstruction method by introducing spherical harmonics [27] for shape modeling. Compared to our previous ellipsoid approximation, spherical harmonics can better model irregular targets [20], [23], [28], which leads to more accurate recovered images. In the proposed method, the inverse problem is parameterized with respect to the spherical harmonics coefficients of the shape boundaries. To stabilize the solution, the two-step strategy is expanded, and a modified block coordinate descent approach is introduced to recover shape parameters. Since the computation of the Jacobian matrix and gradient with respect to the spherical harmonics coefficients is rather complex and time-consuming, we accelerate their calculations by using GPU based on our previous work [26] on ellipsoid shape parameters. We evaluated the proposed method using numerical simulation, a physical phantom, and in vivo data, and it demonstrated much better performance than conventional voxel-based reconstruction.

#### Methods

#### Forward problem

In turbid tissue media, the light propagation for source—detector separations of more than several millimeters can be modeled by a partial differential equation called the diffusion equation. By setting the spatially localized impulse function  $\delta(\vec{r}-\vec{r}_s)$  as the source term, the Green's function  $G(\vec{r}_s,\vec{r})$  can then be solved via numerical techniques such as the finite element method (FEM) [29], [30]. By considering that light travels from  $\vec{r}_s$  to position  $\vec{r}$  and from  $\vec{r}$  to detector  $\vec{r}_d$  and integrating over the whole imaged domain  $\Omega$ , the forward mapping from the fluorescence distribution  $f(\vec{r})$  to the received fluorescence signal  $\Phi_{em}(\vec{r}_s,\vec{r}_d)$  for the source—detector pair  $(\vec{r}_s,\vec{r}_d)$  can be expressed as

$$\Phi_{em}(\vec{r}_s, \vec{r}_d) = \Theta_{em} \int_{\Omega} \Phi_{ex}(\vec{r}_s, \vec{r}) G_{em}(\vec{r}, \vec{r}_d) f(\vec{r})$$
 (1)

where  $\Theta$  represents the total system amplification factor from the quantum efficiency, detection efficiency, etc. The subscripts ex and em indicate the excitation light and emitted fluorescence

wavelengths, respectively. In FMT reconstruction, using the normalized Born ratio  $\Phi_{born} = \Phi_{em}/\Phi_{ex}$  of the corresponding measurements at the emission and excitation wavelengths has been proven to provide much more robust performance with respect to the uneven system amplification factor  $\Theta$  and unknown heterogeneity of the imaged medium compared to using the fluorescence signals alone [31]. Given Green's functions, the normalized Born ratio can be written as follows:

$$\Phi_{born}(\vec{r}_s, \vec{r}_d) = \Theta_{em}/\Theta_{ex} \int_{\Omega} G_{ex}(\vec{r}_s, \vec{r}) G_{em}(\vec{r}, \vec{r}_d) / G_{ex}(\vec{r}_s, \vec{r}_d) f(\vec{r}) \qquad (2)$$

For numerical computation, the above integral equation is generally discretized using the piecewise constant voxel basis as follows:

$$\Phi_{born}(\vec{r}_s, \vec{r}_d) = \sum_{i=1}^{n_V} G(\vec{r}_s, \vec{r}_i) G(\vec{r}_i, \vec{r}_d) / G(\vec{r}_s, \vec{r}_d) \Delta V f(\vec{r}_i)$$
 (3)

where the imaged domain is divided into  $n_v$  uniform voxels with volume  $\Delta V$ . For data from all M source-detector pairs, a matrix-vector product form can be generated from Eq. (3):

$$\Phi_{born} = W \begin{bmatrix} f(\vec{r}_1) \\ \vdots \\ f(\vec{r}_{n_V}) \end{bmatrix}$$
(4)

where W is the weight matrix with size  $M \times n_V$ . The above linear system is highly ill posed, which makes direct inversion impossible. A priori information is typically required for stabilization, such as smooth constraints imposed via Tikhonov regularization.

We assumed that the fluorescent targets have sharp interfaces and are distributed as piecewise constants. That is, the imaged domain  $\Omega$  can be split into n disjointed subdomains  $\Omega_i$ ,  $i=1,\cdots,n$  and the remaining background  $\Omega_0=\Omega\setminus\bigcup_i=1^n\Omega_i$  with constant concentrations  $\rho_i+\rho_0$  and  $\rho_0$ , respectively. Then, the fluorescence distribution is expressed as follows:

$$f(\overrightarrow{r}) = f(x, y, z) = \rho_0 + \sum_{i=1}^{n} \rho_i U(\overrightarrow{r} \in \Omega_i)$$
 (5)

where U is the unit step function. In our previous work [23], we used ellipsoids to approximate the subdomains for simplicity; however, this approach is limited with regard to modeling irregular geometries. Spherical harmonics can represent fairly intricate 3D polar shapes well (a polar shape can be described as a single-value function in spherical coordinates with respect to a center position). Since more accurate shape modeling yields better shape reconstruction performance, we adopted real-value spherical harmonics to parameterize the arbitrary 3D subdomain boundaries  $\partial \Omega_i$ , as introduced in [28]. Then, the surface locations  $\overrightarrow{r} | \partial \Omega_i$  of boundary  $\partial \Omega_i$  are represented in spherical coordinates with respect to a given center  $(x_c, i, y_c, i, z_c, i)$ :

$$\overrightarrow{r}|\partial\Omega_{i} = \sum_{l=0}^{N} \sum_{m=-l}^{l} C_{l}^{m} \widetilde{Y}_{l}^{m}(\vartheta, \varphi)$$
(6)

where  $\{C_l^m\}$  are the expansion coefficients and N is the maximum degree of spherical harmonics used. The real value basis function

 $\tilde{Y}_{I}^{m}(\vartheta,\varphi)$  is defined as follows:

$$\tilde{Y}_{l}^{m}(\vartheta,\varphi) := \begin{cases}
Re[Y_{l}^{m}](\vartheta,\varphi), m \leq 0 \\
Im[Y_{l}^{m}](\vartheta,\varphi), m > 0
\end{cases}$$
(7)

where  $Y_l^m$  are the spherical harmonics functions of complex values [24]. Then, a single fluorescence inclusion can be parameterized using  $(N+1)^2$  expansion coefficients for up to N-order spherical harmonics, the center position, and the fluorescence concentration. In addition to the background concentration  $\rho_0$ , a total of  $n((N+1)^2+4)+1$  shape parameters model the piecewise constant fluorescence distribution with n disjointed subdomains, which can be depicted by the new notation  $\zeta$ . In this study, we used second-order spherical harmonic coefficients.

#### Inverse problem

To recover the shape parameters  $\zeta$ , a least-squares minimization function is established to minimize the difference between theoretical predictions and practical measurements:

$$\arg\min_{\zeta} \Psi(\zeta) = \frac{1}{2} \|\Phi_{born} - F(\zeta)\|_{2}^{2}$$

$$= \frac{1}{2} \|\Phi_{born} - Wf_{\zeta}\|_{2}^{2}$$

$$s.t.1) \rho_{i}, \rho_{0} \geq 0, i = 1, \cdots, n$$

$$2) x_{c}^{\min} \leq x_{c} \leq x_{c}^{\max}, y_{c}^{\min} \leq y_{c} \leq y_{c}^{\max}, z_{c}^{\min} \leq z_{c} \leq z_{c}^{\max}$$

$$3) r^{\min} \leq r_{i} \leq r^{\max}, r_{i} \in \partial \Omega_{i}, i = 1, \cdots, n$$

$$4) r_{i} \geq \min(r_{i}) \geq 1/c \max(r_{i}), r_{i} \leq \max(r_{i}) \leq c \min(r_{i}), c \geq 1,$$

$$r_{i} \in \partial \Omega_{i}, i = 1, \cdots, n$$

$$5) V_{i} \cap V_{j} = \emptyset, \forall i, j, i \neq j$$

$$(8)$$

Herein, given a previously defined uniform voxel discretization with sufficient small size such as  $0.7\times0.7\times0.7\text{mm}^3$ , the theoretical predictions  $F(\zeta)$  are computed via a matrix-vector product based on Eq. (4). The fluorescence distribution vector  $f_{\zeta}$  is generated by transforming the shape parameters to the voxel grids through Eqs. (5)–(7). For stable reconstruction, reasonable constraints are imposed to the shape parameters. The first constraint is the nonnegativity of the fluorescence intensity. The second is to restrict the shape centers inside box bound of the image object. The following two constraints prevent the radius from being too small or large and geometric shapes from being too narrow. The final constraint (non-overlap) guarantees the disjointedness of the subdomains.

Although the unknowns are greatly reduced because of the spherical harmonics, Eq. (8) is still a complex nonlinear problem. An appropriate iterative solver is needed for its numerical solution, which relies on the shape gradient and Hessian matrix. However, gradient-based solvers are extremely sensitive to the initial conditions, and getting a good initial estimate inside the imaged object is generally a difficult and challenging task. In our previous work [26], since different types of shape parameters contribute differently to the measurement data, we handled the ellipsoid shape parameters using a two-step strategy and proved its capability of improving the robustness against initial conditions. Based on the previous work, we introduced a two-step and

modified block coordinate descent strategy for spherical harmonics-based shape reconstruction, as shown in Fig. 1. In the first step, by setting the initial shapes as spheres, Eq. (8) is solved with the spherical harmonics expansion coefficients as invariants; this yields relatively good initial conditions for the next step, especially for the center positions. A modified block coordinate descent strategy is then employed in the second step. That is, the parameters of blocks 1 (center positions and intensities) and 2 (spherical harmonics expansion coefficients and intensities) are separately estimated at even and odd iterations. Herein, the center positions and spherical harmonics expansion coefficients are placed into different blocks, as they have weak logical connections and can be separated. However, the fluorescence intensities are put into both blocks since they have strong logical connections with the other shape parameters. This is different from the standard block coordinate descent method, where each variable appears in only one block. As shown in Fig. 1, the maximum iteration number of each step (N\_first and N\_max minus N\_first) obviously influences the final reconstruction result. Empirically, N. first was set to 20. This number is sufficient to get a good estimation of the center positions; a larger value does not produce an obvious improvement but requires more computation time. For the second step, more iterations generally yield a better shape but may produce over-optimization. This is because of the high ill-posedness of the recovered block 2; details are discussed later in the discussions and conclusion section. In our experience, N\_max can be set to a relatively low value such as 40 in the presence of a high level of noise and model error. N\_max can be set to a relatively large value such as 80 in the presence of a moderate level of noise and model

In each step, a Newton-type method is used as an iterative solver for the nonlinear minimization problem, where the update  $\zeta^k + 1$  for  $\zeta$  is given by

$$\zeta^{k+1} = \zeta^k + \arg\min_{\delta\zeta} \frac{1}{2} \left\| J\delta\zeta - (\Phi_{born} - F(\zeta^k)) \right\|_2^2 + C(\zeta^k + \delta\zeta)$$
(9)

Herein,  $J:=\partial F/\partial \zeta$  is the Jacobian matrix.  $C(\zeta)$  is the penalty term because of the shape constraints  $c(\zeta) \leq 0$  and is imposed through the popular exterior penalty function method. Then, a minimization program with an increasing sequence of penalty parameters t as  $t \to \infty$  is generated:

$$\varsigma^* = \underset{\varsigma}{\arg\min} L(\varsigma, t) = \frac{1}{2} \left\| J\varsigma - (\Phi_{bom}^{meas} - F(\zeta^k)) \right\|_2^2 + t \sum_j \left\{ \min[0, c_j(\zeta^k + \varsigma)] \right\}^2 \tag{10}$$

where  $\varsigma$  is a new notation to denote  $\delta \zeta$ . In each t-sub problem,  $\varsigma$  is updated via Newton's method, and t is doubled:

$$(\varsigma)^{n+1} = (\varsigma)^n + (\nabla_\varsigma^2 L + \lambda I)^{-1} \left\{ -J^T [J\varsigma - (\Phi_{bom} - F(\zeta^k))] - \nabla_\varsigma C \right\}$$

$$t^{n+1} = 2t^n$$
(11)

where the Hessian matrix  $\nabla_c^2 L$  is  $J^T J + \nabla_c^2 C$ . Since  $\nabla_c^2 L$  is poorly conditioned, regularization is added with parameter  $\lambda$  for stable inversion, and an iterative solver is used with the symmetric LQ method (MATLAB function symmlq). The parameter  $\lambda$  was

empirically selected to be 10<sup>-3</sup> and worked well in the simulation and physical experiments.

Generally, the background volume is much larger than the targets. To improve the conditioning of the inverse problem, the background fluorescence intensity is scaled during reconstruction:

$$\rho_0' = \rho_0 / scal \tag{12}$$

In this study, the scale factor scal was set to 100.

Computation of objective function value, gradient, and Jacobian matrix

For Eq. (8), the Jacobian matrix computation can be expressed as

$$J = \partial F(\zeta) / \partial \zeta = W \partial f_{\zeta} / \partial \zeta \tag{13}$$

Since  $f_{\zeta}$  is a nonlinear function of  $\zeta$ , the perturbation method is used to compute  $\partial f(\vec{r}_i)/\partial \zeta_j$  using a sufficient small perturbation  $\Delta \zeta_j$ :

$$\partial f(\vec{r}_i)/\partial \zeta_j \approx \frac{\Delta f(\vec{r}_i)}{\Delta \zeta_j} = \frac{\Delta f(\vec{r}_i)(\zeta + \Delta \zeta_j) - \Delta f(\vec{r}_i)(\zeta)}{\Delta \zeta_j}$$
(14)

Eqs. (8), (13), and (14) show that the shape-voxel mapping  $f(\vec{r}_i)$  is the basic component for evaluating J and  $F(\zeta)$  and that it is critical to evaluating the non-overlap constraint. As no analytical expression is available for this nonlinear mapping, we can directly calculate  $f(\vec{r}_i)$  by uniformly dividing the corresponding voxel into  $16 \times 16 \times 16$  fine sub-voxels with centers  $\vec{r}_{i,j}$ :

$$f(\vec{r}_i) = \sum_{j=0}^{4095} \rho_\ell U(\vec{r}_{i,j} \epsilon \Omega_\ell) / 4096$$
 (15)

Herein, the point-in-shape test  $\vec{r}_i j \epsilon \Omega_{\ell}$  is performed according to Eqs. (6) and (7).

Similar to our previous work [26], the frequently performed basic operations (i.e., weight matrix multiplication and shape-voxel mapping) take more than 90% of the computation time. Hence, we accelerated them using the advanced CUDA GPU platform [32], [33], where the former is performed using the standard CUDA CUBLAS library and the latter is performed as shown in Fig. 2(a). Generally, a shape target is small compared to the whole imaging domain, and processing the many non-overlapped voxel-shape pairs using GPU is inefficient [32], [33]. Hence, a voxel-shape paring procedure is first performed with CPU by judging the overlap between a voxel and the bounding box of a shape. GPU is then used to determine the concrete overlapped volume for each voxel-shape pair through concurrently executed threads.

As shown in Fig. 2(a), the point in shape test  $U(\vec{r}_{i,j} \in \Omega_{\ell})$  is the most important component of the shape-voxel mapping. For the polar shape, this test is performed by comparing the radius  $\vec{r}_{i,j}$  from the shape center to the point and the radius  $r_s$  of the corresponding shape surface point, as illustrated in Fig. 2(b). Theoretically,  $r_s$  can be directly calculated using Eq. (6); however, this is time-consuming. For faster computation, we adopted an interpolation technique. A triangular-mesh unit sphere surface is introduced where each mesh node has a pair of spherical coordinates  $(\vartheta, \varphi)$ , as shown in Fig. 2(c). These mesh nodes can then determine the parametric surface by finding their new

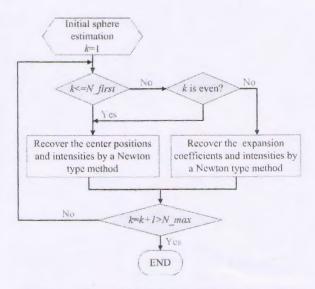


Figure 1. Optimization of spherical harmonics shape parameters. In the first step (the initial  $N_-$  first iteration), the unknown targets are assumed to be spheres. In the second step (the following iterations until the maximum iteration number  $N_-$  max), a modified block coordinate descent strategy is adopted to alternately recover the grouped shape parameters. doi:10.1371/journal.pone.0094317.g001

distances from the center through Eq. (6). The unit sphere transformation is inspired by [28], where the mapped mesh was mainly used for boundary element method discretization and solution. The  $(\vartheta, \varphi)$  space is then uniformly refined to  $100 \times 100$  grids, and the corresponding radii are calculated by interpolation from those mesh nodes. Then, given a point  $\vec{r}_{i,j}$  with spherical coordinates  $(\vartheta_{i,j}, \varphi_{i,j})$ , the radius of the corresponding surface point  $\vec{r}_s(\vartheta_{i,j}, \varphi_{i,j})$  can be easily determined through two-dimensional interpolation among the four neighbor points in the  $100 \times 100$  regular grids. As this lookup table operation is rather simple, it can be easily implemented through GPU.

#### Voxel-based reconstruction

In the experiments, the proposed method was compared with traditional voxel-based reconstruction. In general, voxel-based reconstruction is formulated as a linear system:

$$\Phi_{born} = Wp \tag{16}$$

where p is the fluorescence distribution in 3D voxels and W is the weight matrix as described in Eq. (4). The linear system is ill posed, which means that direct inversion is impossible. In this study, two techniques were used for its solution: the random access algebraic reconstruction technique (R-ART) with nonnegative constraints, which has been widely applied for FMT [34,35]; and Tikhonov regularization, where Eq. (16) is transformed into  $\frac{1}{2}$  regularized solution:

$$\arg\min_{p\geq 0} \psi(p) = \frac{1}{2} (\|\Phi_{born} - Wp\|_2^2 + \gamma \|p\|_2^2)$$
 (17)

where  $\gamma$  is the regularization parameter. The regularized least-squares problem is solved by using the conjugation gradient

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method, and the nonnegative constraints are imposed through the exterior penalty function method.

#### **Experiments and Results**

The performance and effectiveness of the proposed method was evaluated through numerical simulations and experiments with a physical phantom and a mouse *in vivo*. All reconstructions were performed on our desktop computer, which has an Intel 2.8-GHz quad-core CPU, 16 GB RAM, and an NVIDA GTX 480 graphics card.

#### Numerical simulations

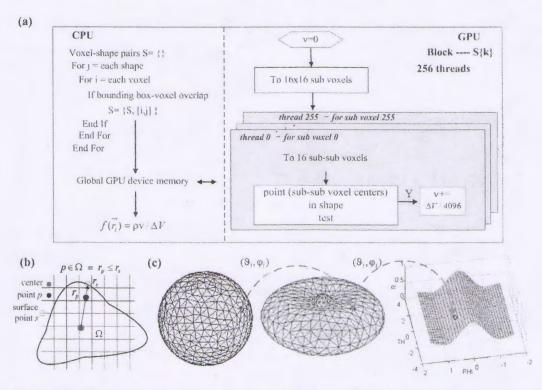
A series of simulations was performed to compare the proposed method with the traditional voxel-based method and evaluate its performance in the presence of noise and background contrasts.

To mimic the heterogeneous optical properties of a real mouse, a cylinder model (6.0 cm height and 2.0 cm diameter) with two cylindrical heterogeneities (6.0 cm height and 0.35 cm diameter) was used, as shown in Fig. 3. Reasonable optical properties were chosen with a background of  $\mu_a = 0.3 \text{cm}^{-1}$ ,  $\mu_s' = 10.0 \text{cm}^{-1}$  and heterogeneity of  $\mu_a = 0.5 \text{cm}^{-1}$ ,  $\mu_s = 10.0 \text{cm}^{-1}$ . Full angle data-acquisition was adopted [34], where data were simulated for 24 evenly distributed projection angles around the model. For each projection angle, the light source was sequentially scanned over five positions in steps of 0.3 cm to generate five projections. For each projection, the detector sampling on the charge coupled device (CCD) detection field of view was over a 1.8 cm×2.2 cm

region with  $0.2~\mathrm{cm}$  spacing. The data simulations were performed using FEM.

In the reconstructions, we simply assumed the imaged object to be homogenous with optical properties of  $\mu_a = 0.3 \text{cm}^{-1}$ ,  $\mu'_s = 10.0 \text{cm}^{-1}$ to mimic the unknown heterogeneity in practical cases. During all of the numerical experiments, the same geometry constraints were applied with  $0.04 \text{cm} \le r \le 0.5 \text{cm}$ ,  $c = 10, -1.0 \text{cm} \le x, y \le 1.0 \text{cm}$ , and  $2.0 \text{cm} \le z \le 4.0 \text{cm}$ . Eighty iterations (one update in Eq. 9 corresponds to one iteration) were performed in the shape-based reconstructions, where the first step took 20 iterations. The 3D voxels for the Jacobian matrix calculation were inside the cylinder model and over (-1.01.0)cm  $\times (-1.01.0)$ cm  $\times (2.04.0)$ cm with a voxel size of 0.07cm × 0.07cm × 0.07cm. In the comparison experiments, 3D voxels were also used in voxel-based reconstruction. In the voxel-based reconstruction, both R-ART and Tikhonov regularization were adopted. R-ART was iterated 200 times with a relaxation parameter of 0.1. The Tikhonov regularization parameter was empirically set to 1 × 10-3, which gave a good balance between stability and smoothing. The conjugate gradient method was performed until the relative difference between neighboring iterations was less than

Reconstruction of dual inclusions of different shapes. We evaluated the proposed method with closely placed dual inclusions of various shapes; these included ellipsoids, cuboids, and triangular prisms. The parameters are specified in Table 1. There was no fluorescence in the background. Then, 5% Gaussian noise was added to the synthetic measurements.



**Figure 2. Shape-voxel mapping.** (a) Flowchart of the GPU-accelerated computation of shape-voxel mapping. (b) Illustration of how to determine whether a point is inside a polar shape. (c) Digitalization of spherical harmonics parameterized shape with triangular mesh surface. The parameterized-shape surface mesh (middle) is generated by mapping a predefined triangular meshed unit sphere surface (left). For each mesh node (e.g., blue circle), its new radius on the parameterized-shape surface is found by keeping its  $(\vartheta_i, \varphi_i)$  unchanged. Further, a regular table is generated in the spherical coordinates  $(\vartheta, \varphi)$  space from these mesh nodes for faster point-in-shape determination. doi:10.1371/journal.pone.0094317.g002

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As shown in Fig. 4, neither R-ART nor Tikhonov regularization could resolve the dual targets for all of the different shapes. In contrast, because of the shape parameterization, the proposed method demonstrated much better resolution capability. It clearly separated the adjacent dual inclusions and matched intensities and morphology well. The free background was also accurately estimated. Of course, because of the high photon scattering in tissues and the presence of noise and heterogeneity, fully accurate recovery of the true shapes was still impossible. The better resolution capability of the proposed method is because of the successful utilization of shape priors, which greatly reduces the space of possible solutions. In other words, the shape-based method can find a better solution without getting stuck in the many cut solution branches.

As a benefit of the parallel acceleration by GPU, the shape reconstruction time was typically within several minutes. For example, the shape optimization for the dual ellipsoids took about 159 s. Without GPU, the computation time was about 83 min, which was 31 times longer.

To evaluate the performance with respect to different initial values, we selected dual spheres with different center distances away from the true inclusions, which represented initial shapes with different extents of goodness. As shown in Fig. 5, the proposed method worked well and demonstrated robust performance since it considered and handled the difference among shape parameters through the two-step and modified block coordinate descent strategy. In contrast, although not shown here, the straightforward method of simply recovering all parameters simultaneously generally corrupted the reconstruction process. The objective function value could not be decreased effectively, and the true inclusions were not found.

In some cases, it may be impossible to reliably determine the targets number a priori. By assuming more targets than actually needed, the proposed method can handle this problem to some extent. As demonstrated in Fig. 5, with three initial targets, the proposed method still recovered the true targets well, whereas the false target was reconstructed with ultra-low intensity.

**Different noise level.** To evaluate the sensitivity of the proposed method to noise, different levels of Gaussian noise (2.5%–40%) were added to the synthetic measurements. The dual ellipsoids case was used as the configuration of the fluorescent targets, and the background was fluorescence-free. As shown in Fig. 6, neither voxel-based method could resolve the targets even

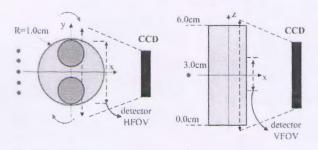


Figure 3. Simulation experiment sketch. A full-angle CCD camera-based imaging system configuration was used for the data simulation. The imaged object was a cylinder phantom with two embedded cylinder heterogeneities (different absorption coefficients). For each projection, five different excitation sources were scanned (red dots), and the detectors, which corresponded to selected detection points on the image plane, were within 1.8 cm of the detector horizontal FOV (HFOV) and 2.2 cm of the detector vertical FOV (VFOV) with a detector spacing of 0.2 cm. doi:10.1371/journal.pone.0094317.g003

separated and estimated the adjacent dual inclusions for various noise levels up to 40%; thus, it showed strong robustness against noise jamming.

Different background contrast level. Even state-of-the-art

at the lowest noise level. In contrast, the proposed method clearly

Different background contrast level. Even state-of-the-art fluorescent probes still find it difficult to completely bind to targets without residuals in the background. Thus, we evaluated the performance of the proposed method using different contrast levels from 100:1 to 10:20. The dual ellipsoids case was used as the configuration of the fluorescent targets. In addition, 1% Gaussian noise was added to the synthetic measurements.

As shown in Fig. 7, the background fluorescence could not be properly estimated by both voxel-based methods; both showed an obvious nonuniform distribution in the background region. In addition, the boundary artifacts gradually increased with the background fluorescence. For Tikhonov regularization, its spreading and smoothing effects became more evident in the presence of background fluorescence, especially at a low contrast level. Similar to the previous background-free case, the dual targets could not be resolved. In contrast, the proposed method demonstrated much better resolution capability and quantification. For all contrast levels, the dual targets were clearly separated. The background value was accurately reconstructed with a small absolute error that was within 0.001. These results verified the effectiveness of the proposed method under low fluorescence contrast conditions.

#### Physical experiments

Physical phantom and in vivo experiments were performed to evaluate the feasibility of the proposed method for practical applications. Our fluorescence imaging system, which was developed in-house, was used for data acquisition, as shown in Fig. 8(a). The imaged object was placed on a rotational stage for multiple angle image acquisition. The laser and detector were placed on opposite sides of the stage. The semiconductor laser (785 nm wavelength) output a small laser spot around 1 mm in diameter with a power of 14 mW. The detector was a highly sensitive sCMOS camera (Neo, Andor, Belfast, Northern Ireland, U.K.) coupled with a Nikkor 60 mm f/2.8D lens (Nikon, Melville, NY). The camera had a large chip area of 2560 × 2160 pixels with a 16-bit dynamic range. During the data acquisition, the sCMOS chip was cooled to  $-30^{\circ}C$  to reduce dark current noise. A neutral density filter of 1% transmittance (Daheng, Beijing, China) and 840 ± 18.5nmband-pass fluorescence filter (Semrock, Rochester, NY) were used for excitation and fluorescence image collection, respectively. In addition, 72 white light images were collected to reconstruct the object's 3D surface [36].

In the phantom experiment, a glass cylinder (inner diameter of 2.43 cm and outer diameter of 2.83 cm) was filled with intralipid (1% concentration  $\mu'_s = 10 \text{cm}^{-1}$ ,  $\mu_a = 0.02 \text{cm}^{-1}$ ). Two fluorescence inclusions were embedded closely together with a 0.10 cm edge-to-edge distance. Each inclusion was produced by pouring 40 μL of indocyanine green (concentration of 4 μmol/L) into a transparent glass tube (0.3 cm inner diameter and 0.5 cm outer diameter). Excitation and fluorescence data were collected at 36 projection angles evenly distributed over 360'. For each projection angle, three excitation positions along the horizontal direction were scanned sequentially at a distance of 0.3 cm. For voxel-based reconstruction, 50 R-ART iterations were performed with a relaxation parameter of 0.05. For shape-based reconstruction, 40 iterations were performed, and geometry constraints were applied with 0.04cm  $\le r \le 0.5$ cm, c = 3, and targets centers inside the bounding box of the image object.

The fluorescence projection images in Fig. 8(b) show the high level of light scattering in turbid media. With the voxel-based

Table 1. Parameters for inclusions with various shapes.

ellipsoid	$(r_x,r_y,r_z)$	$(x_c, y_c, z_c)$	ρ
target 1	(0.22 0.11 0.15)	(0.00 0.25 3.00)	1.00
target 2	(0.22 0.11 0.15)	(0.00-0.25 3.00)	1.00
cuboid	(L,W,H)	$(x_c, y_c, z_c)$	ρ
target 1	(0.40 0.20 0.20)	(0.00 0.25 3.00)	1.00
target 2	(0.40 0.20 0.20)	(0.00-0.25 3.00)	1.00
prism	(E,H)	$(x_c, y_c, z_c)$	ρ
target 1	(0.50 0.30)	(0.00 0.30 3.00)	1.00
target 2	(0.50 0.30)	(0.00-0.30 3.00)	1.00

The second column lists the geometric dimensions: radii for the ellipsoid, edge length for the cuboid, and edge and height for the triangular prism. doi:10.1371/journal.pone.0094317.t001

method (R-ART), the dual inclusions were merged, and artifacts were present near the object boundary. In contrast, the two close targets were clearly separated by the proposed method with acceptable center deviations of 0.06 and 0.15 cm. The relative difference between the accumulated fluorescence intensities of the two targets was 8.4%, which may be partly caused by inevitable model error and the cross-talk between the two close inclusions. The actual free background was also accurately estimated. Overall, the results demonstrated that the proposed method has better resolution capability than traditional voxel-based reconstruction for practical applications.

A small animal experiment was performed to verify the feasibility of the proposed method for *in vivo* applications. This experiment was approved by the Science and Ethics Committee of the School of Biological Science and Medical Engineering in Beihang University, China. One nude mouse (5 weeks, 21 g) was

anesthetized with pentobarbital and fixed on a glass plate holder, as shown in Fig. 8(a). A small fluorescence glass tube (0.3 cm diameter and 0.5 cm length, concentration of 4 µmol/L) was embedded inside the nude mouse. The fluorescence and excitation projections were collected at a single projection angle. As shown in the first column of Fig. 8(c), the point light sources were scanned at  $5 \times 7$  positions with steps of 0.3 cm. In the reconstruction, the mouse optical properties were assumed to be homogeneous  $(\mu'_s = 10 \text{cm}^{-1}, \mu_a = 0.3 \text{cm}^{-1})$  for simplicity. For voxel-based reconstruction, 30 R-ART iterations were performed with a relaxation parameter of 0.01. For shape-based reconstruction, 40 iterations were performed, and geometry constraints were applied with 0.04cm  $\le r \le 0.5$ cm, c = 3, and target centers inside the bounding box of the image object. As shown in Fig. 8(c), the reconstructed fluorescence had a high value around the boundary and a widespread distribution inside the object. Compared to the actual single fluorescence inclusion, the reconstructed fluorescence was not acceptable. This was partly because of the limited projection angle, complex heterogeneous optical properties of the mouse, and the presence of an auto-fluorescent background. The proposed method, which benefited from the reduced number of unknowns, gave a better result. It recovered a single fluorescence inclusion and the background. This preliminary experiment demonstrated the feasibility of the proposed method for in vivo applications.

#### **Discussions and Conclusion**

We proposed a shape-based reconstruction method for fluorescence molecular tomography that uses spherical harmonics parameterization. The inverse problem is formulated as a constrained nonlinear least-squares problem. To guarantee successful reconstruction and enhance robustness against initial conditions and noise, a two-step and modified block coordinate descent strategy was introduced to handle different shape parameters. Reasonable geometrical constraints are also enforced via the exterior penalty function method for further stability and

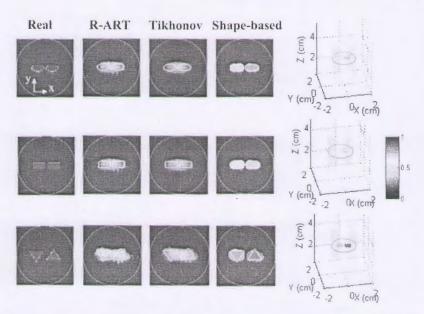
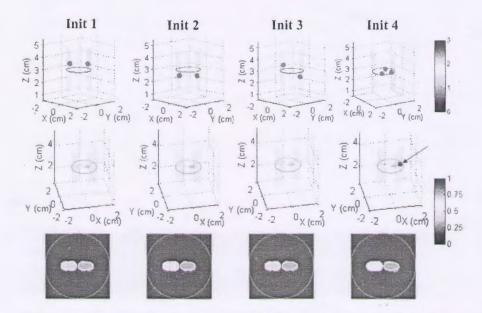


Figure 4. Comparison of the results from the proposed method and voxel-based reconstructions. In the slice images, the red circles denote the outer boundary of the imaged object, and the white lines denote the boundaries of the real inclusions. The slice images are of 3.0 cm height. doi:10.1371/journal.pone.0094317.g004

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**Figure 5. Reconstruction results with different initial estimates.** In the slice images, the red circle denotes the boundary of the imaged object, and the white lines denote the boundaries of the real inclusions. The slice images are of 3.0 cm height. doi:10.1371/journal.pone.0094317.g005

accuracy. During the optimization, the objective function value and Jacobian matrix are calculated using the perturbation method, which is also greatly accelerated using GPU. The results of the numerical simulation and physical phantom and *in vivo* experiments all demonstrated the effectiveness of the proposed method.

Because of the incorporated shape priors and the resulting reduction in the dimensions of the inverse problem, the proposed method demonstrated better resolution capability than the conventional voxel-based method in the numerical and physical experiments. However, compared to voxel-based methods, the proposed method has the weakness of a relatively small application range. In application scenarios, the fluorescence distribution should be approximated as the sum of a small number of subdomains with piecewise constant intensities.

Although the number of unknowns is greatly reduced, attention should be paid to optimization techniques, as the shape-based reconstruction is still nonlinear and ill posed. If the difference in contributions to boundary measurements by the shape parameters is not considered and these parameters are simply recovered simultaneously, the reconstruction generally fails. In the proposed method, a two-step and modified block coordinate descent strategy is introduced. The optimization strategy stabilized the shape-based reconstruction against up to a 40% noise level. It also ensured the robustness of the proposed method against different initial values for noise and heterogeneity, even when the target number is not known a priori. In many cases, a fluorescent background is inevitable. As demonstrated in the numerical simulations, the proposed method worked well for low fluorescence contrasts down to 100:20. This capacity was further verified in the *in vivo* experiment.

An intuitive explanation for the proposed optimization strategy is as follows. For a target, small deviations in its center position and expansion coefficients vary the boundary measurements for different methods. The center position deviation alters the distance from the target to different boundary sides; thus, it mainly changes the profiles of fluorescence projections. In contrast, the deviation

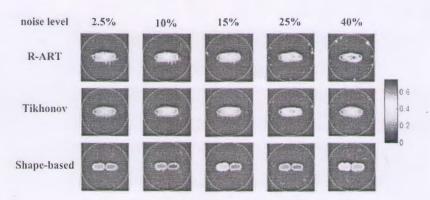


Figure 6. Reconstruction results of different noise levels. The red circle denotes the boundary of the imaged object, and the white lines denote the boundaries of the real inclusions. The slice images are of 3.0 cm height. doi:10.1371/journal.pone.0094317.g006

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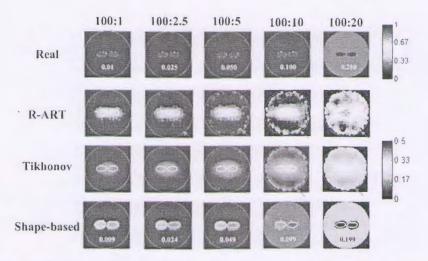
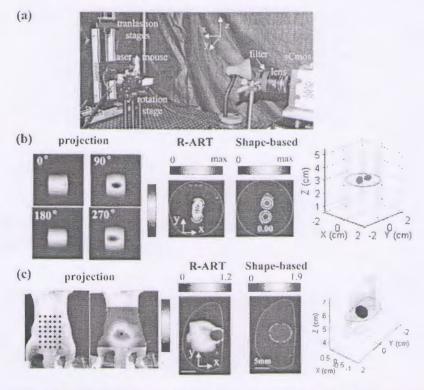


Figure 7. Reconstruction results of different fluorescence contrasts. The red circle denotes the boundary of the imaged object, and the white lines denote the boundaries of the real inclusions. The slice images are of 3.0 cm height. doi:10.1371/journal.pone.0094317.g007

of each expansion coefficient changes the target geometry and mainly changes the projection details. The difference in contributions requires the center position and expansion coefficients to be handled differently. In particular, the center position needs to be estimated first to approximate the coarse components of the fluorescence projections.

The proposed optimization strategy also has a mathematical explanation. For the Hessian matrixes of blocks 1 and 2 and all



**Figure 8. Physical experiments.** (a) The full angle fluorescence molecular tomography system developed in-house. (b) Physical phantom experiment. Two fluorescence inclusions were placed closely together inside a cylinder phantom with a 0.10 cm edge-to-edge distance. The white circles denote the inner (solid line) and outer (dash line) boundaries of the real inclusions. (c) *In vivo* experiment. A fluorescence inclusion was embedded inside a nude mouse. The grid of black dots overlaid on the mouse represents the excitation light sources. For the slice images in (b) and (c), the red circle denotes the boundary of the imaged object, and every two slice images are at the same height, as depicted by the red circle in the corresponding 3D image.

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shape parameters, their condition numbers are different in orders of magnitude. For example, in the initial dual spheres case (Init 1 in Fig. 5), the condition numbers of the corresponding regularized Hessian matrixes (empirically selected regularization parameter of  $1 \times 10^{-3}$ ) were  $1.1 \times 10^{3}$ ,  $9.6 \times 10^{4}$ , and  $1.3 \times 10^{6}$ , respectively. The high Hessian matrix condition number of block 2 means that the recovery of expansion coefficients is highly sensitive to noise and model error. Compared to block 2, the Hessian matrix condition number of block 1 was almost two orders of magnitude smaller, which means that the estimation of its variable elements is much less sensitive to error. This is why we use a two-step reconstruction scheme since the first step has the inherent advantage of much better stability. Compared to the two blocks, the Hessian matrix condition number for all shape parameters becomes even higher. Thus, when the shape parameters are recovered simultaneously in the second step, the estimation of the center positions is negatively affected by the expansion coefficients and becomes much more ill posed. Hence, the modified block coordinate descent strategy was adopted to alternately update blocks 1 and 2, which makes the second step more stable.

The convergence of the modified block coordinate descent optimization should be clarified since the standard coordinate descent method generally finds a local minimum. Although it is difficult to determine the convergence of the standard coordinate descent method [37] when the variables cannot be separated, modified block coordinate descent optimization can find a global minimum or near-global minimum in the presence of noise. The reasons are as follows. In the second step, the reconstruction has a relatively good initial estimate provided by the first step, especially for the center positions. Moreover, parameters with strong logical connections are put into the same block. In other words, the two blocks can be considered separable to some extent.

In the numerical simulation, the GPU accelerated the shapebased reconstruction about 30 times faster. The increased acceleration is important for the proposed method, as it guarantees the shape reconstruction time is only several (typically less than 3) minutes. By transforming the complex and frequently performed point-in-shape operation to a lookup table procedure, the GPU

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implementation becomes easier, and the computation speed

Apart from shape-based methods, total variation (TV) has attracted a great deal of attention in recent years since it can also strengthen the boundary edges between targets and background. Instead of directly incorporating shape priors, TV penalizes the intensity gradient information as the regularized term. Hence, it has the advantage of requiring fewer assumptions on the shape geometry and the weakness of not reducing the unknown dimensions. In recent years, TV has seen advances for FMT and demonstrated its superiority over traditional  $L_2$  regularization in background-free cases [10], [11], [12]; future progress may demonstrate its effectiveness for low fluorescence contrast conditions. Since TV problem is highly nonlinear, its performance depends on the developed solution algorithm and selected regularization parameters. Hence, focus is presently on finding the optimal parameters or developing an automated parameter selection method. In comparison, the proposed method does not have the problem of determining regularization parameters, and selecting the geometry constraints for application is intuitive and simple. In general, the two techniques of shape-based reconstruction and TV are developing towards preserving the boundary edges for piece-constant fluorescence distributions. Each has its own strengths and weaknesses and thus needs further attention.

In this study, the normalized Born method [31] was used to reduce the negative effects of unknown heterogeneous optical properties. Shape parameterization can also be used to estimate the optical properties of different inner organs and helps better model the photon propagation inside small animals. Thus, the shape-based reconstruction quality can be further improved. In future work, we will focus on developing a full shape-based method to recover optical properties and successfully guide fluorescence distributions.

#### **Author Contributions**

Conceived and designed the experiments: DW DL YF XS. Performed the experiments: DW JH HQ. Analyzed the data: DW JH HQ. Contributed reagents/materials/analysis tools: DW. Wrote the paper: DW HQ XS DL.

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第一作首泊文

# Fluorescence molecular tomography using a two-step three-dimensional shape-based reconstruction with graphics processing unit acceleration

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In fluorescence molecular tomography, the accurate and stable reconstruction of fluorescence-labeled targets remains a challenge for wide application of this imaging modality. Here we propose a two-step three-dimensional shape-based reconstruction method using graphics processing unit (GPU) acceleration. In this method, the fluorophore distribution is assumed as the sum of ellipsoids with piecewiseconstant fluorescence intensities. The inverse problem is formulated as a constrained nonlinear least-squares problem with respect to shape parameters, leading to much less ill-posedness as the number of unknowns is greatly reduced. Considering that various shape parameters contribute differently to the boundary measurements, we use a two-step optimization algorithm to handle them in a distinctive way and also stabilize the reconstruction. Additionally, the GPU acceleration is employed for finite-element-method-based calculation of the objective function value and the Jacobian matrix, which reduces the total optimization time from around 10 min to less than 1 min. The numerical simulations show that our method can accurately reconstruct multiple targets of various shapes while the conventional voxelbased reconstruction cannot separate the nearby targets. Moreover, the two-step optimization can tolerate different initial values in the existence of noises, even when the number of targets is not known a priori. A physical phantom experiment further demonstrates the method's potential in practical applications. © 2012 Optical Society of America

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#### 1. Introduction

Using the advanced fluorescence-labeling and optical-imaging technique, fluorescence imaging makes it possible to better understand the mechanism of human disease at cell or molecular level in small animal models *in vivo*. However, conventional fluorescence imaging using reflected illumination can only get two-dimensional projection images, which inherently results in poor localization and photon quantification due to the strong scattering of photons [1]. Recently, fluorescence molecular tomography (FMT), aiming at three-dimensional (3D) localization and quantification of fluorescence targets, has drawn great attention and become a research hot spot [2].

In FMT, one attempts to recover the 3D distribution of fluorescence targets embedded deeply (up to several centimeters) inside a small animal such as

1559-128X/12/368731-14\$15.00/0 © 2012 Optical Society of America a nude mouse from noninvasive measurements of emitted light. In the reconstruction the 3D volume is usually digitalized to a number of voxels. However, the reconstruction of FMT is usually a highly ill-posed problem as a large number of unknown parameters need to be resolved from the limited number of boundary measurements. Therefore, there is always a tradeoff between image resolution and stabilization of the inverse problem. In other words, better spatial resolution requires smaller voxels, but the increase of voxel numbers will cause susceptibility to data noise and model errors. For improvement, many trails have already been made by applying additional prior information, such as anatomical structure [3–5], local smoothness [6], or sparsity [7–9].

Shape-based reconstruction has emerged in recent years for tomography imaging, where the targets are assumed as the sum of a small number of subdomains with piecewise-constant contrasts. With shape parameterization, the number of unknowns will be largely reduced, greatly decreasing the ill-posedness of reconstruction. The shape-based techniques are either of implicit parameterization methods or explicit parameterization methods. In implicit parameterization methods, the shape boundaries are implicitly defined by the zero level of level-set functions. For example, it has already been applied in biooptical tomography fields such as diffuse optical tomography [10,11], bioluminescence tomography [12], and time-resolved FMT [13]. The level-set method is suitable for arbitrary target shape, yet the computation for the evolution of level sets is relatively complex. In explicit parameterization methods, different approaches [14-19] including ellipsoid, spherical harmonics, B-Spline, etc. have been used in different tomography modalities. In this paper, we assume that the fluorescence targets are ellipsoids. The assumption is reasonable for many practical applications such as in situ tumors or functional groups that can be effectively approximated by ellipsoid shapes. The parametric model can provide important medical benefit information including location, size, orientation, and contrast. In addition, this level of information may be used as the prior knowledge for other more complex shape-based reconstruction (e.g., spherical harmonics approximation) methods, thereby simplifying the algorithm development and improving its performance, which will be investigated in our future work.

Herein, the FMT reconstruction is formulated as a nonlinear optimization problem with respect to ellipsoid parameters. Reasonable geometrical constraints are applied for better robustness and accuracy. The exterior penalty function method [20] is used to enforce the constraints. Since various shape parameters contribute differently to the boundary measurements, a distinctive optimization algorithm is required for successful recovery, even though the number of unknowns has been greatly reduced. One straightforward way is to recover all shape parameters simultaneously, which is called one-step

optimization in this article. However, in a condition featuring no good initial estimation and a high level of noises, the simple approach may fail in finding a successful solution. Although only results of a single inclusion case were provided, previous reports for diffuse optical tomography [14] and electrical impedance tomography [18] have suggested that better shape-based reconstruction performance would be expected if assuming the unknown shapes as spheres at the initial stage of the inverse algorithm. For FMT, this strategy (one-step optimization with sphere preparation) does do a better job, but is still far from expected, as demonstrated in Section 3.B. Here, we still use spheres to get relatively good initials, but we only recover the center positions and fluorescence intensities in the initial step, while the radii are fixed. After that, in the second step, all the shape parameters are successively recovered. With the proposed two-step algorithm, much better robustness to initial values in the existence of noises is achieved.

During the reconstruction, the calculations of the objective function value and the Jacobian matrix are frequently performed. Since the forward mapping from shape parameters to boundary measurements is highly nonlinear, establishing a fast operator to calculate these components is challenging. For example, the ellipsoid shape-based diffuse optical tomography [14] took reconstruction time from 5 to 24 h, most of which was spent on these basic computation components. To establish the operator, one needs to solve the diffusion equation for calculating light propagation at first. Since the analytical solution of the diffusion equation is limited for simple regular geometries, in general we need a numerical method, such as the boundary element method (BEM) or the finite element method (FEM). When using BEM, different operators were proposed for diffuse optical tomography [19] and electrical impedance tomography [18] by generating the boundary element mesh dependent on the target shapes. In our method, we adopt FEM for its advantages in handing irregular geometries and heterogeneous optical properties. A 3D mesh subdivision-based technique [15] was proposed to map the target shapes to the finite element mesh in the operator for diffuse optical tomography. In [21], a 3D voxel was used as the link between the shape parameters and the boundary measurements for bioluminescence tomography. In this study, similarly, we deduce an operator for FMT based on the 3D voxel link. Compared to operators [14,18,19] that solve the forward model every time, the deduced operator only solves the forward model its first time; thus its speed improves. However, the up to 10 min of optimization time still requires further acceleration. In the last couple of years, the fast development of multicore and many-core processors, especially the graphics processing unit (GPU), makes it possible for great speed enhancement in personal computers. In this study, we further accelerate the deduced operator to several tens of times faster by using the GPU technology.

Then, the optimization time is reduced to less then 1 min when using an NVIDIA GTX-480

graphics card.

The remainder of this article is organized as follows. In Section 2, the forward diffusion model and the inverse problem are introduced. The two-step optimization algorithm is presented, and the GPU-accelerated operator for FEM-based computation of the objective function value and the Jacobian matrix is described. In Section 3, numerical simulation experiments are used to verify the proposed method. In Section 4, a physical phantom experiment is used to evaluate its reliability in practical applications. Finally, discussions and conclusions are presented in Section 5.

#### 2. Methods

#### A. Forward and Inverse Problems

In FMT, instead of the fluorescence signals alone, the normalized born ratio  $\Phi_{\rm born}^{\rm meas}$  of measured fluorescence  $\Phi_m^{\rm meas}$  and excitation  $\Phi_x^{\rm meas}$  signals is usually used for reconstruction. The benefit is that the negative effects of uneven system amplification factor and the heterogeneous optical properties can be reduced [22]. For a source-detector pair  $(\vec{r}_s, \vec{r}_d)$  with a pointlight source  $\delta(\vec{r} - \vec{r}_s)$  at position  $\vec{r}_s$  and a detector at location  $\vec{r}_d$ , by considering that light travels from  $\vec{r}_s$  to position  $\vec{r}$  and from  $\vec{r}$  to detector  $\vec{r}_d$  and integrating over the whole domain  $\Omega$ , the forward mapping from fluorescence distribution  $f(\vec{r})$  to the normalized born ratio is expressed as follows:

$$\begin{split} \Phi_{\mathrm{born}}^{\mathrm{meas}}(\vec{r}_s,\vec{r}_d) &= \Phi_m^{\mathrm{meas}}(\vec{r}_s,\vec{r}_d)/\Phi_{\mathrm{x}}^{\mathrm{meas}}(\vec{r}_s,\vec{r}_d) \\ &= \int_{\Omega} G(\vec{r}_s,\vec{r}) G(\vec{r},\vec{r}_d)/G(\vec{r}_s,\vec{r}_d) f(\vec{r}), \end{split} \tag{1}$$

where  $G(\vec{r}_1, \vec{r}_2)$  is the Green's function that describes photon propagation from a point  $\vec{r}_1$  to another position  $\vec{r}_2$ . In a highly diffusive tissue medium, the Green's function can be computed using the diffusion equation coupled with Robin-type boundary condition [23,24]:

$$\begin{cases} -\nabla \cdot [D(\vec{r}) \nabla G(\vec{r}_s, \vec{r})] + \mu_a(\vec{r}) G(\vec{r}_s, \vec{r}) = \delta(\vec{r} - \vec{r}_s) & \vec{r} \in \Omega \\ 2AD(\vec{r}) \partial G(\vec{r}_s, \vec{r}) / \partial \vec{n} + G(\vec{r}_s, \vec{r}) = 0 & \vec{r} \in \partial\Omega \end{cases}$$

where  $\mu_a(\vec{r})$  is the absorption coefficient and  $D(\vec{r}) = 1/(3(\mu_a(\vec{r}) + \mu_s'(\vec{r})))$  is the diffusion coefficient with  $\mu_s'(\vec{r})$  as the reduced scattering coefficient.  $\Omega$  is the domain of the imaged object with  $\partial\Omega$  as its boundary. A is a constant depending on the optical refractive-index mismatch on the boundary and  $\vec{n}$  denotes the outward normal of the boundary.

By discretizing the domain  $\Omega$  to a tetrahedron finite element mesh, the FEM is used to solve the partial derivative equation. Then, Eq.  $(\underline{2})$  is transformed to a linear equation as follows:

$$KG = Q,$$
 (3)

where K is the called stiff matrix in FEM, which is sparse positive definite and contains the contribution of tissue optical properties. Q is a vector corresponding to the source term. By solving Eq.  $(\underline{3})$ , the vector G of light distribution values at finite-element nodes is obtained, which can be then used to interpolate the value at an arbitrary point inside  $\Omega$ . Further details can be referred to in [23,24].

Generally, the imaged object is discretized to voxels for reconstruction. However, voxel representation suffers from the over-smooth effect, resulting in deteriorated image resolution. Instead of voxel representation, in this article, we represent the fluorescence targets by a summation of n ellipsoids with constant fluorescence intensity  $\rho$ , center positions  $(x_c \ y_c \ z_c)$ , anisotropic radius  $(r_x \ r_y \ r_z)$ , and Euler rotation angles  $(\alpha \ \beta \ \gamma)$ ,

$$f(\vec{r}) = f(x, y, z) = \sum_{i=1}^{n} \rho_i U(1 - X_i^T R_i^T \Sigma_i R_i X_i), \quad (4)$$

where

$$\begin{split} X_i &= \begin{bmatrix} x - x_{c,i} \\ y - y_{c,i} \\ z - z_{c,i} \end{bmatrix}, \qquad \sum_i = \begin{bmatrix} 1/r_{x,i} \\ & 1/r_{y,i} \\ & & 1/r_{z,i} \end{bmatrix}, \\ R_i &= R_{a_i} R_{\beta_i} R_{\gamma_i}, \end{split}$$

with

$$R_{a_i} = egin{bmatrix} \coslpha_i & \sinlpha_i \ -\sinlpha_i & \coslpha_i \end{bmatrix}, \ R_{eta_i} = egin{bmatrix} 1 & & & & 1 \ & \coseta_i & \sineta_i \ & -\sineta_i & \coseta_i \end{bmatrix}, \ R_{\gamma_i} = egin{bmatrix} \cos\gamma_i & \sin\gamma_i \ -\sin\gamma_i & \cos\gamma_i \end{bmatrix}.$$

*U* is the unit step function. As many fluorescence targets including tumors are localized and small, the ellipsoid shape approximation is reasonable and a good choice.

In FMT reconstruction, the unknown fluorescence distribution is recovered by iteratively minimizing the difference between theoretical predictions and practical measurements. Then, for the unknown shape parameters  $\zeta$ , the inverse reconstruction can be formulated as a least-squares minimization function as follows:

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$$\arg \min_{\zeta} \Psi(\zeta) = \frac{1}{2} \| \Phi_{\text{born}}^{\text{meas}} - F(\zeta) \|_{2}^{2},$$
s.t.  $1) \rho \geq 0,$ 

$$2) r^{\min} \leq r \leq r^{\max},$$

$$3) c_{xy} r_{x} \leq r_{y} \leq 1/c_{xy} r_{x}, c_{yz} r_{y} \leq r_{z} \leq 1/c_{yz} r_{y},$$

$$c_{zx} r_{z} \leq r_{x} \leq 1/c_{zx} r_{z},$$

$$4) x_{c}^{\min} \leq x_{c} \leq x_{c}^{\max}, y_{c}^{\min} \leq y_{c} \leq y_{c}^{\max}, z_{c}^{\min} \leq z_{c} \leq z_{c}^{\max},$$

$$5) -\pi/2 < \beta \leq -\pi/2,$$

$$6) S_{i} \cap S_{i} = \emptyset, \quad \forall i, j, i \neq i,$$

$$(5)$$

where  $\Phi_{born}^{meas}$  is the vector of the normalized born ratio.  $F(\zeta)$  represents the predicted values  $\Phi_{\text{horn}}^{\text{cal}}$  at corresponding measurement points. To stabilize the reconstruction, six naturally chosen constraints are imposed in the above equation. Constraint (1) is the nonnegativity of the fluorescence intensity. Constraints (2) and (3) are for the anisotropic radius, with constraint (2) to reasonably restrict the radius range, and constraint (3) to avoid too-narrow shape. Constraint (4) represents the box restrictions for center positions, which is typically set as the geometrical size bound of the imaged object. For Euler angles,  $\alpha$ and r are modulus to  $2\pi$ , but  $\beta$  covers only  $\pi$  range. Hence, we apply angle constraints only on  $\beta$  as in constraint (5). The different targets are assumed to not overlap with each other, as described in constraint (6). This means the overlap volume of any two targets should be zero,

$$V_{\text{overlap},i,j} = \int_{\Omega} [(f(\vec{r})_i/\rho_i + f(\vec{r})_j/\rho_j) > 1] = 0, \quad \forall \ i,j,i \neq j. \eqno(6)$$

As most of the above constraints are applied directly on geometry sizes such as radius or width, we transform Eq.  $(\underline{6})$  into a similar form as follows:

$$r_{\text{overlap},i,j} = \sqrt[1/3]{[3V_{\text{overlap},i,j}/(4\pi)} = 0, \quad \forall i,j,i \neq j,$$
 (7)

where the geometry size of the overlap volume is obtained by simply assuming it as a sphere.

#### B. Two-Step Optimization

In this subsection, the proposed two-step optimization algorithm is described.

As described in Section 1, different types of shape parameters contribute differently to the measurement data, requiring distinct considerations in solving Eq. (5). As illustrated in Fig. 1, we sequentially estimate the different shape parameters in two steps. At first, fixing the given radius and setting the rotation angles to zero, we solve Eq. (5) to update target centers and fluorescence intensities. In this step, the initial targets are usually set as small spheres. After that, based on the obtained relatively

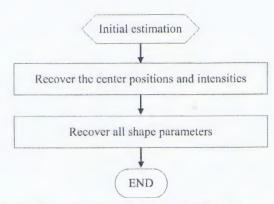


Fig. 1. Two-step optimization of ellipsoid-shape parameters.

good estimation, we recover all the shape parameters simultaneously in the second step.

In each step, we use the same optimization method described as follows. A typical way to minimize the nonlinear least-squares function Eq. (5) is a Newton-type method. The optimal is then found by iterations of local linearization and Taylor expansion around the current estimate,

$$\begin{split} \zeta^{k+1} &= \zeta^k + \arg\min_{\delta\zeta} \frac{1}{2} \left\| J \delta \zeta - (\Phi_{\text{born}}^{\text{meas}} - F(\zeta^k)) \right\|_2^2 \\ &+ C(\zeta^k + \delta \zeta). \end{split} \tag{8}$$

Here,  $J=\partial F/\partial \zeta$  is the Jacobian matrix due to linearization, whose computation details are described in Section 2.C.  $C(\zeta)$  is the penalty term due to the constraints  $c(\zeta) \leq 0$  in Eq. (5). Different ways can be used to enforce the constraint term. Here, the popular exterior penalty-function method is chosen [20]. Then, Eq. (8) is transformed to minimization programs with an increasing sequence of penalty parameters t as  $t \to \infty$ ,

$$\begin{split} \delta \zeta &= \arg \min_{\delta \zeta} L(\delta \zeta, t) \\ &= \frac{1}{2} \left\| J \delta \zeta - (\Phi_{\text{born}}^{\text{meas}} - F(\zeta^k)) \right\|_2^2 \\ &+ t \sum_j \{ \min[0, c_j(\zeta^k + \delta \zeta)] \}^2. \end{split} \tag{9}$$

In each *t*-sub problem, *t* is doubled and  $\delta \zeta$  is updated via Newton's method as

$$\begin{split} (\delta\zeta)^{n+1} &= (\delta\zeta)^n + (J^T J + \nabla_{\delta\zeta}^2 C + \lambda\Lambda)^{-1} \\ &\quad \{ -J^T [J\delta\zeta - (\Phi_{\rm born}^{\rm meas} - F(\zeta^k))] - \nabla_{\delta\zeta}C \} \\ t^{n+1} &= 2t^n, \end{split} \tag{10}$$

where  $J^T J + \nabla^2_{\delta\zeta} C$  is the Hessian matrix  $\nabla^2_{\delta\zeta} L$ . The applied identity regularization matrix  $\Lambda$  with regularization parameter  $\lambda$  is for reducing the singularity of the Hessian matrix. Moreover, the conjugate-gradient method and golden line-search method

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are used in Newton's method for effective update. In Eq. (10), contributions to  $\nabla_{\delta\zeta}C$  and  $\nabla^2_{\delta\zeta}C$  of constraints (1) through (5) can be analytically computed. For constraint (6), its contribution  $\nabla_{\delta\zeta}C_{6)}$  to  $\nabla_{\delta\zeta}C$  can be computed using the perturbation method. Then, the contribution  $\nabla^2_{\delta\zeta}C_{6)}$  to Hessian matrix  $\nabla^2_{\delta\zeta}C$  is approximated using a straightforward formula as

$$\nabla_{\delta\zeta}^2 C_{6)} = (\nabla_{\delta\zeta} C_{6)}^T (\nabla_{\delta\zeta} C_{6)})/t. \tag{11}$$

#### C. GPU-Accelerated Operator

Now, we start to describe the details of the proposed GPU-accelerated operator for fast computing the Jacobian matrix J and the objective value  $\Psi(\zeta)$ . In addition, the operator also calculates the contributions to the gradient and the Hessian matrix due to the nonoverlap constraint.

As described in Eqs. (1) and (4), the predicted measurements are a complex integration over the whole domain. For computation, the integration should be mapped to a discretized domain. In this study, a uniform 3D voxel discretization with voxel volume  $\Delta V$  is used. Then,  $F(\zeta)$  is transformed as the summation over all  $n_V$  voxels,

$$F(\zeta)(\vec{r}_s, \vec{r}_d) = \sum_{i=1}^{n_V} G(\vec{r}_s, \vec{r}_i) G(\vec{r}_d, \vec{r}_i) / G(\vec{r}_s, \vec{r}_d) \Delta V f(\vec{r}_i),$$
(18)

where  $f(\vec{r_i})$  is the mean fluorescence distribution inside the voxel i. In Eq.  $(\underline{12})$ ,  $G(\vec{r_d}, \vec{r_i})$  is used instead of  $G(\vec{r_i}, \vec{r_d})$ , since the two terms are equal based on adjoint theory, but the former usually takes less computation time. For all M source-detector pairs, a matrix-vector product form can be generated from Eq. (12),

$$F(\zeta) = W \begin{bmatrix} f(\vec{r}_1) \\ \vdots \\ f(\vec{r}_{n_V}) \end{bmatrix}. \tag{13}$$

where W is the weight matrix with size  $M \times n_V$ . Then, the Jacobian matrix J can be expressed as follows:

$$J = \partial F(\zeta) / \partial \zeta = W \partial \begin{bmatrix} f(\vec{r}_1) \\ \vdots \\ f(\vec{r}_{n_V}) \end{bmatrix} / \partial \zeta.$$
 (14)

Using perturbation method,  $\partial f(\vec{r}_i)/\partial \zeta_j$  can be defined using a sufficiently small perturbation  $\Delta \zeta_j$  as follows:

$$\partial f(\vec{r_i})/\partial \zeta_j = \frac{\Delta f(\vec{r_i})}{\Delta \zeta_j} = \frac{\Delta f(\vec{r_i})(\zeta + \Delta \zeta_j) - \Delta f(\vec{r_i})(\zeta)}{\Delta \zeta_j}. \quad (15)$$

From Eqs.  $(\underline{13})$ – $(\underline{15})$ , we can see that the computation of J and  $F(\zeta)$  is composed of two basic operations, where one is shape-voxel mapping  $(f(\vec{r}_i))$  and the other is a matrix multiplication. As the computation burden of the objective function value  $\Psi(\zeta)$  mostly lies in  $F(\zeta)$ , the two basic operations of  $F(\zeta)$  are also the key computation components for  $\Psi(\zeta)$ . We calculate  $f(\vec{r}_i)$  by dividing the corresponding voxel to  $16 \times 16 \times 16$  uniform subvoxels. Specific procedures including bounding box testing and two-stage discretization are added to speed the calculation. Although Fig.  $\underline{2}$  is for GPU-accelerated computation, it can be used to illustrate the CPU implementation, except that all executions are performed sequentially on CPU.

However, further acceleration is urgently needed. Since shape-voxel mapping is frequently performed during the reconstruction, much time is spent on it. Meanwhile, much time is also spent on the matrix multiplication operation. On the whole, during the shape-parameters optimization, the two basic operations take more than 90% of the computation time, where the details can be referred to in Section 3.C.

In recent years, GPU-accelerated scientific computing has attracted more and more attention since the release of computer unified device architecture (CUDA) by NVIDIA in 2007 [25]. CUDA allows GPU programming with C languagelike interface for NVIDIA GPUs, thus easing the development of general-purpose applications compared to traditional GPU programming languages. Despite becoming easier in programming, note that good performance of the CUDA program still requires careful consideration of the GPU architecture. In the optical tomography field, CUDA GPU has already been successfully applied in Monte Carlo simulation [26–28], numerical forward model computation [29], etc.

In our desktop computer, an NIVIDIA GTX480 graphics card was used to provide CUDA GPU computation capacity. The GTX 480 is one representative of the latest Fermi GPU architecture of NVIDIA. GTX 480 has 15 streaming multiprocessors (SMs), each with 32 scalar processors. In addition, each SM has up to 32 k register memory and 48 k shared memory for fast on-chip memory access. More details about the Fermi architecture can be referred to

Following is the acceleration of the two basic operations using the CUDA GPU computing technology. First, the matrix multiplication is easily accelerated using the CUDA CUBLAS library [31]. Second, analogous to the CPU implementation, a CUDA-based implementation of shape-voxel mapping is developed, as illustrated in Fig. 2. As an ellipsoid target is usually small compared to the whole domain, it does not overlap with many voxels. Moreover, since the data transfer between GPU SMs and the global GPU-device memory is relatively low [25,30], processing the many unoverlapped voxelellipsoid pairs using GPU will let the SMs wait for the low data transfer and work at low efficiency,

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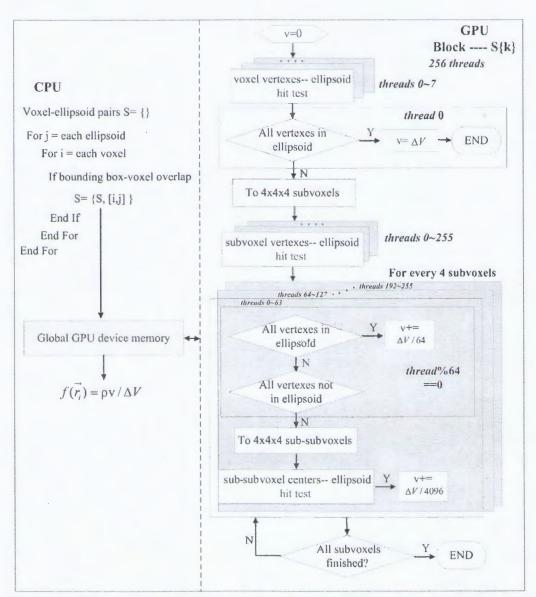


Fig. 2. (Color online) Flowchart of the GPU-accelerated computation of shape-voxel mapping. One GPU block deals with only one voxel-ellipsoid pair with 256 threads. Two levels of discretization are used for faster speed. For each subvoxel, 64 threads are formed in one group to process it.

limiting the speedup performance. Hence, a voxelellipsoid paring procedure is performed using CPU at the beginning by judging whether the bounding box of an ellipsoid overlaps with a voxel, as shown in the left part of Fig. 2. Then, GPU is used to determine the concrete overlapped volume for each voxelellipsoid pair. Similarly to the CPU implementation, for speedup enhancement, this determination is implemented by iteratively discretizing the corresponding voxel to  $16 \times 16 \times 16$  small volumes in two stages. That is, the corresponding voxel is divided to  $4 \times 4 \times 4$ subvoxels in the first stage, and a subvoxel will be further discretized to  $4 \times 4 \times 4$  sub-subvoxels in the second stage if it is partly overlapped with the corresponding ellipsoid. In the GPU parallel implementation, one GPU block (a set of threads executed on the same SM) is used to process one voxel-ellipsoid pair, where the 256 threads inside the block execute the frequently performed point-in-ellipsoid tests parallelly. To avoid interference among threads, note that the overlapped volume obtained in each thread is added to different element of a shared memory array of size 256, rather than directly to the same variable.

#### D. Voxel-Based Reconstruction

In this article, we need to compare the performance of the proposed shape-based reconstruction and the traditional voxel-based reconstruction. Hence, we briefly describe the used voxel-based reconstruction method here. Voxel-based reconstruction is typically formulated as a least-squares problem as follows:

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$$\begin{split} \xi &= \arg\min_{\xi} \frac{1}{2} \left\| \Phi_{\text{born}}^{\text{meas}} - W \xi \right\|_{2}^{2} \\ \text{s.t. } \xi &\geq 0, \end{split} \tag{16}$$

where  $\xi$  is the vector of the fluorescence intensities in 3D voxels, and W is the weight matrix as described in Eq. (13). Equation (16) is solved using the random-access algebraic reconstruction technique (R-ART) [32] with nonnegative constraints, which has been widely applied in FMT.

### 3. Numerical Simulation Experiments

In this section, we evaluate the performance and effectiveness of the proposed method through numerical simulation experiments.

As shown in Fig.  $\underline{3}$ , a cylinder model (6.0 cm height and 2.0 cm diameter) with optical properties  $\mu_a=0.3~{\rm cm^{-1}},~\mu_s'=10.0~{\rm cm^{-1}}$  was used. The optical properties adopted were close to the optical properties of the midtorso of mice, while the geometry sizes were close to the mean one during our previous in vivo experiments. A full-angle optical data-acquisition setup was adopted [33,34] for its better image resolution. Data were simulated for 18 projection angles evenly distributed over the full angle. In each projection angle, four projections were acquired sequentially, where the four excitation point sources were at 3 cm height slice and with 0.4 cm distance from each other. For each projection, the detectors were over a 1.8 cm × 2.2 cm field of view with 0.15 cm spacing, as depicted in Fig. 3. The total number of sourcedetector pairs was 12,960. The measurements were simulated using the FEM, where the cylinder model was discretized into 8283 nodes and 42,903 tetrahedron elements. 5% of Gaussian noises were added to all the synthetic measurements. The adopted noise level was close to that in physical experiments.

During all the reconstructions, the same geometry constraints were applied with  $r^{\min} = 0.04$  cm,  $r^{\max} = 0.50$  cm,  $c_{xy} = c_{yz} = c_{zx} = 1/3$ ,  $x_c^{\min} = y_c^{\min} = -1.0$  cm,  $x_c^{\max} = y_c^{\max} = 1.0$  cm,  $z_c^{\min} = 2.0$  cm, and  $z_c^{\max} = 4.0$  cm. The 3D voxels for Jacobian

matrix calculation were inside the cylinder model and over  $(-1.0 \sim 1.0)$  cm ×  $(-1.0 \sim 1.0)$  cm ×  $(2.0 \sim 4.0)$  cm with voxel size 0.07 cm × 0.07 cm × 0.07 cm. The total voxels number was 16,907. In the following subsection, the 3D voxels were also used in voxel-based reconstruction. 100 iterations [one update in Eq. (6) corresponding to one iteration] were performed in shape-based reconstructions. For two-step optimization, the two steps took 50 iterations, respectively. Similarly, for one-step optimization with sphere preparation, the initial sphere reconstruction took 50 iterations. All the reconstructions were performed on our desktop computer with Intel 2.8 GHz quad-core CPU, 16 GB RAM, and an NIVIDA GTX 480 graphics card.

### A. Comparisons with Voxel-Based Reconstruction

We compared the proposed method and the traditional voxel-based reconstruction using two close inclusions with various shapes. The detailed parameters are summarized in Table 1. In voxel-based reconstruction, R-ART was performed with an iteration number of 200 and a relaxation parameter of 0.1.

As shown in Fig. 4, when using voxel-based reconstruction, the two inclusions couldn't be resolved in all test cases. In contrast, the proposed method demonstrated much better resolution ability, where the two inclusions were clearly separated. Moreover, from the shown slice images at 3.0 cm height, it is seen that the reconstructed shapes matched the original ones very well in orientation, size, location, and fluorescence intensity. Of course, recovering the geometry exactly the same is impossible in the existence of noises, even when the targets are ellipsoids. As summarized in Table 2, for all test cases, the center deviations were within 0.018 cm, and the relative errors of accumulated fluorescence intensity were within 5.78%. The small reconstruction errors can be further reduced as the noise level decreases. These results suggest that the proposed method can work well for various shapes, even though it is based on the ellipsoid-shape hypothesis.

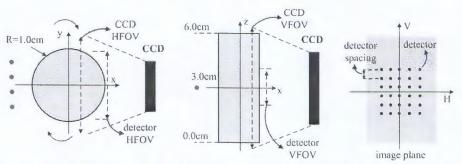


Fig. 3. (Color online) Simulation experiment sketch. A full-angle CCD camera-based imaging system is used for simulated data acquisition. The cylinder phantom object can be rotated to a different projection angle. A CCD camera with horizontal × vertical field of view (CCD HFOV × CCD VFOV) is used to capture excitation and fluorescence projection images at each projection angle. In the simulation, full-angle acquisitions were performed at 18 evenly distributed projection angles. For each projection angle, four projections were simulated with excitation source at different positions, as depicted by the red dots (in the left and middle parts). For each projection, the detectors, corresponding to selected detection points on the image plane, are within 1.8 cm detector horizontal FOV and 2.2 cm detector vertical FOV with 0.15 cm detector spacing.

Table 1. Parameters for Inclusions with Various Shapes for Cube or Cuboid Shapes, the Anisotropic Radii are Half its Edge Lengths,
Respectively

Shape	$(r_x, r_y, r_z)$	$(x_c, y_c, z_c)$	$(\alpha, \beta, \gamma)$	ρ	Accumulated Fluorescence Intensity
Ellipsoid	(0.25 0.15 0.15)	(0.00 0.225 3.00)	(pi/4, 0.00, 0.00)	1.00	0.0236
•	$(0.25 \ 0.15 \ 0.15)$	$(0.00 - 0.225 \ 3.00)$	(pi/4, 0.00, 0.00)	1.00	0.0236
Cube	$(0.15 \ 0.15 \ 0.15)$	(0.00 0.25 3.00)	(0.00, 0.00, 0.00)	1.00	0.0270
	$(0.15 \ 0.15 \ 0.15)$	$(0.00 - 0.25 \ 3.00)$	(0.00, 0.00, 0.00)	1.00	0.0270
Cuboid	$(0.25 \ 0.15 \ 0.15)$	(0.00 0.20 3.00)	(0.00, 0.00, 0.00)	1.00	0.0450
	$(0.25 \ 0.15 \ 0.15)$	$(0.00 - 0.20 \ 3.00)$	(0.00, 0.00, 0.00)	1.00	0.0450

#### B. Robustness to Different Initial Values

We evaluated the robustness of the proposed method to different initial values. The test case of dual ellipsoid inclusions in the previous subsection was used.

At first, we compared the two-step optimization strategy with one-step optimization with or without sphere initialization. The target number was assumed the same as the actual one. Note that the second step of the two-step optimization is in fact a one-step optimization, except that a good initial has already been provided by the first step. In other words, both the one-step and two-step methods will get similar solutions given a good initial value. Therefore, in the comparisons, we are more interested in the performance of these methods given different degrees of bad initial values. Considering this, four representative initial estimates were chosen, where they were set as dual spheres at different positions, as summarized in Table 3. The initial estimates 1 were seem as a good initial, since their locations and intensities were both close to the real

ones. In contrast, the other three initial estimates were worse. In particular, the initial estimates 3 and 4 were far away from the real ones, with their centers at a different height slice. It is seen that the bad degree of initial estimates 1-3 increased by increasing the center distances between initial estimates and true targets. Initial estimates 4 were chosen by only greatly increasing the radii of initial estimates 3. Then, we could observe the sensitivity of the two-step method to the different initial sphere radii used. As shown in Fig. 5, for initial estimates 1, both the one-step and the two-step optimizations achieved successful reconstructions, with the two targets clearly resolved. However, for other initials not that good, the one-step optimization cannot separate the targets. In contrast, using the two-step optimization, nearly the same reconstruction results were obtained for the different degrees of bad initial values. When the one-step optimization algorithm with sphere preparation was run, it did a relatively better job than that without sphere preparation for

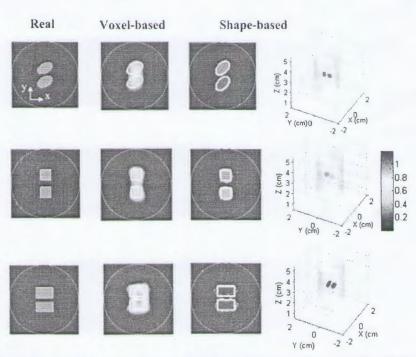


Fig. 4. (Color online) Comparison results between the proposed method and voxel-based reconstruction. In slice images, the red circles denote the outer boundary of the imaged object, and the white lines denote the boundaries of the real inclusions. The slice images are of 3.0 cm height.

Table 2. Reconstructed Parameters for Inclusions with Various Shapes

Shape	$(r_x, r_y, r_z)$	$(x_c, y_c, z_c)$	$(\alpha, \beta, \gamma)$	ρ	Accumulated Fluorescence Intensity	
Ellipsoid	(0.247 0.138 0.150)	(-0.002 0.240 3.00)	(0.367 0.200 0.253)	1.033	0.0221	
	(0.254 0.150 0.140)	$(0.001 - 0.210 \ 3.00)$	$(0.450 - 0.210 \ 0.139)$	1,127	0.0250	
Cube	(0.176 0.186 0.206)	(0.00 0.246 3.00)	$(0.228 - 1.26 \ 0.415)$	0.986	0.0278	
	(0.205 0.183 0.192)	$(-0.003 - 0.252 \ 3.00)$	$(-0.612\ 0.529\ -0.118)$	0.902	0.0272	
Cuboid	(0.336 0.121 0.213)	$(-0.007\ 0.216\ 3.00)$	$(-0.643 - 0.077 \ 0.690)$	1.201	0.0434	
	(0.325 0.171 0.175)	(0.007 0.193 3.00)	(0.241 - 0.263 - 0.093)	1.167	0.0476	

initial values 2, but the obtained fluorescence contrasts were still slightly worse than those of two-step optimization. Moreover, it got stuck in a local minimum for other worse initial values, with the two targets merged. Overall, the results demonstrate that the proposed two-step optimization is robust to initial values, and its performance are much better than the one-step optimization with or without sphere preparation. The results also verify that the distinct consideration in different shape parameters is essential for shape-based reconstruction.

Since the actual target number is usually uncertain in some practical applications, we evaluated the performance of the proposed method in these circumstances. The initial estimates were set as some small separated spheres with 0.15 cm radii, as shown in the first column of Fig. 6. As shown in the third column of Fig. 6, when the assumed targets were more, the proposed method could also distinguish the real targets successfully, yet some quality degradation was also observed in the images. Since the false targets were usually reconstructed with ultralow intensity and small volume, the determination of the actual target number would be possible through the following approach. First, reconstructions with more assumed targets are performed. Then, we can determine the actual number by excluding the false targets that contribute little to boundary measurements.

As shown in the middle column of Fig. 6, the reconstructed targets may overlap if no constraints were applied. It is interesting that the combination of the overlapped targets was close to the actual targets. This means that if we do not mind the overlap, this solution also seems acceptable. Note that the role of geometrical constraints is not limited to the demonstrated. In fact, it also plays an important role in stabilizing the shape reconstruction for more complex conditions, such as a higher level of modeling

Table 3. Initial Estimates of Dual Small Spheres

Estimates	r	$(x_c, y_c, z_c)$	ρ
1	0.15	(0.00 0.40 3.00)	3.00
	0.15	$(0.00 - 0.40 \ 3.00)$	3.00
2	0.15	(0.40 0.00 3.00)	3.00
	0.15	(-0.40 0.00 3.00)	3.00
3	0.15	$(0.40\ 0.00\ 3.50)$	3.00
	0.15	$(-0.40\ 0.00\ 3.50)$	3.00
4	0.35	(0.40 0.00 3.50)	3.00
	0.35	$(-0.40\ 0.00\ 3.50)$	3.00

error and data noises, or more complex fluorescence target distribution.

### C. GPU Acceleration

The ability of the GPU-accelerated operator was evaluated on our personal desktop computer with one NVIDIA GTX 480 card. Spherical targets were used in most of this investigation for simplicity. GPU acceleration was implemented in different parts of the proposed method, including calculation of the Jacobian matrix, the predicated measurements, and part of the constraints. Herein, we selected the Jacobian matrix as an example.

As described in Section 2.C, the GPU acceleration of Jacobian matrix calculation is composed of two operations, including shape-voxel mapping and matrix multiplication. As shown in Fig. 7(a), the CPU computation time for shape-voxel mapping depended on target number and target size. Corresponding to Fig. 7(a), the effection of GPU acceleration of shape-voxel mapping was shown in Fig. 7(b). The speedup times varied from about 10 to 40, where the CPU computation times of 0.059-1.55 s were reduced from 0.0062 to 0.0412 s, respectively. The trend was that larger size and more targets corresponded to more acceleration. The reason is that a more efficient pipeline of GPU SMs can be generated, since larger size and more targets corresponded to more voxel-ellipsoid pairs. As shown in Fig. 7(c), the CPU computation time of matrix multiplication varied for target number. It is interesting that for even target number, the computation time was smaller than that for the previous odd target number. The phenomenon was caused by the concrete implementation of the matrix multiplication in MATLAB. Overall, GPU speedup range from 31x to 60x was achieved for this operation, as shown in Fig. 7(d). Figure 7(e) plotted the total acceleration of Jacobian matrix calculation for different cases, demonstrating speedup from 26.8× to 51×.

In Fig. 7(f), the total speedup of the optimization process was also provided to show the overall GPU acceleration capacity. The test case of dual ellipsoid inclusions in Section 3.A was used, and two targets were assumed in the reconstruction. With GPU acceleration, a speedup of 13.6× was achieved, reducing the total computation time from around 612 to 45 s. Since only some parts of the optimization codes were accelerated, the total effection of speedup wasn't as large as that in Fig. 7(e).

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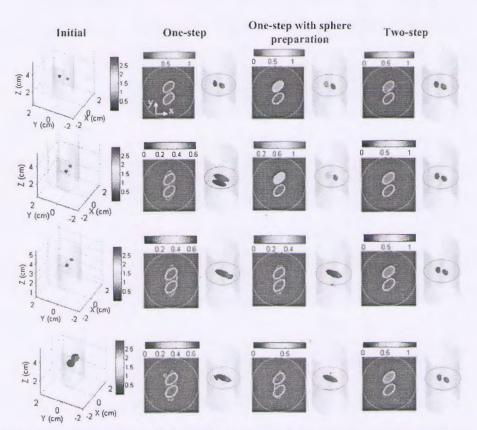


Fig. 5. (Color online) Reconstruction results with different initial estimates of dual small spheres. In slice images, the red circle denotes the boundary of the imaged object, and the white lines denote the boundaries of the real inclusions. The slice images are of 3.0 cm height.

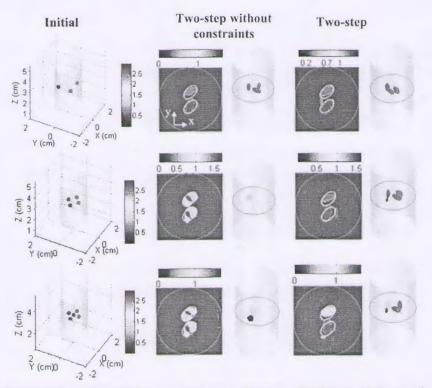


Fig. 6. (Color online) Reconstruction results with the assumed target number larger than two. In slice images, the red circle denotes the boundary of the imaged object, and the white lines denote the boundaries of the real inclusions. The slice images are of 3.0 cm height.

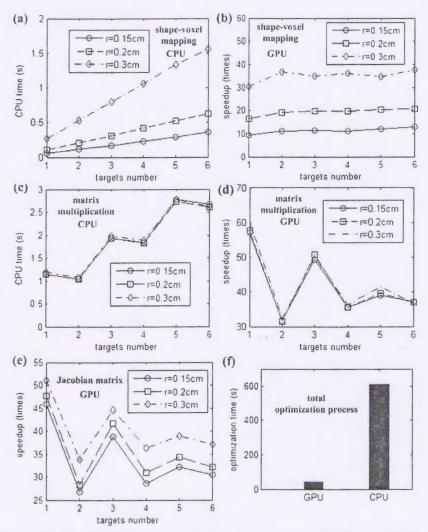


Fig. 7. (Color online) Evaluation of GPU acceleration. The investigated targets were spheres for simplicity. (a)—(e) CPU and GPU computation of Jacobian matrix for different cases. The Jacobian matrix calculation is composed of two basic operations including shape-voxel mapping and matrix multiplication. (a) CPU execution time of shape-voxel mapping operation. (b) GPU speedup of shape-voxel mapping operation. (c) CPU execution time of matrix multiplication operation. (d) GPU speedup of whole Jacobian matrix computation. (f) GPU speedup of the total optimization process.

### 4. Physical Phantom Experiment

A physical phantom experiment was performed to evaluate the reliability of the proposed method in practical applications. The phantom was made of a glass cylinder (inner diameter 2.43 cm and outer diameter 2.83 cm) filled with 1% intralipid ( $\mu_s'=10~{\rm cm}^{-1}, \mu_a=0.02~{\rm cm}^{-1}$ ). Two transparent glass tubes (0.3 cm inner diameter and 0.5 cm outer diameter) were filled with 40 µL Indocyanine green (ICG) with a concentration of 4 µmol/L, producing two small fluorescence inclusions of 0.5 cm length. The two inclusions were immerged inside the phantom close to each other with 0.16 cm edge-to-edge distance.

As shown in Fig. 8(a), the phantom was placed on a rotation stage for full angle imaging. On one side, a small laser spot at 785 nm wavelength and 16 mW power was focused on the back surface of the imaged object as the excitation light source. On the opposite

side, a 2560 × 2160 pixels, 16 bit, -30°C cooled Andor Neo sCMOS camera (Andor, Belfast, Northern Ireland, UK) was placed with a Nikkor 60 mm f/2.8D lens (Nikon, Melville, New York). The CCD field of view was 8.28 cm x 9.82 cm. For excitation and fluorescence image collection, a neutral density filter of 1% transmittance (Daheng, Beijing, China) and a  $840 \pm 18.5$  nm band-pass fluorescence filter (Semrock, Rochester, New York) were placed in front of the lens, respectively. These images were collected at 36 projection angles evenly distributed over 360°. In each projection angle, five excitation positions along horizontal direction with 0.2 cm distance were scanned, corresponding to five projection images. Before FMT reconstruction, the phantom 3D surface must be obtained at first, which could be recovered from silhouettes at different projection angles [35]. To extract the silhouettes, 72 white-light images

were captured evenly over full angle. When collecting white-light images, the excitation light was switched off, a table lamp was set on to generate white ambient lighting, and the neutral density filter was still

used to protect the CCD camera.

With dense CCD pixels, we have high-density sampling of the image plane, resulting in a huge number of detected signals. In FMT reconstruction, oversampling does not improve the reconstruction quality but results in more computation burden [36], and weak signals with low signal-to-noise ratio usually contribute little or even negative to the solution. Based on these considerations, for each projection image, we selected detection points over a 2.0 cm × 2.2 cm field of view with 0.2 cm spacing (detailed illustration is similar to that in Fig. 3) for reconstruction. The 3D voxel used was over 1.5 cm x 1.5 cm x 2.2 cm 3D regions with 0.1 cm mesh spacing, and only the mesh inside the object was considered. For voxel-based reconstruction, 50 R-ART iterations and relaxation parameter of 0.05 were used. For shapebased reconstruction, geometry constraints were applied with  $r^{\min} = 0.04$  cm,  $r^{\max} = 0.50$  cm,  $c_{xy} = c_{yz} = c_{zx} = 1/2$ ,  $x_c^{\min} = y_c^{\min} = -1.5$  cm,  $x_c^{\max} = y_c^{\max} = 1.5$  cm,  $x_c^{\min} = 2.0$  cm, and  $x_c^{\max} = 4.6$  cm, and 100 iterations were performed to update the shape parameters.

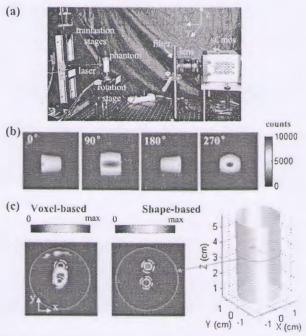


Fig. 8. (Color online) Physical phantom experiment. Two fluorescence inclusions were closed placed inside a cylinder phantom with 0.16 cm edge-to-edge distance. (a) Full-angle fluorescence molecular system. (b) Fluorescence projection images. (c) Reconstructed images. In slice images, the red circle denotes the boundary of the imaged object, and the white circles denote the inner (solid line) and outer (dashed line) boundaries of the real inclusions. The two slice images are at the same height slice, as depicted by the red circle in the 3D image. The images are shown with intensity range from 0 to their maximum, respectively.

From the shown fluorescence projection images at different angles of one excitation position in Fig. 8(b), we can see the high level of light diffusion. As shown in Fig. 8(c), the reconstructed targets of the two inclusions were merged in voxel-based reconstruction. In addition, artifacts also existed near the object boundary. In contrast, the two close inclusions were clearly separated with the proposed method. The targets locations were close to the real ones, with small center deviations of 0.045-0.075 cm, respectively. The relative difference between the accumulated fluorescence intensities of the two targets was 22.5%. This difference was partly caused by measurement noises, the model error of the void and transparent regions of the glass tubes [37], and some extent of cross-talk between the two close inclusions. Overall, results demonstrate that the proposed method has better resolve ability than the traditional voxel-based reconstruction.

### 5. Discussions and Conclusions

In this article, we have proposed a GPU-accelerated two-step algorithm with ellipsoid shape parameterization for FMT. The inverse problem is formulated as a nonlinear least-squares problem. For robust reconstruction, a two-step optimization algorithm is proposed, where only the centers and intensities are first recovered to find a relatively good estimation for the successive reconstruction of all shape parameters. Reasonable geometrical constraints are also enforced via the exterior penalty-function method for further stability and accuracy. For computation speedup, a fast GPU-accelerated operator is proposed as finite-element-method-based calculation of the objective function value and the Jacobian matrix. Both numerical and physical phantom experiments demonstrate the effectiveness of the proposed method.

The proposed method demonstrates better resolve ability for dual inclusions of various shapes than the conventional voxel-based reconstruction in both numerical simulations and the physical phantom experiment. The advantage is due to the reduction in the dimension of the inverse problem. Although the unknowns are greatly reduced, particular care should also be taken in the shape-based reconstruction. As demonstrated in the simulation experiments, the targets may not be separated without good initial estimates, if a simple one-step optimization is used. In contrast, with the proposed two-step optimization algorithm, more reliable and robust reconstructions are achieved.

In some applications, the actual targets number may not be known a priori. The proposed method still works when assuming relatively more targets, although some extent of image-quality deterioration may be caused. Moreover, at this time, the actual targets number may be determined by excluding the false targets that contribute little to boundary

measurements.

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The GPU-accelerated operator greatly speeds up the shape-based reconstruction. Since the relationship between the shape parameters and the boundary measurements is highly nonlinear, the shape-based reconstruction speed is relatively low when executed only on CPU. With the GPUaccelerated operator, the Jacobian matrix computation is speedup several tenfold, where the concrete value depends on the target size and number. With the acceleration, the demonstrated total optimization time was reduced from around 10 min to less than 1 min by using an NVIDIA GTX-480 graphics card. The time of the one-time computed weight matrix was not included in the mentioned total optimization time above, which was around 54 s and included finite element meshing, stiff matrix forming, Green's functions solving, and weight matrix assembling. GPU acceleration of this part is feasible [29], but is not the main scope of this article.

In this study, we have shown that the proposed method works with experimental measurements. In our further work, we will apply it to preclinical applications. Moreover, although the ellipsoid shape approximation is suitable for many practical applications and provides much valuable information, more complex shape parameterization such as spherical harmonics may better model large irregular targets. Similar to this study, particular consideration in optimization procedure may be needed, which will be investigated in our forthcoming work.

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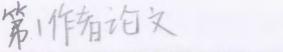
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# Full-Angle Fluorescence Diffuse Optical Tomography With Spatially Coded Parallel Excitation

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Abstract-Challenges remain in imaging fast biological activities through whole small animal using fluorescence diffuse optical tomography (FDOT). In this study, a novel full-angle FDOT with spatially coded parallel excitation (SC-FDOT) is proposed, which provides much better image qualities than our previous FDOT with multiple-points illumination (MP-FDOT) while maintaining comparable temporal resolution. Singular-value analysis and numerical simulations are used to obtain the key experimental parameters including the optimal point sources number and the optimal projections number, and to compare the performances of SC-FDOT, MP-FDOT and the conventional FDOT with single-point illumination. Results demonstrate that SC-FDOT has the best spatial-temporal performances in imaging fast biological activities through whole body. Physical phantom experiments are performed to evaluate the spatial performance of SC-FDOT in practical experimental applications. Utilizing the proposed system, a nude mouse implanted with a small fluorescent inclusion is also imaged. The preliminary result demonstrates the feasibility of SC-FDOT in in vivo

Index Terms—Diffusion equations, finite-element methods, fluorescence, optical tomography.

### I. INTRODUCTION

PTICAL tomography using near-infrared light provides a quantitative way to three-dimensionally visualize tissue function in small animals or human organs [1]–[4] at physiological, metabolic, or molecular level. Fluorescence diffuse optical tomography (FDOT) is one of the optical tomography methods, which is mainly referred to small animal imaging in this paper. In FDOT, fluorescent agents are used to label the object of interest, such as cells, proteins, and drug molecules. Then, by

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mathematically modeling the light transportation in diffuse tissues, the 3-D distribution of fluorescent agents inside live small animal is reconstructed from different fluorescence projections.

In past years, FDOT systems have evolved from those [5], [6] using optical fibers and matching fluids to those operating in free-space geometries with limited-/full-angle projections [7]–[11]. With these progresses in imaging systems, mathematical models [12]–[14], and fluorescent agents [15], FDOT have been applied in basic research [16]–[19].

Despite these advances, challenges still remain in imaging fast biological activities through whole body, such as pharmacokinetics, where good whole-body image quality and short data-acquisition time should be considered simultaneously. A slab-geometry-based imaging system with limited projection angles and matching fluid was developed for realtime whole-body imaging [20], where the switching time between continuous-wave point sources was decreased using galvanometer-controlled mirrors and the data-acquisition rate was increased using a high-frame-rate electron-multiplying charge-coupled device (EMCCD). Modern FDOT systems typically operate in free-space geometries with full-angle projections and avoid the use of matching fluid [9]. These modern systems are expected to yield significant improvements in image quality compared to matching fluid or fixed-geometry-based systems [11]. Here, we call these reported full-angle FDOT systems as P-FDOT for simplification with symbol P indicating single-point illumination. However, scanning along axial direction is needed in P-FDOT to provide whole-body imaging ability, which near linearly increases the data-acquisition time and limits the application of P-FDOT in imaging fast biological activities. In our earlier study [21], we have proposed and analyzed a kind of full-angle FDOT systems with beam-forming illumination (BF-FDOT). The BF-FDOT systems utilize the advantages of full-angle projections and provide whole-body imaging without scanning along axial direction. These BF-FDOT systems provide the potential in real-time whole-body imaging.

The main strategy of BF-FDOT is using parallel excitation (illumination) instead of the conventional single-point excitation in full-angle imaging systems. However, some tradeoff in spatial resolution along axial direction exists in BF-FDOT system to offer a significant reduction in data-acquisition time. The spatial resolution degradation is caused by no variation in excitation modes along axial direction. A novel full-angle FDOT system with spatially coded parallel excitation (SC-FDOT) is proposed in this paper. With the novel spatially coded parallel excitation strategy, SC-FDOT will provide more varied parallel

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excitation modes along axial direction while maintaining the temporal resolution. The strategy is as follows: for each projection, the excitation light is provided by multiple point sources at different height positions; the positions of these point sources between neighboring projections are varied, which leads to more excitation modes along axial direction; the switching or movement of these point-illumination sources is performed along with the rotation of the image object, which, therefore, does not need additional time. The parallel excitation modes are dependent on the projection angles. In other words, they can be seen as a spatially coded function of the projection angles. That is why we call the strategy as spatially coded parallel excitation.

The spatial-temporal performances of the proposed SC-FDOT depend on its key experimental parameters, such as the distribution of point sources. It is obvious that SC-FDOT provides similar image quality as L-FDOT or multiple-point FDOT (MP-FDOT) (two typical BF-FDOT systems with line or multiple points illuminations) when too many point-illumination sources are used for spatially coded parallel excitation. Therefore, studies should be performed to obtain key parameters for SC-FDOT.

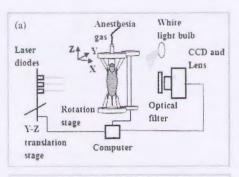
In this paper, four categories of experiments were designed. First, singular-value analysis (SVA) can condense the information of different imaging systems into a spectrum vector and has been widely used in analyzing different DOT/FDOT systems [11], [21]-[25]. Herein, SVA was used to 1) determine the optimal experimental parameters for SC-FDOT, including projections number and the distribution of point illumination sources; and 2) compare the performances of MP-FDOT, P-FDOT, and SC-FDOT. Second, a series of numerical simulations were performed to confirm the key findings obtained by SVA, and analyze the upper limit of spatial resolution of SC-FDOT. In addition, a fast biological activity through whole body was numerically simulated and imaged using different full-angle FDOT systems to demonstrate the advantages of SC-FDOT. Third, physical phantom experiments were performed to further demonstrate the performances SC-FDOT. Finally, an in vivo experiment was performed to verify the feasibility of SC-FDOT in imaging live small animals.

The outline of this paper is presented as follows. Section II details the methods used. In Section III, we detail and analyze the results. In Section IV, we discuss and conclude this study.

### II. METHODS

#### A. Instrumentation

angle system is shown in Fig. 1(a). The imaged mouse is placed on a rotation stage with its four legs fixed on a suspension bracket. The maximum speed of the rotation stage is set to 6°/s to prevent possible skew and internal mouse organ movement. In each projection, the excitation light is simultaneously provided by several collimated laser diodes (785 nm) that are fixed at different heights on the axial one of 2-D translation stages. The transversal translation stage used is to adjust the transversal positions of these diodes before an experiment. The focuses of these collimators are close to back surface of the imaged object.



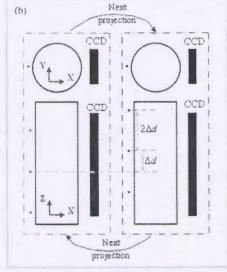


Fig. 1. SC-FDOT. (a) Schematic of the SC-FDOT system. (b) Strategy of spatially coded parallel excitation.

The power of each laser diode is tuned to 8.0 mW in this paper. The number of laser diodes and the distance between neighboring laser diodes depend on the imaged object and will be discussed in following sections.

In each projection angle, the photons propagating through the mouse front surface are collected by a  $512 \times 512$  pixels, -70 °C cooled CCD array (Andor, Belfast, Northern Ireland, U.K.) coupled with a Nikkor 60-mm f/2.8D lens (Nikon, Melville, NY). When collecting fluorescence images, a  $840 \pm 6$  nm bandpass filter (Semrock, Rochester, NY) is placed in front of the lens to allow photons at emission wavelength. When collecting the excitation light images, a neutral density filter (1% transmittance, Daheng, Beijing, China) is used to prevent possible high light damage to CCD or saturation. Mouse 3-D surface is essential for FDOT reconstruction, which can be recovered with back-projection-based technique [26] from white light images at different projection angles. When collecting these white light images, the mouse front surface is illuminated by a white light bulb and the neutral density filter is still used. Seventy-two white light projections evenly distributed over 360° are typically enough.

2) Spatially Coded Parallel Excitation: The strategy of spatially coded parallel excitation is illustrated in Fig. 1(b). Assume that the distance between neighboring laser diodes is  $2\Delta d$ . In

one projection, these diodes are at some heights to illuminate the imaged object, as depicted by the red point in Fig. 1(b). Then, before collecting the next projection, the imaged object is rotated to the next projection angle by the rotation stage. At the same time, these laser diodes are moved with a distance of  $\Delta d$  by the Z translation stage, which are finally depicted by the blue points in Fig. 1(b). It should be noted that high-resolution translation stage typically has slow translation speed, such as 1-cm/s maximum translation speed of the one used in our system. However, the time taken in translating these laser diodes is still shorter than the rotation time between neighboring projections. Therefore, the spatially coded parallel excitation provides more excitation modes along axial direction, least correlated, while no additional time is needed to switching different excitation modes.

#### B. Reconstruction Method

Light propagation in highly scattering media due to a continuous-wave source term S(r) is modeled using the diffusion equation [27] as follows:

$$-\nabla[D(r)\nabla G(r)] + \mu_a(r)G(r) = S(r)r \in \Omega. \tag{1}$$

In (1),  $D(r) = 1/(3\mu_s'(r))$  is the possibly spatially varying diffusion coefficient at position r with reduced scattering coefficient  $\mu_s'(r)$ .  $\mu_a(r)$  is the possibly spatially varying absorption coefficient. G(r) describing light propagation inside the domain  $\Omega$  of imaged object. Typically, Robin-type boundary condition [27] is couple to (1) on the boundary of  $\Omega$ . Finite-element method is used to discretize (1), where a commercial software package COMSOL is used to discretize the domain  $\Omega$  to a finite-element mesh. The edge size of tetrahedron finite element is controlled less than or equal to 2.3 mm, which is considered accurate enough for FMT applications. Then, (1) is transformed to a linear equation as follows [27]:

$$KG = b \tag{2}$$

where stiffness matrix K is a positive definite matrix containing the contribution of tissue diffusion and absorption, and b is a vector corresponding to the source term. By solving (2), G, the vector of light distribution values at finite-element nodes, is obtained, which can be then used to interpolate the value at arbitrary point inside  $\Omega$ . For SC-FDOT, the source term SC(r) due to the N laser spots are modeled as follows:

$$SC(r) = \frac{1}{N} \sum_{i=1}^{N} \delta(r - r_i)$$
(3)

where  $\delta(r-r_i)$  is an isotropic point source at position  $r_i$ , which is one transport mean free path into medium from these laser spots [27]. Based on Normalized Born approximation [28], which reduces the mouse tissue heterogeneity influences, the ratio of the measured emission  $\Phi_m(r_d)$  and the corresponding excitation  $\Phi_x(r_d)$  at detector point  $r_d$  can be written as

$$\frac{\Phi_{m}(r_{d})}{\Phi_{x}(r_{d})} = \Theta_{m} \int_{r_{p} \in V} \frac{G_{S(r)}(r_{p})G_{\delta(r-r_{d})}(r_{p})}{G_{S(r)}(r_{d})} n(r_{p}) dr_{p} \quad (4)$$

where  $G_{\delta(r-r_d)}$  is the fluorescence light distribution due to an isotropic point source  $\delta(r-r_d)$  and  $G_{S(r)}$  is the excitation light distribution due to a source term S(r).  $n(r_p)$  is the unknown fluorescence yield at point  $r_p$  in the volume of interest V ( $V \subset \Omega$ ).  $\Theta_m$  is a unitless constant taking account of the unknown gain and attenuation factors of the system. Accounting for data from all source–detector pairs, (4) is discretized to a linear system  $\Phi_m/\Phi_x=Wn$ , with weight matrix W containing the contribution of the integral over volume V, n being the discretized vector of fluorescence yield, and  $\Phi_m/\Phi_x$  being the vectors of normalized Born ratio. The reconstruction of n is carried out using algebraic reconstruction technique (ART) [29] with nonnegative constraints.

### C. Singular-Value Analysis (SVA)

SVA has been successfully used in comparing the performances of different DOT/FDOT imaging systems or determining optimal experimental parameters [11], [21]–[25]. In SVA, the information contained in a weight matrix W is condensed to a singular-value spectrum. The number of singular values above a specific threshold (NSVAT) represents the useable image-space modes, which can be detected in the experimental setup. More detailed descriptions on SVA in the context of DOT/FDOT were given in [21] and [22]. In this study, a singular-value spectrum was normalized by its largest element. Then, the normalized singular-value spectrum was truncated at a series of thresholds from  $10^{-3}$  to  $10^{-5}$ , where  $10^{-4}$  was chosen as the main threshold for analysis.

In this section, SVA was used to analyze the SC-FDOT in 1) determining how many point sources will lead to the best image quality; 2) determining how many projections will lead to the optimal spatial-temporal performance; and 3) comparing the performances among MP-FDOT, P-FDOT, and the proposed SC-FDOT.

There are different kinds of full-angle FDOT systems discussed in this paper. Some concepts are clarified here. In P-FDOT, scanning along axial direction at one projection angle is needed to provide whole-body imaging ability. There will be different scanning positions along axial directions in P-FDOT, which will be described as different cycles of projections. Then, assuming P-FDOT with C scanning positions along axial direction, we will call it P-FDOT with C cycles of projections. When there are P projection angles for each scanning position, we will call it P-FDOT with  $C \times P$  projections. In MP-FDOT, several point sources along axial direction will illuminate the imaged object simultaneously. Then, assuming MP-FDOT with M point sources and P projection angles, we will call it MP-FDOT with M point sources and P projections. Similar as MP-FDOT, for SC-FDOT with N point sources and P projection angles, we will call it SC-FDOT with N point sources and P projections.

Then, there are different height positions along axial direction, where a point source is located. For example, there are C different height positions in P-FDOT with C cycles of projections, M different height positions in MP-FDOT with M point sources, or 2N different height positions in SC-FDOT with N point sources. Obviously, the distribution of these height

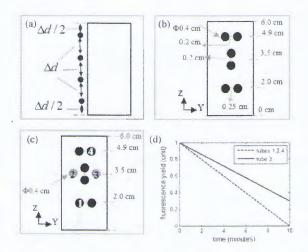


Fig. 2. Settings of phantom and tubes for numerical simulations. (a) Heights distribution along axial direction for full-angle FDOT.  $\Delta d$  is the distance between neighboring heights. (b) Simulations of a static biological activity. The six black circles represent spherical fluorescent inclusions (0.4 cm diameter, 1 unit fluorescence yield) placed inside a cylinder phantom (6.0 cm length, 2.0 cm diameter). The edge-to-edge distance in each group of spheres (connected with gray lines) was 0.2 cm or 0.25 cm. (c)–(d) Simulations of a fast biological activity. The eight circles represent spherical fluorescent inclusions (0.4 cm diameter), where the black ones had the same geometrical settings, as in (b). The fluorescence yields of the numbered spheres were time variant, as depicted in (d). The fluorescence yields of other spheres were time invariant (1 unit).

positions influences the spatial performances of these full-angle FDOT systems. In this paper, for simplification, these height positions are assumed evenly distributed along axial direction, as shown in Fig. 2(a). In other words, for a L-cm-long object imaged and C different heights, the distance  $\Delta d$  between neighboring heights is L/C cm.

In SVA and following numerical simulations, a homogeneous phantom (6.0 cm length and 2.0 cm diameter) with  $\mu_s' = 10.0 \text{ cm}^{-1}, \ \mu_a = 0.58 \text{ cm}^{-1}$  optical properties was investigated. The optical properties were close to the mean optical properties of the mouse mid-torso [11], while the geometry sizes were close to the mean one during our earlier in vivo experiments. In this paper, most experimental parameters used were based on [21], where the optimal experimental parameters were determined for BF-FDOT. The detector spacing was 0.2 cm; 1.8 cm detector horizontal field of view (FOV) was used. As detector points with ultralow excitation light do not contain information but typically induce artifacts, the detector FOV along vertical direction (VFOV) was determined as region with excitation light above 5% of the maximum excitation light intensity. For example, the VFOV was 2.4 cm for P-FDOT and 5.8 cm for SC-FDOT with three point sources. The reconstruction mesh was over  $2.0 \text{ cm} \times 2.0 \text{ cm} \times 5.8 \text{ cm}$  with 0.1 cm mesh spacing, and only the voxels inside imaged object were considered for analysis.

### D. Simulations

Data were synthesized on the described phantom in Section II-C for SC-FDOT with point sources from 2 to 4, MP-FDOT with point sources from 4 to 8, and P-FDOT with 1 to 6 cycles of projections.

1) Simulations of Static Biological Activity: The simulations in this section were performed to evaluate the spatial resolution of SC-FDOT and confirm the key findings obtained by SVA.

As shown in Fig. 2(b), six small spherical fluorescent inclusions with 0.4 cm diameter were embedded inside the cylinder phantom. These six spheres were divided to three groups, where the edge-to-edge distance inside each group was 0.2 cm or 0.25 cm. The fluorescence yields were assumed as 1 unit inside the spheres and 0 inside the background. The excitation and fluorescence photon densities were synthesized using finite-element method. Seven percent of poisson noise was then added to the synthetic data.

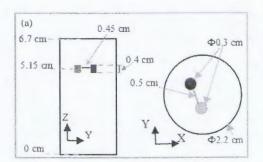
2) Simulations of Dynamic Biological Activity: A fast biological activity through whole body was simulated and imaged to further verify the feasibility of SC-FDOT and demonstrate its advantages over MP-FDOT and P-FDOT.

As shown in Fig. 2(c), eight spherical fluorescent inclusions with 0.4 cm diameter were embedded inside the cylinder phantom. Among these spheres, the six black ones have the same geometrical settings as in Fig. 2(b), while the remaining two gray ones were placed at x=0.0 cm,  $y=\pm 0.5$  cm, and z=3.5 cm. The fluorescence yields of the numbered spheres were time variant, as depicted in Fig. 2(d). The remaining spheres had time-invariant fluorescence yields (1 unit).

Before synthesizing the data, we should analyze the imaging time of these full-angle FDOT at first. The imaging time of one frame for full-angle FDOT consists of the total exposure time of all projections, the rotation time (1 min), and the total switching time between illumination sources (0 min for SC-FDOT and MP-FDOT). As mentioned in Section II-A, the high-resolution mechanical translation stage, for switching illumination points at different heights and at the same projection angle in our full-angle FDOT system, has a maximum translation of 1 cm/s. Assume that each projection takes 3.0 s, which is typical in our previous *in vivo* experiments. Based on these assumptions, data were synthesized for the dynamic process. The synthetic process was similar as in the previous section except that the fluorescence yields of these time-variant fluorescent inclusions were different for each projection.

### E. Physical Phantom Experiment

Physical phantom experiments were performed to verify the feasibility of SC-FDOT in practical experimental applications. The phantom was made of a glass cylinder (2.2 cm diameter and 6.7 cm length) filled with matching fluid ( $\mu_s' = 10.0 \text{ cm}^{-1}$ ,  $\mu_a = 0.58 \text{ cm}^{-1}$ ). Two transparent glass tubes (0.3 cm diameter) were filled with 0.26-nmol indocyanine green (ICG), respectively, providing fluorescent inclusions of 0.4 cm length. First, to demonstrate the radial spatial performance of our SC-FDOT, the two fluorescent inclusions were placed close to each other, with their centers at the same height and 0.5 cm edge-to-edge distance, as shown in Fig. 3(a). Second, to demonstrate the axial spatial performance of our SC-FDOT, we wanted to place two fluorescent inclusions at different heights and close to each other. As it was not easy to implement such settings, instead, one fluorescent inclusion was placed



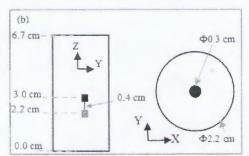


Fig. 3. Setup of physical phantom experiment. Fluorescent inclusions (0.3 cm diameter and 0.4 cm length) were embedded in a cylinder phantom (2.2 cm diameter and 6.7 cm length). (a) Phantom for evaluating radial spatial performance. The two fluorescent inclusions were closely placed with their centers at the same height and edge-to-edge distance of 0.5 cm. (b) Phantom for evaluating axial spatial performance. One fluorescent inclusion was placed at different heights and imaged respectively to simulate two closely placed inclusions along axial direction. The edge-to-edge distance was 0.4 cm.

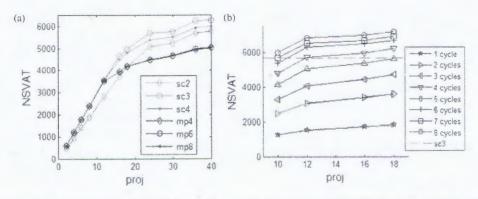


Fig. 4. SVA for full-angle FDOT systems, especially for SC-FDOT. The legends sc2 to sc4 represent SC-FDOT with 2 to 4 point sources. The legends mp4 to mp8 represent MP-FDOT with 4 to 8 point sources. The legends one cycle to eight cycles represent P-FDOT with 1–8 cycles of projections. (a) SVA of the projections number for SC-FDOT (red lines) and MP-FDOT (blue lines). (b) SVA of the projections number and the cycles number for P-FDOT (black lines). Corresponding NSVAT of SC-FDOT with three point sources and 24 projections are plotted as a red line.

at two different heights and imaged, respectively. Then, by summing the acquired signals, we simulated two close fluorescent inclusions along axial direction (0.4 cm edge-to-edge distance), as shown in Fig. 3(b). In the second experiment, the positions of fluorescent inclusions were far away from those in the first experiment. Those four fluorescent inclusions together could mimic one whole-body distribution. Then, we can verify the whole-body performance of our SC-FDOT, if those fluorescent inclusions were all well reconstructed. In the experiments, three laser diodes spaced with 2.0 cm distance were used. To image whole body, the CCD FOV was 8.6 cm  $\times$  8.6 cm. Twenty-four excitation projections were collected every  $15^{\circ}$ . Twenty-four fluorescence images were collected every  $15^{\circ}$ , with 3 s exposure time and  $4\times4$  CCD binning, taking approximate 2.3 min imaging time.

### F. In Vivo Experiment

A preliminary *in vivo* experiment was performed to demonstrate the feasibility of the SC-FDOT in *in vivo* application. One nude mouse was anesthetized under isoflurane—oxygen gas mixture and suspended on the rotation stage. A transparent glass tube (0.3 cm diameter) was filled with 0.13-nmol ICG, producing a fluorescent inclusion of 0.2 cm length. By inserting the glass tube through mouse anal, the small fluorescent inclusion was finally implanted inside mouse liver region. Three laser diodes

spaced with 2.0 cm distance were used to provide the excitation light. The CCD FOV was 10.7 cm  $\times$  10.7 cm. Twenty-four fluorescence projection were collected every  $15^{\circ}$  with exposure time 3.0 s and 4  $\times$  4 CCD binning, taking approximate 2.3 min imaging time. Twenty-four excitation projections were collected every  $15^{\circ}$ .

### III. RESULTS

### A. Singular Value Analysis (SVA)

The following SVA results shown are of the threshold  $10^{-4}$ . The studies were also repeated with other thresholds from  $10^{-3}$  to  $10^{-5}$ . Although the absolute value of NSVAT changed with different thresholds, the general trends in imaging performances were independent of the thresholds chosen.

As shown in Fig. 4(a), the NSVAT is plotted as a function against projections number for SC-FDOT with 2-4 point sources (red lines). An initial sharp increase is observed up to 24 projections for SC-FDOT. Relatively much smaller increase speed is observed for projections number over 24. The increase in NSVAT corresponds to more useable image-space modes (better spatial resolution). However, increasing the projections number increases the imaging time (lower temporal resolution) near linearly. When compromising between the increase speed in NSVAT and the near linearly increased imaging time, 24 projections are considered optimal.

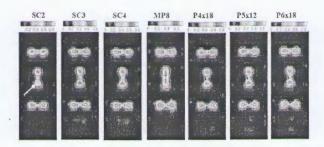


Fig. 5. Reconstructions of simulated data of a static biological activity. The black circles in the images represent the actual tubes. The images are at slice X=0. The first three subimages are for SC-FDOT with 2 (SC2)–4 (SC4) point sources and 24 projections. The fourth subimage is for MP-FDOT with eight point sources and 24 projections. The remaining subimages are for P-FDOT with different projections (4  $\times$  18, 5  $\times$  12, 6  $\times$  18). The black circles in the images represent the actual fluorescent inclusions. The color scale of each subimage corresponds to the reconstructed value range from 0 to maximum.

It can be seen that three point sources yield the largest NSVAT, especially after the optimal projections number. Therefore, three point sources spaced with 2 cm distance are optimal for SC-FDOT.

As shown in Fig. 4(a), the NSVAT of MP-FDOT is also plotted (blue lines). For projections number over 18 (the optimal projections number for MP-FDOT [21]), much larger NSVAT are observed for SC-FDOT with three point sources. Therefore, SC-FDOT has better performances than MP-FDOT.

As shown in Fig. 4(b), the NSVAT is plotted as a function against projections number for P-FDOT with different cycles of projections (black lines). The NSVAT of SC-FDOT with three point sources and 24 projections is also plotted as a red line. It can be seen that SC-FDOT with 24 projections has comparable NSVAT to P-FDOT with  $4\times18$  projections or  $5\times12$  projections.

### B. Reconstructions of Simulated Data

Reconstructions were carried out using 1000 ART iterations and relaxation parameter of 0.1.

The reconstructed images from 24 projections in SC-FDOT are shown in Fig. 5. The target, highlighted by a white arrow, is reconstructed with lower value than other targets, when using SC-FDOT with two point sources. In contrast, better image quality with more consistent quantification is demonstrated for SC-FDOT with three point sources. These results are consistent with the optimal point sources number obtained using SVA in Section III-A.

The reconstructed images for MP-FDOT and P-FDOT are also shown in Fig. 5. Compared with MP-FDOT with eight point sources, the axial resolution is significantly improved in SC-FDOT with three point sources. The image quality of SC-FDOT with three point sources and 24 projections is comparable to those of P-FDOT with  $4\times18$  or  $5\times12$  projections. All these results are consistent with the conclusions obtained using SVA in Section III-A.

The reconstructed fluorescence yields of a fast biological activity at start point (0 min) are shown in Fig. 6(a). Among these three full-angle FDOT systems, SC-FDOT with three

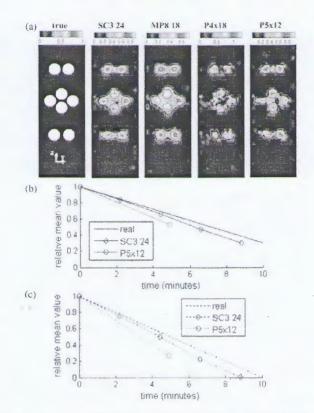


Fig. 6. Reconstructions of simulated data of a dynamic biological activity. (a) Reconstructed images at start point (0 min) and at slice X=0. The first subimage is the true image. The second subimage is for SC-FDOT with three point sources and 24 projections, the third subimage is for MP-FDOT with eight point sources and 18 projections, while the remaining subimages are for P-FDOT with different projections (4  $\times$  18, 5  $\times$  12). The black circles in the subimages represent the actual fluorescent inclusions. The color scale of each subimage corresponds to the reconstructed value range from 0 to maximum. (b) Relative mean value of tube 3. (c) Relative mean value of tube 4.

point sources demonstrated the best image quality. The same conclusion can be made from the reconstructed fluorescence yields over time. The relative mean values of tubes 3 and 4 in Fig. 6(b) and (c) are the mean fluorescence yields inside tubes, and are normalized by those values at start point. For both tubes, it is seen that, compared to P-FDOT, the relatively changing speeds of fluorescence yields reconstructed from SC-FDOT are closer to the real ones. Therefore, SC-FDOT has the best spatial—temporal performance in imaging fast biological activities through whole body.

### C. Reconstruction of Physical Phantom Data

In the reconstruction, the detectors were distributed over  $2.0~\mathrm{cm} \times 6.0~\mathrm{cm}$  FOV with  $0.1~\mathrm{cm}$  spacing. The reconstruction mesh was over  $2.6~\mathrm{cm} \times 2.6~\mathrm{cm} \times 6.0~\mathrm{cm}$  3-D region with  $0.2~\mathrm{cm}$  mesh spacing and only the mesh inside the imaged object was considered for reconstruction.  $100~\mathrm{ART}$  iterations and relaxation parameter  $0.1~\mathrm{were}$  used in the reconstructions.

From Fig. 7(a) and (b), it can be seen that the two closely placed fluorescent inclusions, inside radial plane or axial plane, were clearly resolved and well localized. Near-consistent quantification was observed for all these fluorescent inclusions. It

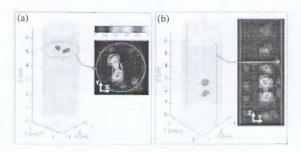


Fig. 7. Reconstruction of physical phantom data for SC-FDOT. (a) Reconstruction for two closely placed fluorescent inclusions at radial plane. (b) Reconstruction for two closely placed fluorescent inclusions at axial plane. Top 50% of the contour levels of reconstructed fluorescence yields are shown in the 3-D view. The red curves on the section images represent the phantom boundary and the small black circles/rectangles represent the actual fluorescent targets. The section images are in the same color scale corresponding to the reconstructed value range from 0 to maximum.

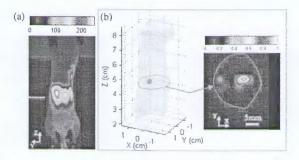


Fig. 8. Reconstruction of *in vivo* data for SC-FDOT. (a) Measured fluorescence projection at 0°. (b) Reconstructed FDOT image. Top 50% of the contour levels of reconstructed target is shown in 3-D view. The cross image corresponds to height slice depicted as the white line in (a). The red curve in cross image denotes mouse boundary. The color scale of the cross image corresponds to the reconstructed value range from 0 to maximum.

should be noted that some of these fluorescent inclusions were far away from each other, which could mimic a distribution inside whole body. Therefore, these results demonstrate that SC-FDOT has good whole-body performance in practical experimental applications.

### D. Reconstruction of In Vivo Data

The detectors were first meshed with 0.1 cm spacing over 2.8 cm  $\times$  5.7 cm FOV for each projection. Then, we selected those detectors for reconstruction 1) which covered the animal and were more than 0.2 cm away from the boundary and 2) where the intensities of fluorescence and excitation light reached above a threshold 30 CCD counts. The reconstruction meshes for FDOT were over 3.0 cm  $\times$  3.0 cm  $\times$  5.7 cm 3-D regions with 0.2 cm spacing and inside the imaged object. Optical properties of  $\mu_s'=10.0~{\rm cm}^{-1}$ ,  $\mu_a=0.58~{\rm cm}^{-1}$  were used. The FDOT reconstruction was carried out using 100 ART iterations with relaxation parameter 0.1.

From the 3-D view in Fig. 8, it can be seen that one fluorescent target was reconstructed. The position of reconstructed target was approximately in agreement with the tube position maintained in the experiment. From the preliminary result, we can see the feasibility of SC-FDOT in *in vivo* applications.

### IV. DISCUSSION AND CONCLUSION

Challenges remain in FDOT imaging fast biological activities through whole small animal. Therefore, it is important to design real-time imaging systems with whole-body FOV. In this study, we proposed a novel noncontact, full-angle FDOT with spatially coded parallel excitation. We analyzed the key parameters for the proposed SC-FDOT. Numerical simulations, physical phantom experiments, and *in vivo* experiments were performed to verify the feasibility of the proposed SC-FDOT. Using SVA, we also quantitatively compared the performances of the proposed SC-FDOT, our previous MP-FDOT, and the conventional P-FDOT. Using a numerically simulated fast biological activity, we demonstrated the advantage of SC-FDOT in imaging fast biological activities through whole body over the other two full-angle FDOT.

For SC-FDOT, the spatial–temporal performances depend on the projections number and the distribution of point sources. For a phantom with typical optical geometry size and optical properties, 24 projections are considered to yield the optimal spatial–temporal performances. Three point sources spaced with 2 cm distance are considered as optimal for SC-FDOT, which were also verified in numerical simulations. Based the obtained parameters, physical phantom and *in vivo* experiments were also performed.

Comparisons were made between SC-FDOT and our earlier MP-FDOT using SVA, numerical simulations of static or dynamic biological activities. Results demonstrate that SC-FDOT has much better axial resolution than MP-FDOT. In contrast, the radial resolution and the temporal resolution are comparable. Therefore, SC-FDOT is more suitable for imaging fast biological activities through whole body than MP-FDOT.

Comparisons were also made between SC-FDOT and P-FDOT. When imaging a static biological activity inside the simulated phantom, SC-FDOT with three point sources and 24 projections yielded comparable image quality to P-FDOT with  $4 \times 18$  or  $5 \times 12$  projections. Let us analyze the temporal resolution between the two FDOT systems based on the description in Section II-D2. Assume that each projection takes 3 s. Then, SC-FDOT with 24 projections will spend 2.2 min in obtaining one frame. In contrast, P-FDOT with  $4 \times 18/5 \times 12$ projections will spend 5.9/4.9 min (our P-FDOT with slow switching by mechanical translation stage) or 4.6/4.0 min (P-FDOT with fast switching by galvanometer-controlled mirrors), respectively. Then, we can see that SC-FDOT has much better spatial-temporal resolutions than P-FDOT, which were also verified in imaging a numerically simulated dynamic biological activity.

The proposed SC-FDOT is rotation based. The rotation time is one big portion in obtaining one frame. In the proposed SC-FDOT, by taking the advantage of simultaneously translating the point sources and rotating the imaged object, we save much time. Assume 24 projections in SC-FDOT with point sources spaced with 2 cm distance, the switching time will be 24 s. Then, there are still 36 s spent just for rotation. Recently, conical-mirror-based full-angle FDOT and bioluminescence tomography were reported [30], [31], which allowed collecting

full-angle projections without rotation. By incorporating parallel excitation, the kind of systems may provide another way in further enhancing the temporal resolution. However, it is out of the focus of our current rotation-based imaging modalities.

In this paper, the scanning distance along axial direction between neighbor projections is half the space between point sources. It is mainly because we focus on spatial-temporal performance. In other words, we want to obtain as many as useable image-space modes while maintaining the temporal resolution. When imaging slow biological activities inside whole body, more scanning positions along axial direction ( $S(S \ge 3)$  scanning positions with scanning distance of  $2\Delta d/S$ ) are recommended, which will lead to better spatial performance. In this situation, the spatially coded parallel-excitation strategy is still helpful in enhancing the system-imaging speed or throughout. However, it is out of the scope of this paper and will be investigated in our future research.

Although mechanical scanned laser diodes are selected to provide spatially coded parallel excitation in this paper, other type of illumination light source can also be used. However, two following issues should be considered during selection. The first is to eliminate the probability of direct illumination light transportation to CCD, which otherwise results in CCD saturation. That means, wide excitation patterns along transversal direction are not recommended. The second is the availability and simplicity of the light source. With the two considerations, better illumination light source or scheme based on the strategy of spatially coded parallel excitation may be selected or developed, which will further improve the spatial-temporal performance of

In summary, the development of SC-FDOT consists of 1) using parallel excitation instead of the conventional single-point illumination in P-FDOT; 2) using varied parallel excitation modes along axial direction instead of unvaried parallel excitation mode in our previous BF-FDOT; and 3) using spatially coded method to eliminate the switching time caused by slow switching device. Results demonstrate that the strategy is feasible and helpful in designing real-time FDOT system. Future research will be focused on applying SC-FDOT in observing pharmacokinetics and developing possible better FDOT systems.

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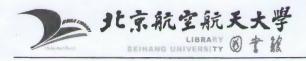
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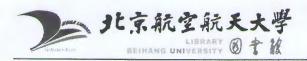


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ISSN: 1559-128X

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所属学科及排名:

'JCR® Category	Rank in Category	Quartile in Category
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ISSN: 1932-6203

影响因子(2014年): 3.234

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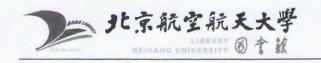
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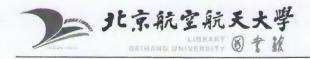
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证明人(签字): 上京航空航天大学图书馆 二〇一六年五月二十日



### 附件:

Record 1 of 10

Title: Focusing through dynamic tissue with millisecond digital optical phase conjugation

Author(s): Wang, DF (Wang, Daifa); Zhou, EH (Zhou, Edward Haojiang); Brake, J (Brake, Joshua); Ruan, HW (Ruan,

Haowen); Jang, M (Jang, Mooseok); Yang, CH (Yang, Changhuei)

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Title: Noncontact full-angle fluorescence molecular tomography system based on rotary mirrors

Author(s): Wang, DF (Wang, Daifa); He, J (He, Jin); Qiao, HT (Qiao, Huiting); Li, P (Li, Ping); Fan, YB (Fan, Yubo); Li,

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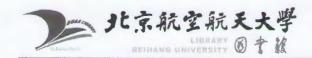
### Record 3 of 10

Title: The Transport and Deposition of Nanoparticles in Respiratory System by Inhalation

Author(s): Qiao, HT (Qiao, Huiting); Liu, WY (Liu, Wenyong); Gu, HY (Gu, Hongyu); Wang, DF (Wang, Daifa); Wang,

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Title: High-Performance Fluorescence Molecular Tomography through Shape-Based Reconstruction Using Spherical Harmonics Parameterization

Author(s): Wang, DF (Wang, Daifa); He, J (He, Jin); Qiao, HT (Qiao, Huiting); Song, XL (Song, Xiaolei); Fan, YB (Fan,

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Title: A study of the metabolism of transplanted tumor in the lung by micro PET/CT in mice

Author(s): Qiao, HT (Qiao, Huiting); Li, J (Li, Jun); Chen, YM (Chen, Yingmao); Wang, DF (Wang, Daifa); Han, JT (Han, Jintao); Mei, MQ (Mei, Mengqi); Li, DY (Li, Deyu)

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#### Record 6 of 10

Title: The effect of tumor size on the imaging diagnosis: A study based on simulation

Author(s): Qiao, HT (Qiao, Huiting); Wang, LB (Wang, Libin); Li, DY (Li, Deyu); Wang, DF (Wang, Daifa); Wang, Y

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### Record 7 of 10

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Author(s): Qiao, HT (Qiao, Huiting); Wang, LB (Wang, Libin); Han, JT (Han, Jintao); Chen, YM (Chen, Yingmao); Wang, DF (Wang, Daifa); Li, DY (Li, Deyu)

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Total Times Cited: 0

Accession Number: WOS:000323964200001

Language: English Document Type: Review

Addresses: [Qiao, Huiting; Wang, Libin; Wang, Daifa; Li, Deyu] Beihang Univ, Key Lab Biomech & Mechanobiol,

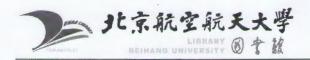
Minist Educ, Sch Biol Sci & Med Engn, Beijing 100191, Peoples R China.

[Han, Jintao] Peking Univ, Dept Intervent Radiol & Vasc Surg, Hosp 3, Beijing 100871, Peoples R China.

[Chen, Yingmao] Gen Hosp PLA, Dept Nucl Med, Beijing 100853, Peoples R China.

Reprint Address: Li, DY (reprint author), Beihang Univ, Key Lab Biomech & Mechanobiol, Minist Educ, Sch Biol Sci &

Med Engn, Beijing 100191, Peoples R China.



E-mail Addresses: deyuli@buaa.edu.cn

IDS Number: 212ED ISSN: 1687-4110 eISSN: 1687-4129

Source Item Page Count: 7

Record 8 of 10

Title: Fluorescence molecular tomography using a two-step three-dimensional shape-based reconstruction with graphics processing unit acceleration

Author(s): Wang, DF (Wang, Daifa); Qiao, HT (Qiao, Huiting); Song, XL (Song, Xiaolei); Fan, YB (Fan, Yubo); Li, DY

(Li, Deyu)

Source: APPLIED OPTICS Volume: 51 Issue: 36 Pages: 8731-8744 DOI: 10.1364/AO.51.008731 Published:

DEC 20 2012

Times Cited in Web of Science Core Collection: 6

Total Times Cited: 7

Accession Number: WOS:000312783700027

Language: English
Document Type: Article

Addresses: [Wang, Daifa; Qiao, Huiting; Fan, Yubo; Li, Deyu] Beihang Univ, Sch Biol Sci & Med Engn, Minist Educ,

Key Lab Biomech & Mechanobiol, Beijing 100191, Peoples R China.

[Wang, Daifa] Beihang Univ, State Key Lab Software Dev Environm, Beijing 100191, Peoples R China.

[Song, Xiaolei] Johns Hopkins Univ, Russell H Morgan Dept Radiol & Radiol Sci, Div MR Res, Sch Med, Baltimore, MD 21287 USA.

Reprint Address: Li, DY (reprint author), Beihang Univ, Sch Biol Sci & Med Engn, Minist Educ, Key Lab Biomech & Mechanobiol, Beijing 100191, Peoples R China.

E-mail Addresses: deyuli@buaa.edu.cn

IDS Number: 060MZ ISSN: 1559-128X eISSN: 2155-3165

Source Item Page Count: 14

Record 9 of 10

Title: Full-Angle Fluorescence Diffuse Optical Tomography With Spatially Coded Parallel Excitation

Author(s): Wang, DF (Wang, Daifa); Liu, X (Liu, Xin); Liu, F (Liu, Fei); Bai, J (Bai, Jing)

Source: IEEE TRANSACTIONS ON INFORMATION TECHNOLOGY IN BIOMEDICINE Volume: 14 Issue: 6

Pages: 1346-1354 DOI: 10.1109/TITB.2010.2077306 Published: NOV 2010

Times Cited in Web of Science Core Collection: 5

Total Times Cited: 5

Accession Number: WOS:000283982200005

Language: English Document Type: Article

Addresses: [Wang, Daifa; Liu, Xin; Liu, Fei; Bai, Jing] Tsinghua Univ, Sch Med, Dept Biomed Engn, Beijing 100084,

Peoples R China.

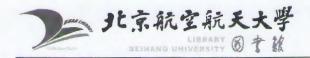
Reprint Address: Bai, J (reprint author), Tsinghua Univ, Sch Med, Dept Biomed Engn, Beijing 100084, Peoples R China.

E-mail Addresses: daifa.wang@buaa.edu.in; daifa.wang@buaa.edu.in

IDS Number: 677II ISSN: 1089-7771

Source Item Page Count: 9

Record 10 of 10



Title: Medical image retrieval based on unclean image bags

Author(s): Huang, YG (Huang, Yonggang); Zhang, J (Zhang, Jun); Huang, HY (Huang, Heyan); Wang, DF (Wang, Daifa) Source: MULTIMEDIA TOOLS AND APPLICATIONS Volume: 72 Issue: 3 Pages: 2977-2999 DOI:

10.1007/s11042-013-1589-3 Published: OCT 2014

Times Cited in Web of Science Core Collection: 0

Total Times Cited: 0

Accession Number: WOS:000340550300038

Language: English

Document Type: Article

Addresses: [Huang, Yonggang; Huang, Heyan] Beijing Inst Technol, Beijing Engn Res Ctr High Volume Language

Informa, Beijing 100081, Peoples R China.

[Huang, Yonggang; Huang, Heyan] Beijing Inst Technol, Sch Comp Sci & Technol, Beijing 100081, Peoples R China.

[Zhang, Jun] Deakin Univ, Sch Informat Technol, Geelong, Vic 3217, Australia.

[Wang, Daifa] Beihang Univ, Sch Biol Sci & Med Engn, Beijing 100191, Peoples R China.

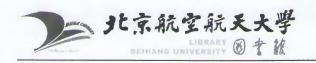
Reprint Address: Huang, YG (reprint author), Beijing Inst Technol, Beijing Engn Res Ctr High Volume Language Informa,

Beijing 100081, Peoples R China.

E-mail Addresses: yonggang.h@gmail.com; jun.zhang@deakin.edu.au; daifa.wang@buaa.edu.cn

IDS Number: AN4IE ISSN: 1380-7501 eISSN: 1573-7721

Source Item Page Count: 23



# 文献引用检索证明

作者姓名: 汪待发(Wang, Daifa)

经检索"Web of Science 引文索引数据库",该作者发表的 5 篇论文被引用 22 次(in SCIE/SSCI/CPCI-S),其中他引 17 次。

(他引定义: 引用文献中不包含被检索作者的引用视为他引。)

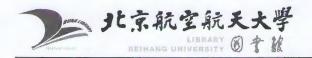
检索结果见附件, 共6页。

检索时间为 2016 年 5 月 20 日。

特此证明!

证明人(签字): 五城村 证明单位(盖章): 北京航空航天大学图书馆 二〇一六年五月二十日





### 附件:

### Record 1 of 5

Title: Focusing through dynamic tissue with millisecond digital optical phase conjugation

Author(s): Wang, DF (Wang, Daifa); Zhou, EH (Zhou, Edward Haojiang); Brake, J (Brake, Joshua); Ruan, HW (Ruan,

Haowen); Jang, M (Jang, Mooseok); Yang, CH (Yang, Changhuei)

Sourcè: OPTICA Volume: 2 Issue: 8 Pages: 728-735 DOI: 10.1364/OPTICA.2.000728 Published: AUG 20 2015

Times Cited in Web of Science Core Collection: 8

Total Times Cited: 8

Accession Number: WOS:000364484600012

引证文献共计 7篇(in SCIE/SSCI/CPCI-S),其中他引 7次

Record 1 of 7

Title: Bit-efficient, sub-millisecond wavefront measurement using a lock-in camera for time-reversal based optical focusing inside scattering media

Author(s): Liu, Y (Liu, Yan); Ma, C (Ma, Cheng); Shen, YC (Shen, Yuecheng); Wang, LHV (Wang, Lihong V.)

Source: OPTICS LETTERS Volume: 41 Issue: 7 Pages: 1321-1324 DOI: 10.1364/OL.41.001321 Published:

APR 1 2016

Accession Number: WOS:000373225400004

ISSN: 0146-9592 eISSN: 1539-4794 Record 2 of 7

Title: Focusing light through scattering media by full-polarization digital optical phase conjugation

Author(s): Shen, YC (Shen, Yuecheng); Liu, Y (Liu, Yan); Ma, C (Ma, Cheng); Wang, LV (Wang, Lihong V.)

Source: OPTICS LETTERS Volume: 41 Issue: 6 Pages: 1130-1133 DOI: 10.1364/OL.41.001130 Published:

MAR 15 2016

Accession Number: WOS:000373042600015

PubMed ID: 26977651 ISSN: 0146-9592 eISSN: 1539-4794 Record 3 of 7

Title: Analyzing the relationship between decorrelation time and tissue thickness in acute rat brain slices using

multispeckle diffusing wave spectroscopy

Author(s): Brake, J (Brake, Joshua); Jang, M (Jang, Mooseok); Yang, CH (Yang, Changhuei)

Source: JOURNAL OF THE OPTICAL SOCIETY OF AMERICA A-OPTICS IMAGE SCIENCE AND VISION

Volume: 33 Issue: 2 Pages: 270-275 DOI: 10.1364/JOSAA.33.000270 Published: FEB 1 2016

Accession Number: WOS:000369098200013

PubMed ID: 26831778 ISSN: 1084-7529 eISSN: 1520-8532 Record 4 of 7

Title: Optical imaging through dynamic turbid media using the Fourier-domain shower-curtain effect

Author(s): Edrei, E (Edrei, Eitan); Scarcelli, G (Scarcelli, Giuliano)

Source: OPTICA Volume: 3 Issue: 1 Pages: 71-74 DOI: 10.1364/OPTICA.3.000071 Published: JAN 20 2016

Accession Number: WOS:000370815300013

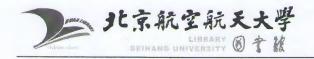
ISSN: 2334-2536 Record 5 of 7

Title: Effect of experimental parameters on optimal reflection of light from opaque media

Author(s): Anderson, BR (Anderson, Benjamin R.); Gunawidjaja, R (Gunawidjaja, Ray); Eilers, H (Eilers, Hergen)

Source: PHYSICAL REVIEW A Volume: 93 Issue: 1 Article Number: 013813 DOI:

10.1103/PhysRevA.93.013813 Published: JAN 11 2016



Accession Number: WOS:000367890100017

Author Identifiers:

Author ResearcherID Number ORCID Number

Anderson, Benjamin A-4981-2015 0000-0003-1403-970X

ISSN: 1050-2947 eISSN: 1094-1622 Record 6 of 7

Title: Optical focusing inside scattering media with time-reversed ultrasound microbubble encoded light

Author(s): Ruan, HW (Ruan, Haowen); Jang, M (Jang, Mooseok); Yang, CH (Yang, Changhuei)

Source: NATURE COMMUNICATIONS Volume: 6 Article Number: 8968 DOI: 10.1038/ncomms9968 Published:

NOV 2015

Accession Number: WOS:000366382800001

PubMed ID: 26597439 ISSN: 2041-1723 Record 7 of 7

Title: Single-exposure optical focusing inside scattering media using binarized time-reversed adapted perturbation

Author(s): Ma, C (Ma, Cheng); Zhou, FB (Zhou, Fengbo); Liu, Y (Liu, Yan); Wang, LHV (Wang, Lihong V.)

Source: OPTICA Volume: 2 Issue: 10 Pages: 869-876 DOI: 10.1364/OPTICA.2.000869 Published: OCT 20

2015

Accession Number: WOS:000364485100008

Author Identifiers:

Author ResearcherID Number ORCID Number Wang, Lihong A-7147-2009 0000-0001-9783-4383

ISSN: 2334-2536

#### Record 2 of 5

Title: Noncontact full-angle fluorescence molecular tomography system based on rotary mirrors

Author(s): Wang, DF (Wang, Daifa); He, J (He, Jin); Qiao, HT (Qiao, Huiting); Li, P (Li, Ping); Fan, YB (Fan, Yubo); Li, DY (Li, Deyu)

Source: APPLIED OPTICS Volume: 54 Issue: 23 Pages: 7062-7070 DOI: 10.1364/AO.54.007062 Published:

AUG 10 2015

Times Cited in Web of Science Core Collection: 0

Total Times Cited: 0

Accession Number: WOS:000359356400016 引证文献共计 0 篇(in SCIE/SSCI/CPCI-S)

### Record 3 of 5

Title: High-Performance Fluorescence Molecular Tomography through Shape-Based Reconstruction Using Spherical Harmonics Parameterization

Author(s): Wang, DF (Wang, Daifa); He, J (He, Jin); Qiao, HT (Qiao, Huiting); Song, XL (Song, Xiaolei); Fan, YB (Fan, Yubo); Li, DY (Li, Deyu)

Source; PLOS ONE Volume: 9 Issue: 4 Article Number: e94317 DOI: 10.1371/journal.pone.0094317 Published: APR 14 2014

Times Cited in Web of Science Core Collection: 4

Total Times Cited: 4

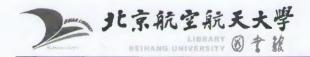
Accession Number: WOS:000336970400030

引证文献共计 4 篇(in SCIE/SSCI/CPCI-S),其中他引 3 次

Record 1 of 4

Title: Noncontact full-angle fluorescence molecular tomography system based on rotary mirrors

Author(s): Wang, DF (Wang, Daifa); He, J (He, Jin); Qiao, HT (Qiao, Huiting); Li, P (Li, Ping); Fan, YB (Fan, Yubo); Li,



DY (Li, Deyu)

Source: APPLIED OPTICS Volume: 54 Issue: 23 Pages: 7062-7070 DOI: 10.1364/AO.54.007062 Published:

AUG 10 2015

Accession Number: WOS:000359356400016

PubMed ID: 26368376 ISSN: 1559-128X eISSN: 2155-3165 Record 2 of 4

Title: Fluorescence molecular tomography reconstruction via discrete cosine transform-based regularization

Author(s): Shi, JW (Shi, Junwei); Liu, F (Liu, Fei); Zhang, JL (Zhang, Jiulou); Luo, JW (Luo, Jianwen); Bai, J (Bai, Jing) Source: JOURNAL OF BIOMEDICAL OPTICS Volume: 20 Issue: 5 Article Number: 055004 DOI:

10.1117/1.JBO.20.5.055004 Published: MAY 2015

Accession Number: WOS:000356241900052

PubMed ID: 25970083 Author Identifiers:

Author ResearcherID Number ORCID Number Luo, Jianwen D-5612-2011 0000-0001-9215-5568

ISSN: 1083-3668 eISSN: 1560-2281 Record 3 of 4

Title: A modularly designed fluorescence molecular tomography system for multi-modality imaging

Author(s): Wang, GH (Wang, Guohe); Zhang, B (Zhang, Bin); Ding, YC (Ding, Yichen); He, Y (He, Yun); Chen, JS (Chen, Jingsong); Lu, YY (Lu, Yanye); Jiang, XY (Jiang, Xiaoyun); Shi, JW (Shi, Junwei); Bai, J (Bai, Jing); Ren, QS (Ren, Qiushi); Li, CH (Li, Changhui)

Source: JOURNAL OF X-RAY SCIENCE AND TECHNOLOGY Volume: 23 Issue: 2 Pages: 147-156 DOI:

10.3233/XST-150478 Published: 2015 Accession Number: WOS:000353063200004

PubMed ID: 25882728 ISSN: 0895-3996 eISSN: 1095-9114 Record 4 of 4

Title: Shape-parameterized diffuse optical tomography holds promise for sensitivity enhancement of fluorescence

molecular tomography

Author(s): Wu, LH (Wu, Linhui); Wan, WB (Wan, Wenbo); Wang, X (Wang, Xin); Zhou, ZX (Zhou, Zhongxing); Li, J

(Li, Jiao); Zhang, LM (Zhang, Limin); Zhao, HJ (Zhao, Huijuan); Gao, F (Gao, Feng)

Source: BIOMEDICAL OPTICS EXPRESS Volume: 5 Issue: 10 Pages: 3640-3659 DOI: 10.1364/BOE.5.003640

Published: OCT 1 2014

Accession Number: WOS:000343135200031

PubMed ID: 25360379 ISSN: 2156-7085

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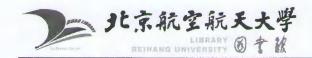
Title: Fluorescence molecular tomography using a two-step three-dimensional shape-based reconstruction with graphics processing unit acceleration

Author(s): Wang, DF (Wang, Daifa); Qiao, HT (Qiao, Huiting); Song, XL (Song, Xiaolei); Fan, YB (Fan, Yubo); Li, DY (Li, Devu)

Source: APPLIED OPTICS Volume: 51 Issue: 36 Pages: 8731-8744 DOI: 10.1364/AO.51.008731 Published:

Times Cited in Web of Science Core Collection: 6

Total Times Cited: 7



Accession Number: WOS:000312783700027

引证文献共计 6 篇(in SCIE/SSCI/CPCI-S), 其中他引 5 次

Record 1 of 6

Title: Generalized total variation iterative constraint strategy in limited angle optical diffraction tomography

Author(s): Krauze, W (Krauze, Wojciech); Makowski, P (Makowski, Piotr); Kujawinska, M (Kujawinska, Malgorzata);

Kus, A (Kus, Arkadiusz)

Sourcè: OPTICS EXPRESS Volume: 24 Issue: 5 Pages: 4924-4936 DOI: 10.1364/OE.24.004924 Published:

MAR 7 2016

Accession Number: WOS:000371435000058

ISSN: 1094-4087 Record 2 of 6

Title: Spectral selective fluorescence molecular imaging with volume holographic imaging system

Author(s): Lv, YL (Lv, Yanlu); Zhang, JL (Zhang, Jiulou); Liu, F (Liu, Fei); Shi, JW (Shi, Junwei); Guang, HZ (Guang,

Huizhi); Bai, J (Bai, Jing); Luo, JW (Luo, Jianwen)

Source: JOURNAL OF INNOVATIVE OPTICAL HEALTH SCIENCES Volume: 9 Issue: 2 Article Number:

1650010 DOI: 10.1142/S1793545816500103 Published: MAR 2016

Accession Number: WOS:000374134100006

ISSN: 1793-5458 eISSN: 1793-7205 Record 3 of 6

Title: A reconstruction approach in wavelet domain for fluorescent molecular tomography via rotated sources illumination

Author(s): Zou, W (Zou, Wei); Wang, JJ (Wang, Jiajun); Hu, DF (Hu, Danfeng); Wang, WX (Wang, Wenxia)

Source: BIOMEDICAL ENGINEERING ONLINE Volume: 14 Article Number: 86 DOI:

10.1186/s12938-015-0080-y Published: SEP 30 2015

Accession Number: WOS:000361936400001

PubMed ID: 26419738 ISSN: 1475-925X Record 4 of 6

Title: Full domain-decomposition scheme for diffuse optical tomography of large-sized tissues with a combined CPU and

GPU parallelization

Author(s): Yi, X (Yi, Xi); Wang, X (Wang, Xin); Chen, WT (Chen, Weiting); Wan, WB (Wan, Wenbo); Zhao, HJ (Zhao,

Huijuan); Gao, F (Gao, Feng)

Source: APPLIED OPTICS Volume: 53 Issue: 13 Pages: 2754-2765 DOI: 10.1364/AO.53.002754 Published:

MAY 1 2014

Accession Number: WOS:000335493000011

PubMed ID: 24921857 ISSN: 1559-128X eISSN: 2155-3165 Record 5 of 6

Title: High-Performance Fluorescence Molecular Tomography through Shape-Based Reconstruction Using Spherical

Harmonics Parameterization

Author(s): Wang, DF (Wang, Daifa); He, J (He, Jin); Qiao, HT (Qiao, Huiting); Song, XL (Song, Xiaolei); Fan, YB (Fan,

Yubo); Li, DY (Li, Deyu)

Source: PLOS ONE Volume: 9 Issue: 4 Article Number: e94317 DOI: 10.1371/journal.pone.0094317 Published:

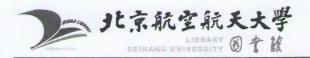
APR 14 2014

Accession Number: WOS:000336970400030

PubMed ID: 24732826 Author Identifiers:

Author ResearcherID Number ORCID Number

Song, Xiaolei B-3000-2014



ISSN: 1932-6203 Record 6 of 6

Title: Three-dimensional photoacoustic tomography based on graphics-processing-unit-accelerated finite element method Author(s): Peng, K (Peng, Kuan); He, L (He, Ling); Zhu, ZQ (Zhu, Ziqiang); Tang, JT (Tang, Jingtian); Xiao, JY (Xiao,

Jiaying)

Source: APPLIED OPTICS Volume: 52 Issue: 34 Pages: 8270-8279 DOI: 10.1364/AO.52.008270 Published:

DEC 1 2013

Accession Number: WOS:000328449900014

PubMed ID: 24513828 ISSN: 1559-128X eISSN: 2155-3165

### Record 5 of 5

Title: Full-Angle Fluorescence Diffuse Optical Tomography With Spatially Coded Parallel Excitation

Author(s): Wang, DF (Wang, Daifa); Liu, X (Liu, Xin); Liu, F (Liu, Fei); Bai, J (Bai, Jing)

Source: IEEE TRANSACTIONS ON INFORMATION TECHNOLOGY IN BIOMEDICINE Volume: 14 Issue: 6

Pages: 1346-1354 DOI: 10.1109/TITB.2010.2077306 Published: NOV 2010

Times Cited in Web of Science Core Collection: 5

Total Times Cited: 5

Accession Number: WOS:000283982200005

引证文献共计 5 篇(in SCIE/SSCI/CPCI-S), 其中他引 2 次

Record 1 of 5

Title: Noncontact full-angle fluorescence molecular tomography system based on rotary mirrors

Author(s): Wang, DF (Wang, Daifa); He, J (He, Jin); Qiao, HT (Qiao, Huiting); Li, P (Li, Ping); Fan, YB (Fan, Yubo); Li,

DY (Li, Deyu)

Source: APPLIED OPTICS Volume: 54 Issue: 23 Pages: 7062-7070 DOI: 10.1364/AO.54.007062 Published:

AUG 10 2015

Accession Number: WOS:000359356400016

PubMed ID: 26368376 ISSN: 1559-128X eISSN: 2155-3165 Record 2 of 5

Title: High-Performance Fluorescence Molecular Tomography through Shape-Based Reconstruction Using Spherical

Harmonics Parameterization

Author(s): Wang, DF (Wang, Daifa); He, J (He, Jin); Qiao, HT (Qiao, Huiting); Song, XL (Song, Xiaolei); Fan, YB (Fan,

Yubo); Li, DY (Li, Deyu)

Source: PLOS ONE Volume: 9 Issue: 4 Article Number: e94317 DOI: 10.1371/journal.pone.0094317 Published:

APR 14 2014

Accession Number: WOS:000336970400030

PubMed ID: 24732826 Author Identifiers:

Author ResearcherID Number ORCID Number

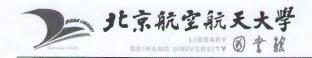
Song, Xiaolei B-3000-2014

ISSN: 1932-6203 Record 3 of 5

Title: On the use of the Cramer-Rao lower bound for diffuse optical imaging system design Author(s): Pera, V (Pera, Vivian); Brooks, DH (Brooks, Dana H.); Niedre, M (Niedre, Mark)

Source: JOURNAL OF BIOMEDICAL OPTICS Volume: 19 Issue: 2 Article Number: 025002 DOI:

10.1117/1.JBO.19.2.025002 Published: FEB 2014 Accession Number: WOS:000332830900015



PubMed ID: 24503635 ISSN: 1083-3668 eISSN: 1560-2281 Record 4 of 5

Title: Fluorescence molecular tomography using a two-step three-dimensional shape-based reconstruction with graphics

processing unit acceleration

Author(s): Wang, DF (Wang, Daifa); Qiao, HT (Qiao, Huiting); Song, XL (Song, Xiaolei); Fan, YB (Fan, Yubo); Li, DY

(Li, Deyu)

Source: APPLIED OPTICS Volume: 51 Issue: 36 Pages: 8731-8744 DOI: 10.1364/AO.51.008731 Published:

DEC 20 2012

Accession Number: WOS:000312783700027

PubMed ID: 23262613 Author Identifiers:

Author ResearcherID Number ORCID Number

Song, Xiaolei B-3000-2014

ISSN: 1559-128X eISSN: 2155-3165 Record 5 of 5

Title: Optical Tomography

Author(s): Haisch, C (Haisch, Christoph)

Edited by: Cooks RG; Yeung ES

Source: ANNUAL REVIEW OF ANALYTICAL CHEMISTRY, VOL 5 Book Series: Annual Review of Analytical

Chemistry Volume: 5 Pages: 57-77 DOI: 10.1146/annurev-anchem-062011-143138 Published: 2012

Accession Number: WOS:000307956200005

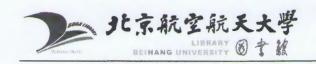
PubMed ID: 22524216 Author Identifiers:

Author ResearcherID Number ORCID Number

Haisch, Christoph I-3591-2012 0000-0002-6344-6750

ISSN: 1936-1327

ISBN: 978-0-8243-4405-4



# 文献收录检索证明

作者姓名: 汪待发(Wang, Daifa)

经检索"网络版工程索引(EI Compendex)"数据库,该作者发表的论文(2010-2016年),被收录 3 篇。

检索结果见附件, 共1页。

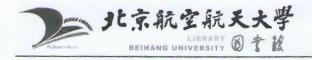
检索时间为 2016 年 5 月 20 日。

特此证明!

证明人(签字): 天城木

证明单位(盖章): 北京航空航天大学图书馆

二〇一六年五納室卡用章



### 附件:

Performance of fluorescence molecular tomography with hybrid diffuse optical tomography guidance and normalized born method Wang, Daifa (State Key Laboratory of Software Development Environment, Beihang University, Beijing 100191, China);

Wang, Ling; Fan, Yubo; Li, Deyu Source: Proceedings - 2011 4th International Conference on Biomedical Engineering and Informatics, BMEI 2011, v 1, p 434-438, 2011, Proceedings - 2011 4th International Conference on Biomedical Engineering and Informatics, BMEI 2011

Database: Compendex

Accession number: 20120314690514

Optical tomography for dense scattering media using DLP based structured illumination

Wang, Daifa (Key Laboratory for Biomechanics and Mechanobiology, School of Biological Science and Medical Engineering, Beihang University, XueYuan Road No.37, HaiDian District, Beijing 100191, China); He, Jin; Li, Deyu Source: Asia Communications and Photonics Conference, ACP, 2013, Asia Communications and Photonics Conference, ACP 2013

Database: Compendex

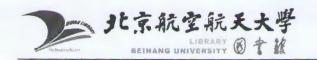
Accession number: 20142017711215

3. 2D shape-based fluorestence molecular tomography through hybrid genetic algorithm based optimization Wang, Daifa (State Key Laboratory of Software Development Environment, Beihang University, Beijing, China); Wang, Ling; Fan, Yubo; Li, Deyu Source: IFMBE Proceedings, v 39 IFMBE, p 1018-1021, 2013, World Congress on Medical Physics and Biomedical Engineering

Database: Compendex

Accession number: 20131616209591





# 中文核心期刊检索证明

经检索《中文核心期刊要目总览(2014年版)》(朱强、何峻、蔡蓉华主编,北京大学出版社,ISBN: 978-7-301-26189-7),下列1种期刊为核心期刊:

1.【期刊名称】中国科技论文在线

【ISSN】1673-7180

【核心期刊版次】2011/2014

注: 该刊 2012 年起改名为《中国科技论文》, ISSN 为 2095-2783。

检索时间为 2016 年 5 月 20 日。

特此证明!

证明人(签案): 北京航空航天大学图书馆 证明单位(盈章): 北京航空航天大学图书馆 一个年五月二十日

# 2016年专业技术职务评聘论文发表及收录情况证明表

单位: 生物与医学工程学院

姓名	江待发         工作证号         09259         现任职称         讲师         任职时间         2010年10月								
任现职以来发表论文及收录情况:收录类别、作者贡献(第一、通讯等)仅计算1次;论文收录以图书馆检索证明为准,未检索到的来源刊论文仅计算1篇									
								中文核	

类别	合计	SCI	SSCI	CSSCI	EI	ISTP	中文核心期刊	其他
一、符合职称申报条件 论文	10	5			3		2	0
其中: 1. 第一作者	8	5			3			0
2. 学生第一本人第二作者								
3. 通讯作者	2						2	
二、其他	5	5						

本人承诺以上所填属实, 如与事实不符, 本人愿承担一切责任。

日期: 2016年06月01日

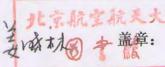
单位负责人签字(加盖公章)

日期: H 月

图书馆意见:

经检索,上表所列论文发表及收录情况属实。经检索,上部科技SCIELEI发现的以来的文章发表一个人及是。

经验者,北大的人的社会心知了一之形的和教育生工屋是。



日期: 2016年 6月2 日

注: 1.申请人认真如实填写相关信息后A4纸单面打印;

2. 对于学生第一本人第二作者的论文需填写《2016年专业技术职务评聘研究生指导情况证明表》由所在单位 认定, 主管副院长签字, 学院盖章, 研究生院审定, 一并去图书馆认定。

# 北京航空航大学专业技术职务评审任现职以来主要教学工作业绩水平证明表

姓 名 汪待发	单位	主物与医学工程学院
现任专业技术职务 讲师	任现职时间	2010.10
申请专业技术职务 副教授	填表日期	2016.05.17

### 一、教学总体情况

任现职以来,独立指导硕士研究生<u>4</u>届<u>5</u>人,其中毕业<u>1</u>人,在读<u>4</u>人;指导本科毕设<u>4</u>人,主讲本科生课<u>2</u>门,其中必修课<u>0</u>门;主讲研究生课 1\_门、其中学位课<u>1</u>门。年均授课<u>50</u>学时。

### 二、本科教学工作量

	课程代码	课程名称	学年-学期	课程学时	本人授课学时	授课对象 (本科生/留学 生等)	授课次数 (几轮次)	课程性质	
	F10D 3250	数值 计算 方法	2011-2012-1 2012-2013-1 2013-2014-1	32	30	本科生	3	选修	
	E10B 3320	生物 医学 信号 处理	生物 医学 信号 2011-2012-1 2012-2013-1 2013-2014-1		8	本科生	3	必修	
教学	E10B 3330	医学   2010-2011-2		52	7	本科生	2	必修	
工作量	C10D 1110	C语 言程 序设 计	2010-2011-2	48	2	本科生	· 1	必修	
	F10C 3141	临湖技发	2015-2016-2	32	.32	本科生	1	必修	
.#3	F10C 3911	F10C 生物 医学 2015-2016-2 32 8 本科与		本科生	1	必修			
	G10C 4430	专业 综合 实验	2012-2013-1 2013-2014-1 2015-2016-1	16周	40	本科生	3	必修	

注:课程性质为校级核心、专业基础核心、专业方向核心、必修、选修、公共选修等。

院(系)确认人签字:

 教学
 获奖

 含
 时间

 成教
 果材

 来
 无

 奖
 表类项目名称

 获奖等级
 本人排名

教学研	起止时间	教改立项项目名称(含 编写教材、讲义)	项目来源	完成情况	本人作用	
究	无					
	任务完成	情况(含辅导员、军训、 年技术人员社		指导青年教师及青	本人作用	
其	指导3届	本科生毕业设计,合计4年 祝永寺		<b>发生、贺进、张烨、</b>	指导教师	/ to In
它	指导3届	本科生冯如杯竞赛,获得- 李秦、苑译;朱君、			指导教师	
		指导一届本科生 SRTP(第	·君、郑燕春、	谢风华)	指导教师	2 FAST

院(系)确认人签字: 教务处确认人签字:

本人确认表内所填内容属实 签名: 院(系)确认人签字(公章): 教务处确认盖章: 日期:

三、研究生教学工作量

- Marie	课程名称	起止时间	起止时间 课程 本, 学时 课		授课对象 (研究生/留学 生等)	授课次数 (几轮次)	课程类型
教学工作	生理信号检 测与处理实 验	2013.04-20 13.05	18	9	研究生	1	学位课
量	生物医学图 像分析	2013.03-20 13.07	32	4	研究生	1	学位 课
	生物医学成 像技术	2013.03-20 13.07	32	2	研究生	1	学位 课

**养胶** 

教 ^ 学含	获奖时间	获奖项目名称	*	获奖 等级	本人排名
成教 果材	无			**	
奖×					
教学	时间	项目名称	项目 来源	完成情况	本人作用
开研究	无				
, -					
其	任务完成情况	<b>「</b> 年教师及青年	本人作用		
其它	指导5名	、宋超)	指导教师		

宋 聪

院(系)确认人签字: 研究生院确认人签字:

本人确认表内所填内容属实 签名:

院(系)确认人签字(公章): 研究生院确认人签字(公章):

日期:

# 2016年专业技术职务评聘专利与获奖情况认定表

2010年10月 单位 生物与医学工程学院 姓名 汪待发 工作证号 9259 任职时间 1. 获批专利情况 任现职以来以第一享有人(含学生第一本人第二)名义共授权专利\_4\_项;任现职以来共获得已授权专利 情况: 发明专利 实用新型 外观设计 类别 专利数 第一 0 0 4 4 享有人 0 0 总数量 6 6 仅限首次授权 序号 专利名称 专利类型 授权日期 批准机构 专利号 权利单位 人数 本人排名 -种多光学信息同步检测的双中 国 发 明 2012.07.0 中 华 人 民 CN2011100 北京航空航 3 1 参数荧光分子断层成像装置及专利 共和国国90186.0 天大学 家知识产 一种基于旋转镜的全角度荧光中 国 发 明 2014.04.1 中华人民 CN2012103 北京航空航 6 2 共和国国94893.3 天大学 分子断层成像装置 专利 家知识产 中国发明 2015.11.0 中华人民 CN2013107 北京航空航 4 一种基于单滑环和无线控制激 3 共和国国40964.5 天大学 发光扫描的荧光分子断层成像 专利 **达**署 及 方 注 家知识产 中国发明2016.01.1 中华人民 CN1037508 北京航空航 3 基于生物电阻抗的全视角椎弓 4 共和国国95B 根手术辅助导航装置及方法 专利 天大 家知识产 中华人民 CN2013101 北京航空航 5 3 一种基于彩色探测器的体外过中 国 发 明 2015.04.1 共和国国 63680 5 敏原定量检测装置及其方法 天大学 专利 家知识产 中华人民 CN2012100 北京航空航 5 3 一种基于多光谱成像的体外过 中国发明2014.07.1 6 敏原定量检测装置及其方法 共和国国 64231 天大学 专利 家知识产 7 8 本人承诺以上所填属实。 承诺人: 一 学院审核人(盖章): 017384 科研部门审核意见: 经审核,上表所列奖项中,符合首次授权状态的归档专利共 6 项 (1)号: 1-6 审核人: 黄河等了2016.5.30.

### 2. 获奖情况

任现职以来获得国家级教学/科研成果奖<u>0</u>项;省部级教学/科研成果一等奖前五名、二等奖前三名或三等奖第一完成人共<u>0</u>项。请填写任现职以来获得教学、科研、管理奖励情况。

序号	奖励名称	颁奖部门	奖励级别	获奖时间	人数	本人排名
1	无					
2		P				
3						
4					•	
5						
6						

本人承诺以上所填属实。

承诺人: 沙女李俊

学院审核人(盖章)



科研部门审核意见:

经审核,上表所列奖项中,情况属实的国家级科研成果奖\_\_\_项(序号: ),省部级科研成果\_\_\_项(序号: )。

审核人:

盖章:

- 注: 1.申请人认真如实填写相关信息后A4纸单面打印;
  - 2.申请人签字确认后将此表及相关证明材料交至所在学院,由学院统一送至科研院审核;
  - 3.申请人填写申报材料时以审核后的信息为准。

# 课题信息查询

经费负责人: 汪待发

工作证号: 09259

查询范围: 2010-07-23到2016-05-23

总实到经费: 159

单位: 万元

编号	经费号	项目名称	项目来源	实到经费	设备费	外协费	课题性质	项目负责 人
1	30-4511- 01	基于近红外光谱脑功能 成像的新生儿情绪加工 脑机制研究	教育部	8	0	0.9	非51合	汪待发
2	54-2401	多通道近红外光脑功能 成像仪NIR-BRAIN研制	北京心灵方舟 科技发展有限 公司	95	2.3	40	技术开发	汪待发
3	30-2147	双源智能型负压创口治 疗仪的研发	教育部	3	0	0	非51合	汪待发
4	36-0380	融合多光学信息的双参 数荧光分子断层成像的 理论和算法研究	博士点基金	4	0	0	非51合 同	汪待发
5	37-5411	融合多光学信息的双参 数荧光分子断层成像方 法的研究	国家自然科学 基金	32	0	5.3	非51合	汪待发
6	30-1315	多光学信息融合的双参 数荧光分子断层成像的 理论研究	工信部: "唯 实"人才培育 基金	2	0	0	非51合	汪待发
7	27-0382	科研项目	工信部	15	0	0	非51合 同	李未

科学技术研究院

查询日期: 2016年05月24日

# 国家自然科学基金资助项目批准通知

北京航空航天大学 汪待发同志:

根据《国家自然科学基金条例》的规定和专家评审意见,国家自然科学基金委员会决定资助您的申请项目。请您登录科学基金项目管理 ISIS 网络信息系统 (https://isis.nsfc.gov.cn),获取《国家自然科学基金资助项目研究计划书》(以下简称计划书)。您登录该系统的用户名和密码已通过电子邮件方式发送至您在申请书中填写的电子邮箱。

请您按照本通知的研究期限、资助金额和修改意见填写计划书,要求纸质原件(一式两份)和电子文档同时报送(请保证电子文档和纸质文件内容一致)。电子文档由申请人上传到科学基金网络信息系统(https://isis.nsfc.gov.cn),或用电子邮件发送到: report@pro.nsfc.gov.cn 信箱, 电子文档报送截止日期为9月12日;纸质原件送所在单位审核盖章后,由依托单位在9月12日前统一报送。

如对批准意见有异议,须在上述电子文档报关截止日期前提出;未说明理由逾期不报计划书者,视为自动放弃接受资助。



附: 批准意见表(见背面)

# 附: 批准意见表

项目批准号	61108084	归口管理 部门	信息科学部		资助领域 分类代码	F051206	
项目名称	融合多光学信息	的双参数荧头	光分子断层成像	京方法的研究	Ř		
资助类别	青年科学基金项	目					
附注说明							
项目负责人	汪待:	发	依托单位		北京航空航天大学		
资助金额	32.00	万元	研究期限		2012.01 至 2014.12		

对研究方案的修改意见:

课题编号: 2011AA02A104

# 国家高技术研究发展计划(863 计划) 课题任务书

课题名称: 基于免疫学方法的自动化专用检测分析仪器

研制

所属项目: 体外诊断技术产品开发

所属技术领域: 生物和医药技术领域

课题管理单位: 中国生物技术发展中心

课题承担单位: 北京航空航天大学

起止年限: 2011年至2012年

中华人民共和国科学技术部 2012年03月06日

003 2011AA02A104

### 六、课题参加人员基本情况表

填表说明: 1、职称分类: A、正高级 B、副高级 C、中级 D、初级 E、其他;

- 2、投入本课题的全时工作时间(人月)是指在课题实施期间该人总共为课题工作的满月度工作量;累计是指课题组所有人员投入人月之合。
- 3、课题固定研究人员需填写人员明细;
- 4、是否有工资性收入: Y、是 N、否;
- 5、人员分类代码: A、课题负责人 B、课题骨干 C、其他研究人员;

序号	姓名	性别	出生日期	证件 类型	证件号码	技术职称	职务	专业	投入本课 题的全时 工作时间 (人月)	人员分类	在课题 中分担 的任务	是否有 工资性 收入	工作单位
1	李德玉	男	1967-0 3-21	身份证	510102196703 218475	正高级	副院长	工学	12	课题负 责人	总体负责	是	北京航空航天大学
2	孙建堂	男	1977-0 1-08	身份证	370102197701 084512	正高级	无	工学	14	其他研 究人员	全自动血 型配血系 统部分负 责	是	苏州生物医学工程技术研究所
3	蒲放	男	1973-1 0-28	身份证	512930197 <b>3</b> 10 280257	副高级	系主任	工学	8	其他研 究人员	算法研究	是	北京航空航天大学
4	汪待发	男	1984-0 4-21	身份证	340824198404 212819	中级	讲师	工学	16	其他研 究人员	系统研制	是	北京航空航天大学
5	吴再辉	男	1980-0 6-25	身份证	220104198006 250333	副高级	副研究员	电子与信息类	20	其他研 究人员	电子学设 计	是	苏州生物医学工程技术研究所
6	刘铁梅	女	1965-0 7-23	身份证	220104196507 233321	正高级	科主任	临床医学与医学技 术类	12	其他研 究人员	临床测试 评价负责	是	吉林大学
7	赵国庆	男	1965-1 1-20	身份证	220104196511 201314	正高级	副院长	临床医学与医学技 术类	12	其他研 究人员	临床样本 制备	是	吉林大学
8	王玲	女	1983-0 1-09	身份证	513821198301 090201	中级	讲师	工学	16	其他研 究人员	系统与仪 器研制	足	北京航空航天大学
9	刘广兴	男	1983-0 7-23	身份证	650103198307 231818	中级	无	工学	8	其他研究人员	实验室样 本采集与 处理	是	苏州生物医学工程技术研究所



10	郑丽沙	女	1979-0 3-20	身份证	510282197903 203800	中级	讲师	医学	6	其他研 究人员	方法学设计	是	北京航空航天大学
11	易宗春	男	1971-0 1-03	身份证	420104197101 031633	副高级	系主任	生物科学类	16	其他研 究人员	过敏原检 测基础研 究	是	北京航空航天大学
12	魏仲航	女	1964-0 5-20	身份证	220102196405 203401	正高级	副院长	临床医学与医学技 术类	12	其他研 究人员	临床对比 试验	是	吉林大学
13	王海兵	男	1974-0 7-25	身份证	230202197407 250633	中级	生产总监	生物科学类	12	其他研究人员	过敏原试 剂条部分 总体协调	是	苏州浩欧博生物医药有限公司
14	姜洋	男	1975-0 5-05	身份证	220104197505 051334	中级	无	临床医学与医学技 术类	16	其他研 究人员	临床试验	是	吉林大学
15	张晓阳	女	1981-1 1-27	身份证	210311198111 270929	初级	工艺工程师	生物科学类	12	其他研 究人员	过敏原试 剂条测试	是	苏州浩欧博生物医药有限公司
16	袁春辉	男	1979-0 8-30	身份证	150429197908 301233	其他	工程师	工学	20	其他研 究人员	光学设计	是	苏州长光华医生物医学工程有限公 司
17	陈卿	男	1984-1 2-26	身份证	320581198412 261710	中级	无	工学	14	其他研 究人员	软件设计	是	苏州生物医学工程技术研究所
18	尹焕才	男	1982-0 1-05	身份证	220421198201 05271x	其他	助理研究 员	生物科学类	8	其他研 究人员	免疫学试 验	是	苏州生物医学工程技术研究所
19	陈小三	男	1976-0 3-13	身份证	370121197603 13743X	其他	技术总监	生物科学类	12	其他研 究人员	过敏原试 剂条研发	是	苏州浩欧博生物医药有限公司
20	程文播	男	1984-1 2-31	身份证	460036198412 315518	其他	助理研究 员	工学	20	其他研 究人员	电子学设计	是	苏州生物医学工程技术研究所
21	吴利娅	女	1971-1 1-18	身份证	330323197111 180024	其他	副总经理	预防医学类	12	其他研 究人员	方法学设 计	是	苏州浩欧博生物医药有限公司
22	孙海旋	男	1985-0 9-01	身份证	320323198509 010714	其他	实习研究 员	机械类	20	其他研 究人员	机械设计	是	苏州生物医学工程技术研究所
23	赵文姬	女	1978-0 · 9-18	身份证	130228197809 182047	中级	研发工程 师	生物科学类	12	其他研 究人员	过敏原试 剂条研发	是	苏州浩欧博生物医药有限公司
24	吴冬	男	1984-0 5-05	身份证	220721198405 050012	其他	工程师	机械类	20	其他研 究人员	机械设计	是	苏州长光华医生物医学工程有限公 司

25	钱俊	男	1983-0 8-05	身份证	320981198308 051970	其他	实习研究 员	工学	20	其他研 究人员	电子学设计	是	苏州生物医学工程技术研究所
26	李慧	女	1984-0 8-02	身份证	220204198408 022144	其他	学生	工学	16	其他研究人员	过敏原原 理基础研 究	否	北京航空航天大学
27	李双	男	1984-0 1-25	身份证	220323198401 254510	其他	学生	工学	8	其他研 究人员	机械设计	否	北京航空航天大学
28	郭萌	男	1988-0 9-28	身份证	131125198809 280035	其他	学生	工学	8	其他研 究人员	电子电路 开发	否	北京航空航天大学
29	李琦	男	1981-1 1-12	身份证	420111198111 124057	其他	学生	工学	16	其他研 究人员	过敏原系 统研发	否	北京航空航天大学
30	杨京芸	女	1987-0 4-06	身份证	620102198704 065022	其他	学生	工学	8	其他研 究人员	光学设计	否	北京航空航天大学
31	王颖	女	1988-0 5-12	身份证	110105198805 127324	其他	学生	工学	8	其他研 究人员	电子电路 开发	否	北京航空航天大学
32	王典	女	1986-0 2-15	身份证	230206198602 150929	其他	学生	工学	8	其他研究人员	测试软件 开发及测 试	否	北京航空航天大学
33	陈颀	女	1988-1 2-11	身份证	360622198812 110029	其他	学生	工学	20	其他研 究人员	软件开发	否	北京航空航天大学
34	吴帆	男	1987-0 9-21	身份证	110103198709 210950	其他	学生	工学	8	其他研 究人员	机械传动	否	北京航空航天大学
			固定研究人员合计流动人员或临时聘用人员合计							1	/	1	/ _
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					累	it		- Ampaire Court Park Scholes House Inc Scholes	450	/	/	/	/

密级: 公开级

# 国家科技支撑计划课题任务书

项目编号: 2012BAI19B00

重大慢病筛查和监测新设备研发 项目名称:

医疗器械产业技术创新战略联盟、中国医疗器 项目组织单位:

械行业协会

课题编号: 2012BAI19B04

心脑血管硬化与狭窄预警和诊断技术与设备 课题 名称:

开发

北京麦邦光电仪器有限公司、北京航空航天大 课题承担单位:

学

2012年01月01日至2015年12月31日 课题起止日期:

2012年02月20日 编制 日期:

中华人民共和国科学技术部制

009 2012BAH9B04 2012030519240458

# 五、课题的承担单位、参加单位及主要研究人员

填表说明: 1. 职称分类: A、正高级 B、副高级 C、中级 D、初级 E、其他:

- 2. 人员分类代码: A、课题负责人 B、课题骨干 C、其他研究人员;
- 3. 是否有工资性收入: Y、是 N、否:
- 4. 课题固定研究人员需填写人员名单;

序号	姓 名	证件类型	证件号码	工作单位	技术职称	投入本课题的全时 工作时间(人月)	是否有工 资性收入	人员分类
1	李淑宇	身份证	41290119760822050X	北京航空航天大学	副高级	30	是	课题负责人
2	吴豪杰	身份证	360124198302010015	北京麦邦光电仪器有限公司	初级	32	是	课题骨干
3	李晓明	身份证	132521197704245711	北京航空航天大学	副高级	20	是	课题骨干
4	王玲	身份证	513821198301090201	北京航空航天大学	中级	32	是	课题骨干
5	汪待发	身份证	340824198404212819	北京航空航天大学	中级	32	是	课题骨干
6	刘洪运	军官证	2426071	中国人民解放军总医院	中级	20	是	课题骨干
7	郭萌	身份证	131125198809280035	北京航空航天大学	其他	20	否	课题骨干
8	李双	身份证	220323198401254510	北京航空航天大学	其他	32	否	课题骨干
9	杨世胜	身份证	140225198012083719	北京麦邦光电仪器有限公司	初级	40	是	课题骨干
10	刘忠英	身份证	110108196310123815	北京麦邦光电仪器有限公司	副高级	32	是	课题骨干
11	刘光荣	军官证	2404312	中国人民解放军总医院	副高级	20	是	其他研究人员
12	刘美丽	身份证	142431197604050027	北京航空航天大学	中级	32	是	其他研究人员
13	王典	身份证	230206198602150929	北京航空航天大学	其他	32	否	其他研究人员
14	费蔚春	身份证	110105197410055317	北京麦邦光电仪器有限公司	初级	40	是	其他研究人员
15	王颖	身份证	110105198805127324	北京航空航天大学	其他	40	否	其他研究人员
16	王秀清	身份证	152631198009083016	北京麦邦光电仪器有限公司	初级	40	是	其他研究人员

\*

= 1

课题固定研究人员合计全时工作时间(人月): 494

# 证明

兹证明生物与医学工程学院汪待发同志(职工编号: 09259), 于 2010 年获批北航"蓝天新秀"称号。 特此证明。



# 证 明

兹证明汪待发于 2011、2012、2013、2015 年终考核被评为院优秀。

特此证明。





# 茶卷江右

HONORARY CREDENTIAL

# 还待发 老师:

在第23届"冯如杯"学生学术科技作品竞赛中, 您指导 的《脑皮层血流实时监测的近红外功能成像系统》荣获一等 奖。为表彰悠的辛勤劳动,特将您评为优秀指导教师 特发此证, 以资鼓励。



# 留学回国人员证明

(2015) 洛 教(文) 证字 1676 号

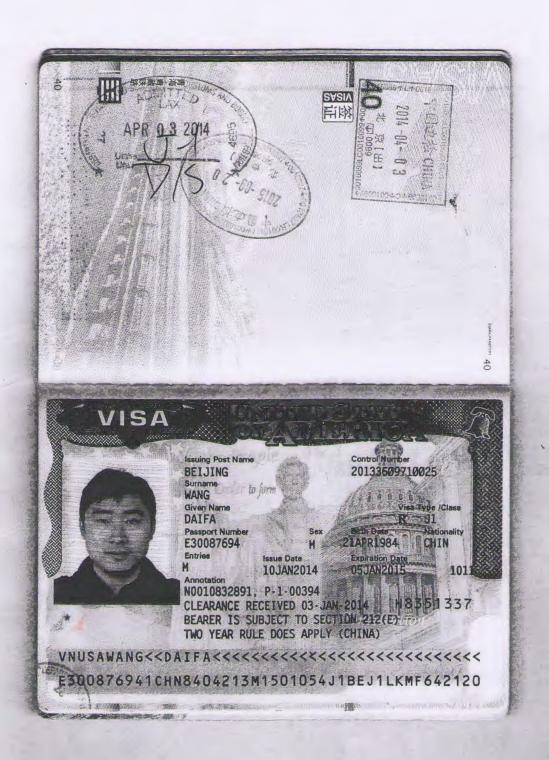
兹证明 <u>汪待发</u> (男□、女□,护照号码 <u>F30087694</u> ) 系我国 CALIFORNIA INSTITUTE OF TECHNOLOGY 在 美 国 加州理工学院 学校(单位)						
在	ALT TIL		于元		学校(单位)	
的高级研究学者□、访问学者☑、博士后□、博士研究生□、硕士研究生□、						
本科生□、大专生□、其他留学人员□						
在我驻外使 (领) 馆报到日期	2014	年	04	月 03	_ H	
注册入学日期	2014	年	04	月 03	H	
毕 (结) 业日期	2015	年_	09	月19	H	
拟回国日期	2015	年_	09	月19	H	
毕(结)业证书名称				号码		
备注(留学经历描述)	hayyi farahara da da sapinahi sabaqara sayara saxadi ta sahih sadi gan ta saqi sayari		aanagu jahah ay merundasaananiin aa sakasaasaana) .	And the state of t		
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留学回国人员签字:						
经办人签字: 多如為			教育(文化)处(组)公章			
负责人签字:	1		201	5 年 0	8月10日	
				13	3 2/	

第一联:交留学回国人员

教育部国际合作与交流司 2012 年制表

注意事项

- 1、本证明只为学或回国工作的留学人员开具。
- 2. 本证明由我生外使(领)馆教育(文化)处(组)在廖学人员回国时填写,不得涂改。 3. 本证明经使(领)馆教育(文化)处(组)经办人、允未入签字并在第一、第二联加盖公章方为有效。 4. 第一联由留学人员保存。其他单位可查验原件。改在发印片一个得收取原件。





# 全国英语等级考试

### 五级合格证书

汪待发

证书编号: 99122990200222

身份证件号: 340824198404212819

参加 2012 年 12 月全国英语等级考试第五级考试,成绩合格,特发此证

### CERTIFICATE OF PETS LEVEL 5

This is to certify that the bearer has passed Level 5 of the Public English Test System (PETS).

Certificate Number: 99122990200222

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ID Number 340824198404212819

NATIONAL EDUCATION EXAMINATIONS AUTHORITY (NEEA)

MINISTRY OF EDUCATION OF CHINA

教育部考试中心

# 全国外语水平考试 (WSK) 成绩通知单

考生姓名: 汪待发

身份证件号码: 340824198404212819

准考证号: 990212200222

考试时间: 2012年12月8日

考试语中:英语(PETS-5)

考试成绩。笔试总分63分

听力部分19分

口试总分3 分





证书号第986740号





# 发明专利证书

发 明 名 称: 一种多光学信息同步检测的双参数荧光分子断层成像装置及方法

发 明 人: 汪待发:李德玉;樊瑜波

专 利 号: ZL 2011 1 0090186.0

专利申请自: 2011年04月12日

专 利 权 人: 北京航空航天大学

授权公告日: 2012年07月04日

本发明经过本局依照中华人民共和国专利法进行审查,决定授予专利权,颁发本证书 并在专利登记簿上予以登记。专利权自授权公告之日起生效。

本专利的专利权期限为二十年,自申请日起算。专利权人应当依照专利法及其实施细则规定缴纳年费。本专利的年费应当在每年04月12日前缴纳。未按照规定缴纳年费的、专利权自应当缴纳年费期满之日起终止。

专利证书记载专利权登记时的法律状况。专利权的转移、质押、无效、终止、恢复和专利权人的姓名或名称、国籍、地址变更等事项记载在专利登记簿上

局长一切力量



第1页(共1页)



证书号第1384760号





# 发明专利证书

发 明 名 称:一种基于旋转镜的全角度荧光分子断层成像装置

发 明 人: 汪待发:李德玉:贺进;樊瑜波;唐桥虹;刘文勇

专 利 号: ZL 2012 1 0394893.3

专利申请日: 2012年10月17日

专 利 权 人: 北京航空航天大学

授权公告日: 2014年04月16日

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本专利的专利权期限为二十年,自申请日起募。专利权人应当依照专利法及其实施细则规定缴纳年费。本专利的年费应当在每年10月17日前缴纳。未按照规定缴纳年费的,专利权自应当缴纳年费期满之日起终止。

查利证书记载专利权登记时的法律状况。专利权的转移、质押、无效、终止、恢复和 专利权人的姓名或名称、国籍、地址变更等事项记载在专利登记簿上。

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局长 申长雨 中与



第1页(共1型)

证书号第1836955号





# 发明专利证书

发 明 名 称:一种基于单滑环和无线控制激发光扫描的荧光分子断层成像装置及方法

发 明 人: 汪待发;贺进;李德玉;樊瑜波

专 利 号: ZL 2013 1 0740964.5

专利申请日: 2013年12月27日

专 利 权 人: 北京航空航天大学

授权公告日: 2015年11月04日

本发明经过本局依照中华人民共和国专利法进行审查,决定授予专利权,颁发本证书 并在专利登记簿上予以登记。专利权自授权公告之日起生效。

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专利证书记载专利权登记时的法律状况。专利权的转移、质押、无效、终止、恢复和专利权人的姓名或名称、国籍、地址变更等事项记载在专利登记簿上。



局长申长雨

中华和



第1页(共1页)



证书号第1634578号





# 发明专利证书

发明名称:一种基于彩色探测器的体外过敏原定量检测装置及其方法

发 明 人: 李德玉;王典;汪待发;樊瑜波;蒲放

专 利 号: ZL 2013 1 0163680.4

专利申请日: 2013年05月07日

专 利 权 人: 北京航空航天大学

授权公告日: 2015年04月15日

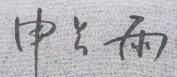
本发明经过本局依照中华人民共和国专利法进行审查,决定授予专利权,颁发本证书 并在专利登记簿上予以登记。专利权自授权公告之日起生效。

本专利的专利权期限为二十年,自申请日起算。专利权人应当依照专利法及其实施细则规定缴纳年费。本专利的年费应当在每年 05 月 07 日前缴纳。未按照规定缴纳年费的,专利权自应当缴纳年费期满之日起终止。

专利证书记载专利权登记时的法律状况。专利权的转移、质押、无效、终止、恢复和专利权关的姓名或名称、国籍、地址变更等事项记载在专利登记簿上。

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局长 申长雨





第1页(共主页)



证书号第1443858号





# 发明专利证书

发 明 名 称:一种基于多光谱成像的体外过敏原定量检测方法

发 明 人: 李德玉;韩冬宣:汪待发;樊瑜波;蒲放

专 利 号: ZL 2012 1 0064231. X

专利申请日: 2012年03月13日

专 利 权 人: 北京航空航天大学

授权公告日: 2014年07月16日

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本专利的专利权期限为二十年,自申请日起葬。专利权人应当依照专利法及其实施细则规定缴纳年费。本专利的年费应当在每年03月13日前缴纳。未按照规定缴纳年费的、专利权自应当缴纳年费期满之日起终止。

专利证书记载专利权登记时的法律状况。专利权的转移、质押、无效、终止。恢复和 专利权人的姓名或名称、国籍、地址变更等事项记载在专利登记簿上。

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局长申长雨

中的和



第1页(共1页)



証书号第1922349号





# 发明专利证书

发 明 名 称: 基于生物电阻抗的全视角椎弓根手术辅助导航装置及方法

发 明 人: 汪待发;孟云:周蓉

专 利 号: ZL 2014 1 0007144. X

专利申请日: 2014年01月07日

专 利 权 人: 北京航空航天大学

授权公告日; 2016年01月13日

本发明经过本局依照中华人民共和国专利法进行审查,决定授予专利权、颁发本证书 并在专利登记簿上予以登记。专利权自授权公告之日起生效,

本专利的专利权期限为二十年,自申请日起算。专利权人应当依照专利法及其实施细则规定缴纳年费,本专利的年费应当在每年01月07日前缴纳、未按照规定缴纳年费的、专利权自应当缴纳年费期满之日起终止。

专利证书记载专利权登记时的法律状况。专利权的转移、质押、无效、终止、恢复和专利权人的姓名或名称、国籍、地址变更等事项记载在专利登记游上。

局长雨

中台和



Comments to "Focusing through dynamic tissue with millisecond digital optical phase conjugation" by D. Wang, et al.

Wavefront shaping is capable of sending light deep inside biological tissues by compensating scattering. However, *in vivo* application of the technology is hindered by factors such as slow response, insufficient control complexity, and limited energy gain. Among all wavefront shaping techniques developed to date, digital time reversal is the most successful as measured by the key criteria above. The work reported in this submission clearly made digital time reversal more practical—the response speed has been improved by two orders of magnitude, allowing light to be focused through unclamped living tissue. As such, this reviewer deems the work as a technological breakthrough, and strongly recommends its publication in Optica. The manuscript is well-written, but can be further improved by addressing my following concerns.

- 1. Abstract. Line 5: "fast playback latency" doesn't make sense. Line 9, DMD is commonly termed "digital micromirror device".
- 2. Page 1, column 2, second paragraph. The full name of "TRAP" should be accompanied by a noun (e.g., focusing).
- 3. Page 2, figure 1(b). The arrows and text associated with the playback beam should be removed.
- 4. Page 2, figure 1 caption. "Collimated by L3" should be "collected by L3". Strictly speaking scattered light cannot be collimated.
- 5. Page 2, column 1, first paragraph. The number of spatial modes (2 million) does not consider spatial sampling. Each spatial mode is typically sampled by at least 4 pixels.
- 6. Page 2, column 1, second paragraph, definition of fluence reflectivity. It makes more sense to use sample beam fluence instead of the total fluence in the recording phase as the denominator.
- Page 2, column 1, third paragraph. The fastest realization using nonlinear crystal for phase conjugation achieved 0.1 ms latency (Jayet, B., J-P. Huignard, and F. Ramaz. "Optical phase conjugation in Nd: YVO 4 for acousto-optic detection in scattering media." Optics letters 38.8 (2013): 1256-1258).
- 8. Page 2-3, Methods section. In order for others to repeat the experiment, model numbers of key components are needed (e.g., laser, DMD kit, cameras etc.).
- 9. Page 3, Section 2A. For single-shot phase retrieval,  $I_{\text{sam}} \ll I_{\text{ref}}$  is required. The authors should state the real experimental beam ratio, and discuss how camera dynamic range affects the accuracy of phase measurement (also, see comments 29 & 30).
- 10. Page 3, Section 2B. To achieve phase map transfer in 1.56 ms (1.8 Gb/s), the phase map data should be compressed to 1 bit/pixel. As far as I know, DMD receives 1 frame of data containing 24 bit-planes over HDMI. Did the authors program the FPGA of the DMD controller board to enable single-bit image transfer (instead of a 24 bit) via HDMI? If yes, they should state this in the paper. The authors should also state how long it takes for stable display after data is received (does it take 1/(23k) of a second?).
- 11. Page 3, figure 2. The texts in the figure need to be enlarged. Also, 'communication' is misspelled.
- 12. Page 3, Section 2C. I suggest that the authors provide measured reflectivity (into the desired order) of the DMD, which implies the efficiency of the device. Moreover, how does this

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David A. B. Miller

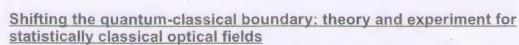
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## Focusing through dynamic tissue with millisecond digital optical phase conjugation

DAIFA WANG, 1,2,† EDWARD HAOJIANG ZHOU, 1,1† JOSHUA BRAKE, 1 HAOWEN RUAN, 1 MOOSEOK JANG, 1 AND CHANGHUEI YANG 1,\*

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Digital optical phase conjugation (DOPC) is a new technique employed in wavefront shaping and phase conjugation for focusing light through or within scattering media such as biological tissues. DOPC is particularly attractive as it intrinsically achieves a high fluence reflectivity in comparison to nonlinear optical approaches. However, the slow refresh rate of liquid crystal spatial light modulators and limitations imposed by computer data transfer speeds have thus far made it difficult for DOPC to achieve a playback latency of shorter than ~200 ms and, therefore, prevented DOPC from being practically applied to thick living samples. In this paper, we report a novel DOPC system that is capable of 5.3 ms playback latency. This speed improvement of almost 2 orders of magnitude is achieved by using a digital micromirror device, field programmable gate array (FPGA) processing, and a single-shot binary phase retrieval technique. With this system, we are able to focus through 2.3 mm living mouse skin with blood flowing through it (decorrelation time ~30 ms) and demonstrate that the focus can be maintained indefinitely—an important technological milestone that has not been previously reported, to the best of our knowledge. ©2015 Optical Society of America

OCIS codes: (110.0113) Imaging through turbid media; (070.5040) Phase conjugation; (110.0180) Microscopy.

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#### 1. INTRODUCTION

Focusing light through tissues has long been a challenge for biomedical optics. The turbid nature of tissues strongly scatters light and hinders the formation of a sharp focus. Recently, research in the field of wavefront shaping has shown that by correcting the wavefront incident on scattering media, focus can be constructed at an arbitrary location behind the sample [1,2]. Different strategies have been developed to realize this process including iterative wavefront optimization [1,3-5], transmission matrix measurement [6-8], and optical phase conjugation (OPC) [9-11]. Among these, OPC implements the corrected wavefront by recording the scattered light field globally and then playing back the conjugate light field by a phase conjugate mirror (PCM) without time-consuming iterations. Since the process of elastic light scattering is time symmetric, by playing a conjugate version of the scattered wavefront back through the scattering medium, the conjugate input wavefront can be recovered.

By employing OPC, a number of novel techniques for focusing light through or within a scattering medium have recently been developed. These include time-reversed ultrasonically encoded light (TRUE) [12,13], time reversal of variance-encoded light (TROVE) [14], time reversal by analysis of changing wavefronts from kinetic targets (TRACK) [15], and time-reversed adapted-perturbation (TRAP) focusing [16]. These methods have

the potential to improve or enable biomedical applications such as deep tissue imaging, photodynamic therapy, and noninvasive cytometry.

There are two major advantages of OPC compared to other wavefront shaping techniques. First, it is able to arrive at the correct wavefront solution without iteration. Second, the number of controllable optical modes in the playback wavefront can be very high,  $\sim \! 5 \times 10^5$  modes or more. Optical phase conjugation methods can be categorized into two primary groups. Nonlinear OPC methods [12,17,18] employ nonlinear crystals to store the scattered field and propagate the phase conjugate field. In contrast, the digital optical phase conjugation (DOPC) method [13–16] uses an electronic camera in an interferometric setup to capture the optical wavefront information and subsequently produce a suitable OPC field by using that information to pattern a spatial light modulator (SLM).

The DOPC method has several intrinsic advantages over nonlinear OPC methods. First, whereas nonlinear crystals are strongly dependent on wavelength, DOPC can freely work with a broad range of wavelengths. Second, DOPC provides the flexibility to render wavefront playback beyond a single QPC field. In fact, TROVE, TRACK, and TRAP all exploit this unique capability of DOPC to render complex and nuanced wavefronts. In the case of TRACK and TRAP, for example, the rendered wavefront is

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#### High-Performance Fluorescence Molecular Tomography through Shape-Based Reconstruction Using Spherical Harmonics Parameterization

Daifa Wang, Jin He, Huiting Qiao, Xiaolei Song, Yubo Fan, Deyu Li 🖾

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# High-Performance Fluorescence Molecular Tomography through Shape-Based Reconstruction Using Spherical Harmonics Parameterization



Daifa Wang<sup>1,2</sup>, Jin He<sup>2</sup>, Huiting Qiao<sup>2</sup>, Xiaolei Song<sup>3</sup>, Yubo Fan<sup>2</sup>, Deyu Li<sup>2\*</sup>

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#### **Abstract**

Fluorescence molecular tomography in the near-infrared region is becoming a powerful modality for mapping the three-dimensional quantitative distributions of fluorochromes in live small animals. However, wider application of fluorescence molecular tomography still requires more accurate and stable reconstruction tools. We propose a shape-based reconstruction method that uses spherical harmonics parameterization, where fluorophores are assumed to be distributed as piecewise constants inside disjointed subdomains and the remaining background. The inverse problem is then formulated as a constrained nonlinear least-squares problem with respect to shape parameters, which decreases ill-posedness because of the significantly reduced number of unknowns. Since different shape parameters contribute differently to the boundary measurements, a two-step and modified block coordinate descent optimization algorithm is introduced to stabilize the reconstruction. We first evaluated our method using numerical simulations under various conditions for the noise level and fluorescent background; it showed significant superiority over conventional voxel-based methods in terms of the spatial resolution, reconstruction accuracy with regard to the morphology and intensity, and robustness against the initial estimated distribution. In our phantom experiment, our method again showed better spatial resolution and more accurate intensity reconstruction. Finally, the results of an *in vivo* experiment demonstrated its applicability to the imaging of mice.

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Competing Interests: The authors have declared that no competing interests exist.

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#### Introduction

Near-infrared fluorescence molecular tomography (FMT) is used for the three-dimensional (3D) localization and quantification of fluorescent targets deep inside turbid tissue. As a convenient and cost-effective small animal imaging modality, it can provide accurate visualization and quantification of the distribution of fluorescent tracers. Various applications have been proposed or carried out using this tool to monitor diseases at the molecular level, such as enzyme activity [1], mapping expressions of cancer markers [2], [3], and monitoring targeted drug delivery [4]. Davis et al. recently presented multicolor imaging to monitor two cancer markers simultaneously [5]. Although some devices for FMT are commercially available, the need for higher spatial resolution and more quantitative and reliable reconstruction hinders the wider application of this technique.

The recovery of 3D fluorescence distribution from boundary measurements is a nonlinear inverse problem. Because of the scattered light propagation inside turbid tissue media, the problem is highly ill posed and thus susceptible to data noise and model errors. The ill-posedness makes FMT reconstruction a significant

challenge. As a solution, additional prior information is generally applied through different regularization techniques. Smooth distribution constraints are typically imposed through methods such as Tikhonov regularization [6]. Information on the sparse distribution is utilized through different compressed sensing techniques [7], [8]. Edge enhancement priors are utilized by penalizing the fluorescence intensity gradient as a regularized term, such as in the total variation method [9], [10], [11], [12]. The development of multimodality FMT systems [13], [14] has boosted the fusion of information derived from anatomical structures [15], [16]. High-density sampling [17], which increases the amount of boundary measurements, has also proven effective, and several studies have focused on investigating the optimal source-detector configurations for different kinds of FMT imaging systems [18], [19]. Although these advances have been critical to moving FMT from the laboratory to commercial applications, great challenges remain in order to obtain 3D fluorescence distributions stably and accurately.

In many specific applications, the distribution of fluorescent targets can be well described as the sum of a small number of subdomains (shapes) with constant piecewise intensities. This

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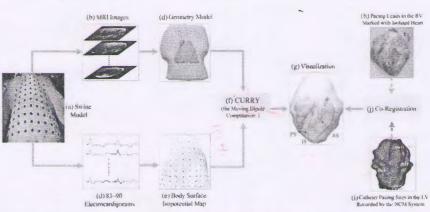
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Schematic diagram of electrocardiogram inverse solution and evaluation procedure. IS represents the estimated "initiation site" of the ectopic activity, PS represents the "pacing site" recorded by the intracavitary NCM system, and AS represents the estimated "activation sequence" of the ectopic activity using a dipole trajectory. As shown in "Equivalent Moving Dipole Localization of Cardiac Ectopic Activity in a Swine Model During Pacing,"

by Dakun Lai, Chenguang Liu, Michael D. Eggen,
Paul A. Iaizzo, and Bin He on page 1319.



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#### Full-Angle Fluorescence Diffuse Optical Tomography With Spatially Coded Parallel Excitation

Daifa Wang, Xin Liu, Fei Liu, and Jing Bai, Fellow, IEEE

Abstract—Challenges remain in imaging fast biological activities through whole small animal using fluorescence diffuse optical tomography (FDOT). In this study, a novel full-angle FDOT with spatially coded parallel excitation (SC-FDOT) is proposed, which provides much better image qualities than our previous FDOT with multiple-points illumination (MP-FDOT) while maintaining comparable temporal resolution. Singular-value analysis and numerical simulations are used to obtain the key experimental parameters including the optimal point sources number and the optimal projections number, and to compare the performances of SC-FDOT, MP-FDOT and the conventional FDOT with single-point illumination. Results demonstrate that SC-FDOT has the best spatial-temporal performances in imaging fast biological activities through whole body. Physical phantom experiments are performed to evaluate the spatial performance of SC-FDOT in practical experimental applications. Utilizing the proposed system, a nude mouse implanted with a small fluorescent inclusion is also imaged. The preliminary result demonstrates the feasibility of SC-FDOT in in vivo applications.

Index Terms—Diffusion equations, finite-element methods, fluorescence, optical tomography.

#### I. INTRODUCTION

PTICAL tomography using near-infrared light provides a quantitative way to three-dimensionally visualize tissue function in small animals or human organs [1]–[4] at physiological, metabolic, or molecular level. Fluorescence diffuse optical tomography (FDOT) is one of the optical tomography methods, which is mainly referred to small animal imaging in this paper. In FDOT, fluorescent agents are used to label the object of interest, such as cells, proteins, and drug molecules. Then, by

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Color versions of one or more of the figures in this paper are available online at http://ieeexplore.ieee.org.

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mathematically modeling the light transportation in diffuse tissues, the 3-D distribution of fluorescent agents inside live small animal is reconstructed from different fluorescence projections.

In past years, FDOT systems have evolved from those [5], [6] using optical fibers and matching fluids to those operating in free-space geometries with limited-/full-angle projections [7]–[11]. With these progresses in imaging systems, mathematical models [12]–[14], and fluorescent agents [15], FDOT have been applied in basic research [16]–[19].

Despite these advances, challenges still remain in imaging fast biological activities through whole body, such as pharmacokinetics, where good whole-body image quality and short data-acquisition time should be considered simultaneously. A slab-geometry-based imaging system with limited projection angles and matching fluid was developed for realtime whole-body imaging [20], where the switching time between continuous-wave point sources was decreased using galvanometer-controlled mirrors and the data-acquisition rate was increased using a high-frame-rate electron-multiplying charge-coupled device (EMCCD). Modern FDOT systems typically operate in free-space geometries with full-angle projections and avoid the use of matching fluid [9]. These modern systems are expected to yield significant improvements in image quality compared to matching fluid or fixed-geometry-based systems [11]. Here, we call these reported full-angle FDOT systems as P-FDOT for simplification with symbol P indicating single-point illumination. However, scanning along axial direction is needed in P-FDOT to provide whole-body imaging ability, which near linearly increases the data-acquisition time and limits the application of P-FDOT in imaging fast biological activities. In our earlier study [21], we have proposed and analyzed a kind of full-angle FDOT systems with beam-forming illumination (BF-FDOT). The BF-FDOT systems utilize the advantages of full-angle projections and provide whole-body imaging without scanning along axial direction. These BF-FDOT systems provide the potential in real-time whole-body imaging.

The main strategy of BF-FDOT is using parallel excitation (illumination) instead of the conventional single-point excitation in full-angle imaging systems. However, some tradeoff in spatial resolution along axial direction exists in BF-FDOT system to offer a significant reduction in data-acquisition time. The spatial resolution degradation is caused by no variation in excitation modes along axial direction. A novel full-angle FDOT system with spatially coded parallel excitation (SC-FDOT) is proposed in this paper. With the novel spatially coded parallel excitation strategy, SC-FDOT will provide more varied parallel

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Based on large lateral shearing displacement interferometry, the full field film thickness distribution of a hanging soap bubble could be continuously measured using the dynamic fringe patterns, after its birth and before its bursting. For details see Lv *et al.*, pp. 8863-8872 (http://www.opticsinfobase.org/ao/abstract.cfm?uri=ao-51-36-8863).

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# Fluorescence molecular tomography using a two-step three-dimensional shape-based reconstruction with graphics processing unit acceleration

Daifa Wang, 1,2 Huiting Qiao,2 Xiaolei Song,3 Yubo Fan,2 and Deyu Li2,\*

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In fluorescence molecular tomography, the accurate and stable reconstruction of fluorescence-labeled targets remains a challenge for wide application of this imaging modality. Here we propose a two-step three-dimensional shape-based reconstruction method using graphics processing unit (GPU) acceleration. In this method, the fluorophore distribution is assumed as the sum of ellipsoids with piecewiseconstant fluorescence intensities. The inverse problem is formulated as a constrained nonlinear least-squares problem with respect to shape parameters, leading to much less ill-posedness as the number of unknowns is greatly reduced. Considering that various shape parameters contribute differently to the boundary measurements, we use a two-step optimization algorithm to handle them in a distinctive way and also stabilize the reconstruction. Additionally, the GPU acceleration is employed for finite-element-method-based calculation of the objective function value and the Jacobian matrix, which reduces the total optimization time from around 10 min to less than 1 min. The numerical simulations show that our method can accurately reconstruct multiple targets of various shapes while the conventional voxelbased reconstruction cannot separate the nearby targets. Moreover, the two-step optimization can tolerate different initial values in the existence of noises, even when the number of targets is not known a priori. A physical phantom experiment further demonstrates the method's potential in practical applications. © 2012 Optical Society of America

OCIS codes: 170.6960, 170.3010, 170.6280, 170.3880.

#### 1. Introduction

Using the advanced fluorescence-labeling and optical-imaging technique, fluorescence imaging makes it possible to better understand the mechanism of human disease at cell or molecular level in small animal models *in vivo*. However, conventional fluorescence imaging using reflected illumination can only get two-dimensional projection images, which inherently results in poor localization and photon quantification due to the strong scattering of photons [1]. Recently, fluorescence molecular tomography (FMT), aiming at three-dimensional (3D) localization and quantification of fluorescence targets, has drawn great attention and become a research hot spot [2].

In FMT, one attempts to recover the 3D distribution of fluorescence targets embedded deeply (up to several centimeters) inside a small animal such as

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## applied optics

# Noncontact full-angle fluorescence molecular tomography system based on rotary mirrors

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We propose a novel noncontact fluorescence molecular tomography system that achieves full-angle capacity with the use of a new rotary-mirrors-based imaging head. In the imaging head, four plane mirrors are mounted on a rotating gantry to enable illumination and detection over 360°. In comparison with existing full-angle systems, our system does not require rotation of the specimen animal, a large and heavy light source (with scanning head), or a bulky camera (with filters and lens). The system design and implementation are described in detail. Both physical phantom and *in vivo* experiments are performed to verify the performance of the proposed system. © 2015 Optical Society of America

OCIS codes: (110.0113) Imaging through turbid media; (110.1758) Computational imaging; (110.3010) Image reconstruction techniques; (110.6960) Tomography.

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#### 1. INTRODUCTION

Fluorescence molecular tomography (FMT) is an emerging technology that provides noninvasive, quantitative, three-dimensional imaging of fluorescence markers *in vivo*. Benefitting from mathematical modeling of light transport inside highly scattering tissue, this technique overcomes the limitations of depth information loss in the simple and widely used planar reflectance imaging [1]. At present, FMT has been successfully applied in observing fluorescence-tagged biological processes occurring deep inside tissues, such as enzyme activity [2], expressions of cancer markers [3,4], and targeted agent delivery [5].

The inherent scattering nature of biological tissue limits the spatial resolution of FMT in deep tissue. Many efforts have been made in the past decade to enhance the spatial and temporal performance of FMTs, including developing novel reconstruction algorithms and image acquisition designs [6,7]. In early FMT schemes, the imaging systems were generally fiber based [2], wherein optical fibers were used to transfer the input excitation light to the object surface and the output emission light to detectors. However, such systems are disadvantageous in several ways. The limited number of source-detector pairs in such systems restricts the achievable spatial resolution. Moreover, matching fluid is generally used to enhance the attachment of the fibers to irregular small-animal surfaces and photon transportation modeling; however, the presence of an additional scattering medium between fibers and the animal surface further degrades the spatial performance. The subsequently

developed camera-based noncontact imaging systems have overcome these limitations by allowing the implementation of over 1 million source—detector pairs [1,8].

As in the case of x-ray computed tomography (CT), it has been proven that multiple projection directions over full angles (3609) are crucial for accurate FMT reconstruction [9]. In this regard, several approaches have been proposed to increase the number of projection view directions for camera-based noncontact imaging systems. The most straightforward and easy way is to rotate the small animal [10-12]. However, the imaged small animal has to be carefully suspended by a holder, which unnaturally restricts the animal while also making the imaging preparation process rather complex. While the animal's rotation speed is generally set to very low values to reduce the risk of internal organ movement, this limits the system's temporal performance in observing fast dynamic processes. On the other hand, several groups have developed systems that involve the rotation of the camera/light source [13-15]. In these systems, the rotary gantry needs to be carefully designed to mount the bulky camera (with filters and lens) and light source (with a scanning head), and further, the problem of twisting of the large number of power and signal cables during rotation needs to be addressed. Currently, most systems of this type utilize the rotary gantry used in commercial small-animal CT systems, and the gantry rotation speed is generally low in consideration of the bulky mounted components.

In the context of the limitations of these approaches, researchers have noted that a conical mirror can be used to

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#### AD5933 生物阻抗实时监测的便携式 椎弓根内固定辅助系统

孟 云1,米 宽2,郑诚功1,李德玉1,汪待发1

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摘 要: 为降低椎弓根内固定手术过程中的螺钉误置率, 基于 ADI 公司的生物阻抗测量芯片 AD5933,设计了一种实时监测生物阻抗的便携式智能椎弓根开路装置——椎弓根开路器。开路器通过实时测量其头部电极处的组织电阻抗变化, 对电极处的组织进行分析后, 通过声音提示医生操作, 引导开通螺钉钉道, 并避免刺破神经组织。标定实验和离体猪椎骨实验表明, 系统在测量精度上满足实际应用要求, 能够有效区分软组织、松质骨和皮质骨, 将有望降低手术螺钉误置率。

关键词:生物医学工程;椎弓根内固定;生物电阻抗;AD5933;椎弓根开路器

中图分类号:R318

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#### Bioelectrical impedance aided surgery system for transpedicle screws fixation based on AD5933

Meng Yun<sup>1</sup>, Mi Kuan<sup>2</sup>, Zheng Chenggong<sup>1</sup>, Li Deyu<sup>1</sup>, Wang Daifa<sup>1</sup>

School of Biological Science and Medical Engineering, Beihang University, Beijing 100191, China;
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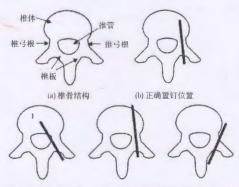
Abstract: To decrease the pedicle screw misplaced rate of trans-pedicle internal fixation surgery, this article presented an intelligent and hand-held pedicle drilling tool-pedicle awl, which monitored tissue bioelectrical impedance based on AD5933 of ADI company. It can prompt doctors via voice by analyzing the tissue bioelectrical impedance at the awl's tip. The voice prompt will help to drill pilot holes more accurately and avoid the risk of pricking nerves. Calibration and pigs' vertebrae experiments were performed. The results demonstrate that the impedance detection precision meets the practical application requirements, where the system can effectively produce obviously different sounds for soft tissue, spongy and cortical bones. The results indicate that the proposed system would have great potential in reducing the malposition rates in surgeries,

Key words: biomedical engineering; pedicle screw fixation; bioelectrical impedance; AD5933; pedicle drilling tool

椎弓根内固定手术是脊柱畸形、创伤等脊柱疾病常用的治疗手段,其在生物力学稳定性、校正畸形的效果上有明显的临床优势[1]。椎弓根内固定手术中,首先需用开路锥钻好钉道,然后再置入椎弓根螺钉(pedicle screw, PS)。手术的难点在于 PS 必须在特定的空间内由椎板经椎弓根到达椎体[2](图 1(b))。然而,椎骨解剖结构复杂且毗邻很多血管及神经组织,而椎弓根结构又常存在变异,使得术中难以把握 PS 的进钉部位、方向和深度,造成手术难度大、风险高,图 1 所示为不同的置钉情况。

传统徒手椎弓根置钉手术中,医生只能靠临床经验和手感定位螺钉轨迹[3],徒手椎弓根置钉的 PS 误置率一般在 3%~40%。为降低 PS 误置率,一些椎弓根机械辅助装置得到了发展,例如 Kashani 等[4]发明了一种椎弓根钻孔导向器,但其椎骨结构变异性大,局限这类辅助装置的实际应用。PS 置入辅助导航技术,如术中 X 线透视、CT 引导下的计算机辅助技术已得到临床应用,一定程度上提高了 PS 置入

的准确性,但使医生和患者均暴露于射线辐射伤害中,且设备昂贵、学习周期长<sup>5-8</sup>。



(c) 穿破椎弓根内侧皮质骨 (d) 穿破椎体前缘 (e) 穿破椎弓根外侧皮质骨(2-s)

图 1 不同的置钉情况

由于骨组织和软组织电阻抗特性差异大<sup>[9-10]</sup>,基于电阻抗测量技术的智能导航技术得到了关注。 Myers等<sup>[11]</sup>、徐荣范等<sup>[12]</sup>均采用普通椎弓根开路器

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# 近红外多光谱荧光分子成像系统的软件设计与实现

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摘 要:针对自主研制的近红外多光谱荧光分子影像系统,采用模块化和分层设计方式设计了控制和分析软件,有效地实现了硬件模块访问和协调控制、反射和透射成像多光谱成像模式、自动化的数据采集、图像调整和滤波后处理、自发荧光扣除等功能,为用户提供了一体化的控制和分析平台。基于所设计的软件,采用反射和透射成像两种模式进行了小鼠活体荧光分子影像实验,有效地抑制了小鼠本体自发荧光对目标荧光信号的干扰,为荧光分子成像的研究提供了一种有效的工具。

关键词:荧光成像;分子成像;自发荧光扣除;数据采集;软件设计

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# Design and realization of near-infrared multi-spectral fluorescence molecular imaging system software

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Abstract: The control and analysis software system is designed for our self-produced near-infrared multi-spectral fluorescence molecular imaging system. It provides a stand-alone control and analysis platform for fluorescence molecular imaging, which has the capacity of accessing and coordinately controlling the hardware modules, performing reflection and transmission multi-spectral imaging, automatic data acquisition, image adjustment and filter, and auto-fluorescence reduction. In vivo mouse experiments, this software system is performed in both reflection and transmission imaging modes, where interference from the mouse auto-fluorescence was effectively reduced, and it facilitates the study of fluorescence molecular imaging.

Keywords: fluorescence imaging; molecular imaging; auto-fluorescence reduction; data acquisition; software design

分子影像是利用影像学的手段观察活体状态下细胞或分子水平的状态和变化,通过综合化学、物理、病理学等多个学科技术,在生物学活动的可视化方面取得了重要进展。荧光分子影像是一种新型的光学分子成像模态,通过投射特定波长的激发光,激发成像物体内的荧光团产生荧光,并通过高灵敏度的探测技术捕获出射的荧光,并传输到计算机进行分析和处理。荧光分子影像技术可以获取位于实验物体表面下方数个厘米处的荧光标记物的分布信息,从而实现对生物学活体特异性的在体可视化观察。随着荧光蛋白、探针技术及相关算法的不断发展,该技术及相关设备已被应用到非侵入式生物活体成像的多个领域[2-3]中,如疾病诊断、药物研发、手术辅助治疗等,为从分子水平到系统水平的生物学研究提供了一个高度灵活的研究平台[4-6]。

鉴于荧光分子影像技术的广泛应用前景,该领域受到国内外研究学者的广泛关注。国外PerkinElmer<sup>[7]</sup>、Bruker<sup>[8]</sup>、GE<sup>[9]</sup>等公司分别推出了各自的荧光分子影像产品。国内的清华大学<sup>[10]</sup>、中科院自动化所<sup>[11]</sup>、苏州大学<sup>[12]</sup>、天津大学<sup>[13]</sup>以及本实验室<sup>[14-15]</sup>等在荧光分子二维和三维成像方面开展

了多年的基础性研究工作,推进了该影像技术向快速三维成像和多模态方向的发展。但这些研究多集中于对基础性算法和搭建在光学平台上的实验系统的研究。鉴于基于光学平台的实验系统的易用性和通用性相对较差,为更方便地开展荧光分子影像生物学的应用研究,本文项目组在已有实验系统的基础上,自主研制了一套近红外多光谱荧光分子二维成像样机。本文主要介绍针对该样机开发的控制和分析软件。

# 1 系统硬件结构

光在动物组织中传播时,会因组织的吸收而逐渐减弱。而在波长为 650~900 nm 的近红外"光谱窗口"波段内,光在生物组织中的被吸收率较低,可以穿透数厘米厚的组织,因而该波段内的光适用于动物的在体成像<sup>[16]</sup>。根据成像拓扑的不同,可将二维荧光分子成像(fluorescence molecular imaging,FMI)分为反射成像和透射成像两种。其中,反射成像向实验动物投射一个面光照,并在同侧用高灵敏度的相机采集出射的荧光信号,具有实现简单的优点,并已在荧光分子成像领域中得到了广泛的应

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# Performance of fluorescence molecular tomography with hybrid diffuse optical tomography guidance and normalized born method

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Abstract—The image quality of fluorescence molecular tomography (FMT) can be significantly improved with diffuse optical tomography (DOT) guidance, by incorporating the prior optical properties information recovered from DOT into its forward photon propagation model. Although present studies all assume that the absolute transmitted excitation light intensity can be measured for DOT, the hypothesis doesn't hold for most cases, because some extent of deviation in the calibrated excitation light amplification factor is inevitable in a non-laboratory (i.e., in vivo ) imaging environment. In this paper, we evaluate the impact on FMT reconstruction accuracy of the use of hybrid DOT guidance and normalized born method. Results demonstrate that the quantification ability of FMT with DOT guidance alone varies greatly as the absolute deviation in excitation light amplification factor increases. In contrast, the hybrid method investigated is robust to the deviations, suggesting that the hybrid of DOT guidance and normalized born method is essential for quantitative FMT in complex in vivo conditions.

Keywords-fluoescence molecular tomography; diffuse optical tomography; heterogeneous media; normalized born method

### I. INTRODUCTION

As a noninvasive optical functional imaging technique, fluorescence molecular tomography (FMT) is capable of three dimensional quantification of the spatial distribution of molecular agents *in vivo*. Thus, it provides a depth-resolved quantitative characterization of specific biological processes occurring inside the small animal, such as protein-protein interaction, drug metabolism. At present, it has become an increasingly important tool in preclinical research and drug development [1].

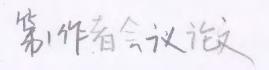
In a typical FMT system, the imaged object is excited sequentially at different source positions on the boundary using a modulated or continuous wave (CW) light source in near-infrared wavelength (650-900nm). For each source position, the emitted light from the excited fluorophores is measured at multiple detector positions and used for reconstructing the spatial distribution of fluorophores. The reconstruction is highly ill-posed, due to the diffusive nature of light propagation in biological tissues.

Theoretically, propagation of both excitation and emission lights in tissue is described by the coupled diffusion equations, when the tissue optical properties including absorption

coefficient  $\mu_a$  and reduced scattering coefficient  $\mu_s$  are given. However, the heterogeneous optical properties of small animal are unknown and often assumed to be homogeneous throughout the tissue volume with values equal to their bulk averages. The homogeneity assumption greatly degrades the quantification and resolve abilities of FMT [2,3].

To handle the problem, two strategies are developed. The first strategy is normalized born method, which reduces the heterogeneous optical properties influences by dividing the measured fluorescence signals with its corresponding excitation light signals [3], while the optical properties are still assumed homogeneous. Using mouse atlas simulation experiments, D. Hyde et al. evaluated the extension of normalized born method in hybrid X-ray CT and FMT modalities [4], where different optical properties can be assigned to the different segmented organs. However, the need of X-ray CT modality and segmentation of structure images complicates small animal experiment. In addition, even for the same organ, the optical properties, especially the absorption coefficient, may vary greatly for different individuals with different physiological status. These factors all make near-accurate assumption of organ optical properties difficult, and will degrade the FMT image quality. The second strategy is reconstructing optical properties from the collected excitation light signals by using an optical tomography method called diffuse optical tomography (DOT). Based on this strategy, several investigations have been made. Y. Tan et al. demonstrated that the optical heterogeneous nature of the target itself, especially when its size was relatively large, should be considered for quantitative FMT [5]. Y. Lin et al. demonstrated that the true fluorophore concentration could be recovered only when both the prior structural information and the prior DOT information were utilized [6]. D. Wang et al. demonstrated that relatively consistent quantifications were obtained with the prior DOT information in a series of phantom experiments [7]. However, problems exist in DOT guided FMT, restricting its application in vivo. One major problem is that determining the exact excitation light amplification factor is very difficult in a nonlaboratory (i.e., in vivo ) environment. The difficulty is because of the small errors in the acquired small animal geometry, the physical errors in the calibration phantom including the optical properties and geometry, and the measurement noise, etc. Thus, some extent of deviation is generally inevitable in the determined excitation light amplification factor for a practical





2011 4th International Conference on Biomedical Engineering and Informatics (BMEI)

# Performance of fluorescence molecular tomography with hybrid diffuse optical tomography guidance and normalized born method

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In a typical FMT system, the imaged object is excited sequentially at different source positions on the boundary using a modulated or continuous wave (CW) light source in near-infrared wavelength (650-900nm). For each source position, the emitted light from the excited fluorophores is measured at multiple detector positions and used for reconstructing the spatial distribution of fluorophores. The reconstruction is highly ill-posed, due to the diffusive nature of light propagation in biological tissues.

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第1作额效范文

# Optical tomography for dense scattering media using DLP based structured illumination

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**Abstract**: This article introduces an optical tomography system for dense scattering media, where DLP based structured illumination is adopted. Compared to conventional wide field approach, structured light processing obtained much better image resolution.

# 1. Introduction

Deep tissue imaging with near-infrared light has achieved significant development in recent years, and has found its applications in cancer diagnosis [1], brain function evaluation [1], as well as small animal fluorescence molecular tomography [2]. However, due to the inherent tissue scattering nature, it is still very challenging to achieve good image contrast and resolution. In recent years, by designing and optimizing different illumination patterns, a novel technique called structured illumination (SI) has demonstrated its capacity in improving image quality and/or speed. In most of the reports [3-5], diffuse light modeling using diffusion equation were performed, and an inverse problem was solved for 3D reconstruction. Another idea of structured light is to reduce out-of-focus light caused by scattering, which was firstly introduced by Neil [6] in the field of microscopy. Based on this idea, to observe turbid sprays tomographically, Kristensson used sinusoidal-intensity modulated patterns for illumination, and obtained greatly contrast enhanced projections at different viewing angles [7,8]. Then, using the well-know CT reconstruction algorithm called filtered back-projection method, 3D tomography result with good spatial resolution was achieved from these enhanced projections. However, a complex optical architecture was used to provide sinusoidally modulated illumination patterns, and the modulation frequency and depth can't be adjusted. Digital light processing (DLP) introduced by Texas Instruments is a modern technique to digitally manipulate structured light pattern, and has attracted great attention in biological optical imaging. In this article, we developed a full-angle imaging system with DLP technique, where the structured illumination light can be easily controlled. The preliminary results demonstrated that the system can get much better image resolution for turbid media via structured illumination than the conventional wide field approach.

# 2. Method

# 2.1 Structured Illumination

Structured illumination has the capabilities of filtering multiple-scattering light. The main concept of SI is to illuminate the sample using an incident beam with its intensity sinusoidally modulated in one direction [6],

$$I_{\phi} = I_C + I_S \cdot \sin(2\pi \nu x + \phi), \tag{1}$$

where  $I_{\phi}$  is the detected image of one SI projection.  $I_{C}$  denotes the conventional image due to non-modulated light, which shows a poor quality due to photon scattering.  $I_{S}$  is the local amplitude of sinusoidal modulation, and bears the structure information unperturbed by scattering.  $\phi$  is the spatial phase of sinusoidal modulation. Extraction of  $I_{S}$  can be achieved by recording three intensity modulated images with spatial phase shifts 0,  $2\pi/3$  and  $4\pi/3$ . Then, an image free of scattering signals can be obtained by processing the recorded data as [6],

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# Review Article

# The Transport and Deposition of Nanoparticles in Respiratory System by Inhalation

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The inhaled nanoparticles have attracted more and more attention, since they are more easily to enter the deep part of respiratory system. Some nanoparticles were reported to cause pulmonary inflammation. The toxicity of nanoparticles depends not only on its chemical component but also on the quantity and position of the deposition. The deposition of nanoparticles is not uniform and is influenced by airflow transport. The high deposition mainly occurs at the carinal ridges and the inside walls around the carinal ridges. Many factors could affect the transport and deposition of nanoparticles, such as particle size, flow rate, structure of airway, pulmonary function, and age. In this review, we discussed the methods and technique involved in particle transport and deposition studies. The features of particles deposition could be observed in clinic experiments and animal experiments. The mechanism of transport could be studied by numerical simulation. Numerical model and experiment study supplement each other. Some techniques such as medical imaging may support the study of nanoparticles transport and deposition. The knowledge of particles transport and deposition may be helpful both to defend the toxicity of inhaled particles and to direct inhaled drug delivery.

# 1. Introduction

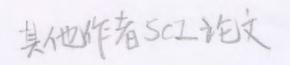
More and more attention has been paid to air pollution. The high concentration of fine particle matter (PM 2.5) during the 2013 severe haze of north China has caused public worries [1, 2]. The most worries are due to the adverse effect of air pollution on health [3]. It is believed that the most airborne particles were derived from fossil, biomass, and solid fuels combustion [4–6]. The traffic exhausts have been verified as the source of particle matter [7, 8]. The particles emitted by engines have a high proportion of nanoparticles, though most of them are in accumulation mode [9]. Epidemiological studies have confirmed that air pollution makes adverse health effect, especially the pollutant in nanoscale [10, 11]. Thus, nowadays most attention paid to airborne pollutants lies in nanoparticles and ultrafine particles.

Though some studies did not differentiate ultrafine particles from nanoparticles [12], the different definitions have also been reported. The particles in nanoscales (<100 nm) in

one dimension could be generally called nanoparticles, while ultrafine particles are limited to 100 nm in all dimensions [13]. For the purposes of this review, we do not differentiate nanoparticles from ultrafine particles: they are both particles in nanoscales.

People have great expectation for nanotechnology and have been trying to apply nanomaterial in many fields [14, 15]. Thus airborne nanoparticles are unavoidable. For the reason of small size, nanoparticles may enter the deep part of human respiratory system with breathing. Pulmonary inflammation has been reported after inhalation exposure to nanoparticles [16, 17], so the toxicity of nanoparticles in air should be considered [18].

Respiratory system is an important pathway for substance to enter human body besides alimentary canal. Air enters the trachea through nasal and oral cavities, passing by the tracheobronchial tree, and arrives at the alveoli, so does some airborne nanoparticles. The transport and deposition of particles have great relations with the complicated airway.



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# The effect of tumor size on the imaging diagnosis: A study based on simulation

Huiting Qiao, Libin Wang, Deyu Li, Daifa Wang and Yu Wang \*
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Abstract. Positron emission tomography (PET) has been widely used in early diagnosis of tumors. Though standardized uptake value (SUV) is a common diagnosis index for PET, it will be affected by the size of the tumor. To explore how the tumor size affects imaging diagnosis index, dynamic PET images were simulated to study the relationship between tumor size and the imaging diagnosis index. It was found that the SUV of the region of the tumor varied with scan time, and the SUV was always lower than the true value of tumor. Even more deviations were found in SUV with a reduced tumor size. The diagnosis index SUV $_{max}$  was more reliable than SUV, for it declined only when the volume of tumor was less than 3 mm $^3$ . Therefore, the effect of tumor size on the SUV and SUV $_{max}$  that are used as diagnosis indices in the early diagnosis of tumors should not be neglected.

Keywords: Standardized uptake value, maximum standardized uptake value, positron emission tomography, tumor size, diagnosis

# 1. Introduction

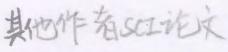
As one of the most serious threats to life health, cancer is the second leading cause of death in developing countries. The number of people who are diagnosed with cancer and the mortality rates of people diagnosed with malignant tumor are still increasing in recent years [1,2]. It was reported that lung cancer was the leading cause of death in all the common cancer registries [3,4], and its mortality rate increased substantially in the past three years. Although there are some methods of oncologic treatment on clinical therapies, such as radiation, chemotherapy and surgery, it is still hard to improve the survival rate of lung cancer because of late diagnosis [5,6]. Therefore, early diagnosis is important to improve treatment and the survival rate of patients with lung cancer [7,8]. Imaging diagnosis [9] as well as identification of molecular biomarkers [10–12] have been used as two main methods in the studies of early diagnosis for lung cancer.

In terms of imaging diagnosis, positron emission tomography (PET) is the function imaging technology that can provide metabolism information of tissues by using a radioactive tracer [13]. Moreover, it is an important tool for staging, prognosis, evaluation of treatment, and, especially, for early diagnosis [14,15]. A tumor usually has a higher metabolic rate, so it can be recognized easily by using PET. Besides the visual cancer imaging, PET can also provide some detection indices for diagnosis,

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# A study of the metabolism of transplanted tumor in the lung by micro PET/CT in mice



Huiting Qiao<sup>a</sup>, Jun Li<sup>b</sup>, Yingmao Chen<sup>c</sup>, Daifa Wang<sup>a</sup>, Jintao Han<sup>d</sup>, Mengqi Mei<sup>a</sup>, Deyu Li<sup>a</sup>,\*

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Keywords: Micro PET/CT Metabolic model Tumor Dynamic scan

### ABSTRACT

The difference of tumor metabolism from that of normal tissue is an important factor for diagnosis through functional imaging such as positron emission tomography (PET). A quantitative description of the metabolic process will help to improve the diagnosis methods. In this study, the metabolism of tumor in lung was quantitatively described in mice. The melanoma was transplanted into the lung of mice, and the metabolism of the transplanted tumor was detected by micro PET/CT with [ $^{18}$ F]fluoro-2-deoxy-D-glucose (FDG). Nine mice were transplanted with B16 melanoma cells through their tail vein. Lung tumor was detected by pathological method. The lesions smaller than 1 mm could hardly be directly detected directly by micro PET/CT, while the tumor with a 1–4 mm diameter could be detected by micro PET/CT. A metabolic model with three compartments was separately established for lung tumors and normal lung tissues. In this model, the lung cancer had a significantly higher metabolic rate constant as compared to that of the normal lung tissue (p=0.01). The outputs of the model fit well with the original curve from the dynamic images. It is also found that difference of tissue activity between tumors and normal lung tissues varied along scan time. Through this comparison, it was suggested that the difference in metabolism between the lung tissue and the tumor might contribute to the tumor diagnosis.

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# 1. Introduction

Malignant tumor is one of the great threats to human health. Early detection and early treatment is the key to reduce the mortality from malignant tumors. The distinction between cancer and normal tissue is the base of an early detection. For example, the difference of glucose metabolism between the cancer and normal tissue is the basic principle of cancer detection by Positron emission tomography (PET) with [18F]fluoro-2-deoxy-D-glucose (FDG) [1,2]. To develop a technique for tumor detection, it is important to understand the metabolic character of different tissues and cancers. Therefore quantitative metabolic models have been established for and cancer [3] as well as normal tissue [4.5].

In the process for the development of methods and techniques for tumor detection and therapy, small animals, such as mice, have been widely used [6.7]. These animals could also be used to assess

the treatment effects through imaging and semi-quantitative analysis [8,9]. Although many tumor models have been used in small animals for biomedical research and PET imaging [10,11], a quantitative metabolic study is lacking for small animal. In this study, we quantitatively investigated the tumor metabolism in mice. Unlike the existing kinetic analysis for subcutaneous tumors [12], we studied a transplanted tumor in lung by micro PET/CT imaging in mice.

The study of tumor metabolism in mice could be of great importance in order to develop tumor PET detection. There are two ways to increase the sensitivity and specificity of the PET detection, including: finding specific tracer [13], and proposing optimized scan scheme according to the metabolic distinction of focus [14]. Some previous studies have already shown the potential usefulness of dynamic PET in detection [15], due to an increased metabolic distinction that can be achieved in dynamic imaging as compared to the static imaging [16]. To optimize the scanning scheme of the dynamic imaging, the development of a metabolic model in small animals is necessary. In this effort, quantitative metabolic models were separately established for lung tumors as well as normal lung tissues, and their distinction was further discussed.

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# Medical image retrieval based on unclean image bags

Yonggang Huang · Jun Zhang · Heyan Huang · Daifa Wang

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Abstract Traditional content-based image retrieval (CBIR) scheme with assumption of independent individual images in large-scale collections suffers from poor retrieval performance. In medical applications, images usually exist in the form of image bags and each bag includes multiple relevant images of the same perceptual meaning. In this paper, based on these natural image bags, we explore a new scheme to improve the performance of medical image retrieval. It is feasible and efficient to search the bag-based medical image collection by providing a query bag. However, there is a critical problem of noisy images which may present in image bags and severely affect the retrieval performance. A new three-stage solution is proposed to perform the retrieval and handle the noisy images. In stage 1, in order to alleviate the influence of noisy images, we associate each image in the image bags with a relevance degree. In stage 2, a novel similarity aggregation method is proposed to incorporate image relevance and feature importance into the similarity computation process. In stage 3,

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# Review Article

# The Mutual Beneficial Effect between Medical Imaging and Nanomedicine

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The reports on medical imaging and nanomedicine are getting more and more prevalent. Many nanoparticles entering into the body act as contrast agents, or probes in medical imaging, which are parts of nanomedicines. The application extent and the quality of imaging have been improved by nanotechnique. On one hand, nanomedicines advance the sensitivity and specificity of molecular imaging. On the other hand, the biodistribution of nanomedicine can also be studied *in vivo* by medical imaging, which is necessary in the toxicological research. The toxicity of nanomedicine is a concern which may slow down the application of nanomedical. The quantitative description of the kinetic process is significant. Based on metabolic study on radioactivity tracer, a scheme of pharmacokinetic research of nanomedicine is proposed. In this review, we will discuss the potential advantage of medical imaging in toxicology of nanomedicine, as well as the advancement of medical imaging prompted by nanomedicine.

# 1. Introduction

Medical imaging is an important technology in clinical and medical research, which enables the observation of human and animals in vivo. The development of medical imaging contributes a lot to diagnosis and therapy. However, researchers are not satisfied with single anatomical image. To detect physiological function and biological process, functional imaging, molecular imaging, and multimodality imaging are invented and have developed prosperously [1-3]. Most molecular imaging today depends on synthesized probe with special property [4]. For nuclear medicine, for example, the probe is also expressed as radioactive tracer or tracer [5, 6] for positron emission tomography (PET). For fluorescence molecular tomography the probe is a fluorescence agent [7]. In terms of traditional imaging, some agents are used to increase the contrast of images [8, 9]. Contrast agent can enhance their applications and even upgrade them to cellular and molecular level.

Nanotechnology has a remarkable great contribution to the development of medical imaging. Nanomedicine is

a scientific specialty of nanotechnology, which has great potentials to develop the diagnostic and therapeutic approaches [10–12]. Nanomedicine has drawn broad interests in medical imaging, as well as the targeted therapy [13]. Modified by nanotechnique, some probes and contrast agents become more efficient [14], and then they can be called nanomedicine [15]. Some kinds of nanoparticle, just as metal nanoparticles, become nanomedicine used as contrast agent of medical imaging [16, 17]. With the application of nanomedicine, medical imaging will have a broader prospect in application.

Nevertheless, the nanomedicine, used in medical imaging, must eliminate the potential risks in safety issues. Most nanomaterials have been discovered to affect cell behavior [18, 19] and even to damage the physiological system [20, 21]. The discussion of the biocompatibility and toxicity of nanomaterial is of a great importance in biological and medical research. The toxicology of nanomaterials is usually studied on cellular scale or smaller size, while the pharmacokinetics is also necessary to understand its potential toxicity [22]. As pharmacokinetics of tracer is studied by

# 大型近红外光谱脑功能成像系统 NirScan

# 汪待发

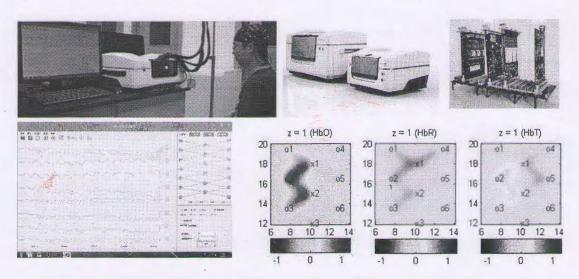
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利用组织光谱特性的差异,近红外光谱(Functional near-infrared spectroscopy, fNIRS)可以高时间分辨的探测和成像脑活动激活的脑皮层血流和血氧含量的变化,进而有效的对脑活动进行可视化和定量评估。相对于脑电(Electroencephalogram, EEG)、功能核磁共振(Functional magnetic resonance imaging, fMRI)等其他成像方法,fNIRS 具有成本适中、时间分辨率高、空间分辨率适中、对运动不敏感等特点或优势,在近些年得到广泛关注,已发展成为一种不可或缺的脑功能成像模态,在基础科研和临床应用中发挥越来越重要的作用。近几年,国内利用 fNIRS 开展基础和临床研究的课题组急剧增加。然而,这一大型高端影像设备的庞大国内市场目前一直由国外公司包括日本的日立和岛津、美国的 Techon 和 NIRx等控制。

国内市场,除了北京航空航天大学本项目主持研发的大型近红外光谱脑功能成像设备 NeuroLight 外,尚未见其它自主产品。该系统提供 24 通道 (每通道包含三波长激光: 785nm、808nm、850nm) 照明探头、42 通道基于雪崩二极管传感的探测探头,以及从 20Hz 到 200Hz 的时间分辨率,满足全脑功能成像的需求。结合激光功率数字可调与 24 位高精度数模转换,该系统提供超过 110 dB 的动态范围和远低于 0.2 pW 的探测灵敏度,确保了高质量的 fNIRS 信号探测和成像。通过典型的运动、听觉和视觉等实验范式,我们验证了该系统的有效性。



下表比较了不同商业系统的核心参数,包括光源、探测器、通道数、时间分辨率、空间分辨率、探测深度、探测灵敏度等。从这些产品的参数和指标对比来看,本项目组研发的第一代产品 NeuroLight 的指标已经达到了国际同类先进产品水平,并且部分指标还有超出。

表. 商用 fNIRS 产品参数对比

产品	厂家	光源	探测	光源	探 测	时间分	空间分	探测灵	探测深
			器	通道	通道	辨率	辨率	敏 度	度(cm)
				数	数	(Hz)	(cm)	(pW)	
ETG-4000	日立	双波长 激 光 (695nm, 830nm)	APD (灵敏 度高)	40x2	40	10	1.5 到 2	<0.2 pW	1.5 到 2
FOIRE-300 0	岛津	三波长 激 光 (785nm, 805nm, 830nm)	PMT( 灵敏 度高)	4x3 到 16x3	4到16	7.5 到 40	1.5 到 2	<0.2 pW	1.5 到 2
CW6	Techon	双波长 激 光 (690nm, 830nm)	APD( 灵敏 度高)	4x2 到 48x	8到32	10到50	1.5 到 2	<0.2 pW	1.5 到 2
NIRScount X	NIRx	双波长 LED (760nm, 850nm)	PD( 灵 敏 度 低)	8x2 到 16x2	4 到 24	6.25 到 62.5	1.5 到 2	~1 pW	1.5 到 2
NirScan	北京空东东大学	三波长 激 光 (780nm ,808nm, 835nm)	APD( 灵敏 度高)	4x3 到 24x3	6到42	20 到 200	1.5 到 2	<0.2 pW	1.5 到 2

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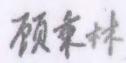
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生物医学工程

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